

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1218760-0

Total Deleted Page(s) = 111

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(Title)

(File No.)

196A-1563

Item	Date Filed	To be returned		
		Yes	No	
1	8/15/90		MM	(FOR SUB A) PHOTO OF [REDACTED]
2	8/15/90		MM	(FOR SUB A) FD-473 Executed BY SA [REDACTED]
3	8/15/90		MM	(FOR SUB A) PHOTO 3 OF [REDACTED]
4	8/15/90		MM	(FOR SUB A) PHOTOS OF [REDACTED]
5	8/15/90		MM	(FOR MAIN-) PHOTOS/NEG. OF [REDACTED] SURVEILLANCE OF [REDACTED]
6	8/15/90		MM	(MAIN) PHOTOS/NEG. RECORDING/TRANSCRIPT OF MEETING AT THE [REDACTED]
7	8/15/90		MM	(FOR SUB A) SURVEILLANCE LOG [REDACTED]
8	8/15/90		MM	(FOR SUB A) Illustrations from PHOTOS AT [REDACTED]
9	8/15/90		MM	(SUB A) Surveillance photos at the [REDACTED]
10	8/15/90		MM	(SUB A) PHOTO COPIES OF [REDACTED]

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/DS
(CDL #01-081)

3-19-01
CLASSIFIED BY: SP5 JC/MC
REASON: 1.5 (C)
DECLASSIFY ON: X1 #01-081

196A-1563

SEARCHED	INDEXED
SERIALIZED	FILED
AUG - 4 1990	
FBI - WASH. METRO FIELD OFFICE	

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{File No.}

196A - 1563

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196A-1563

SEARCHED	INDEXED
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AUG 1 1990	
FBI - WASH. METRO FIELD OFFICE	

Field File No.

196A-1563 Sub A - 1A - (1)

Serial # of Originating Document

OO and File No.

Date Received

8/16/89

From

SA

(Name of Contributor)

(Address of Contributor)

By

SA

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

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(CDRN #01-081)

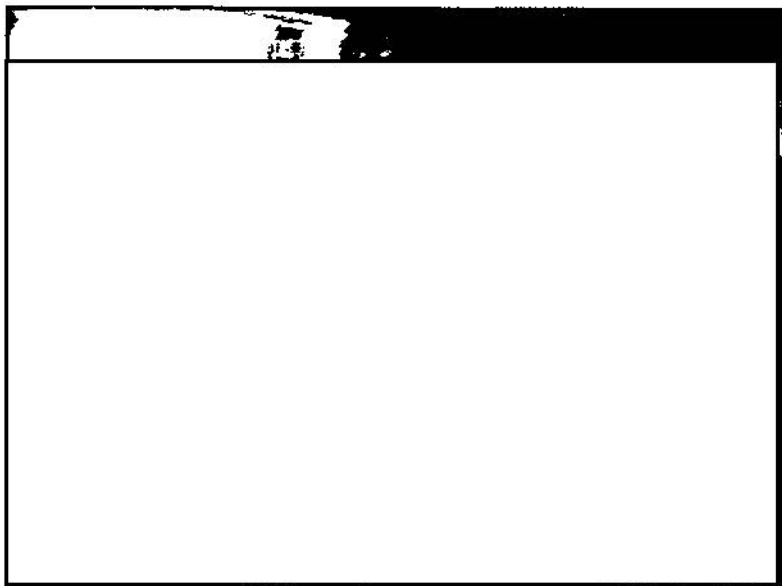
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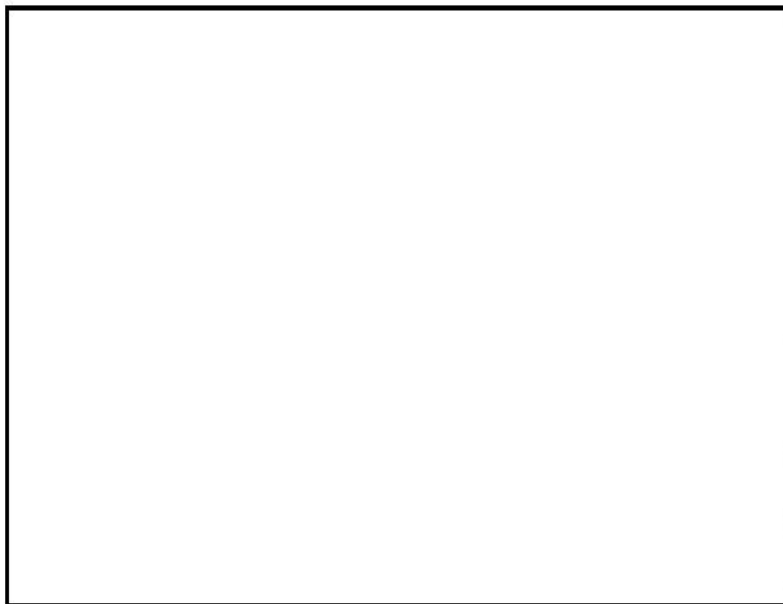
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Photos of

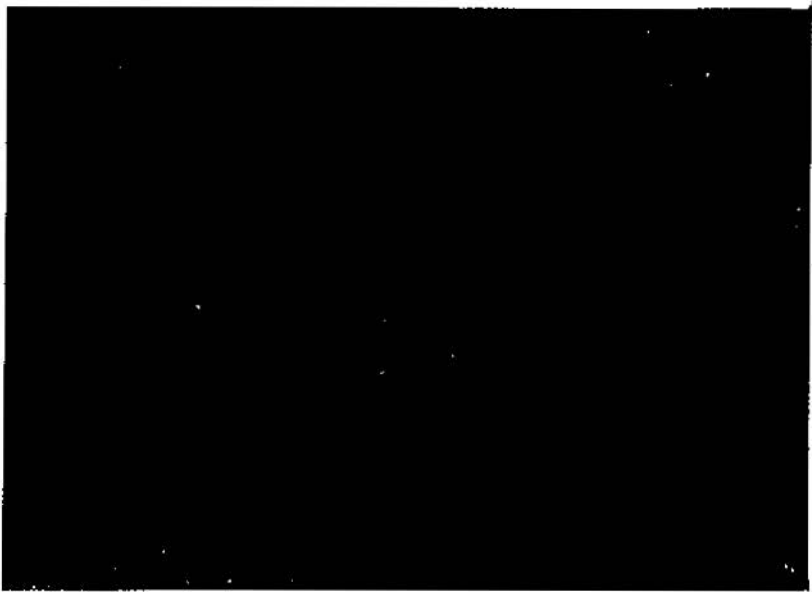
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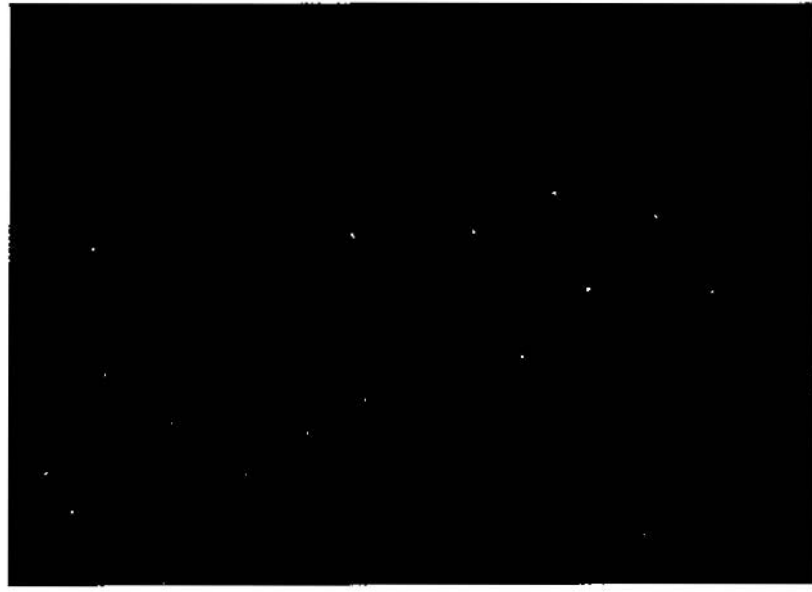


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(CDEN #01-081)



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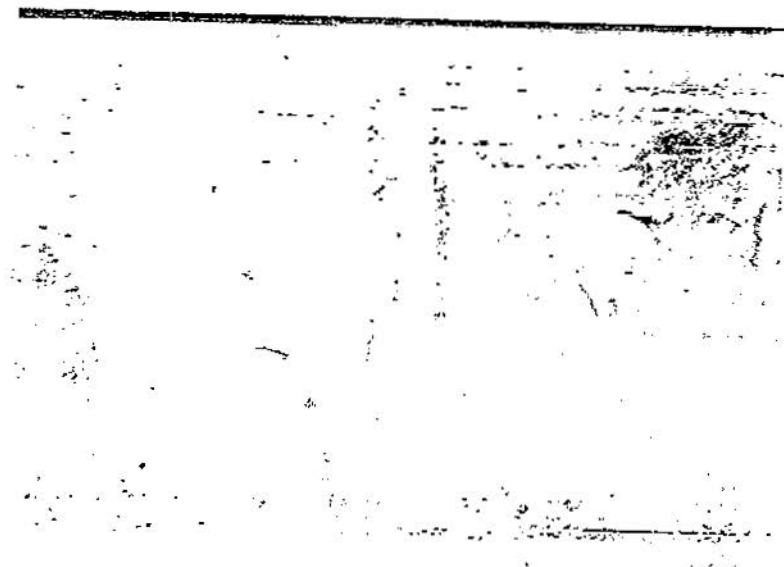
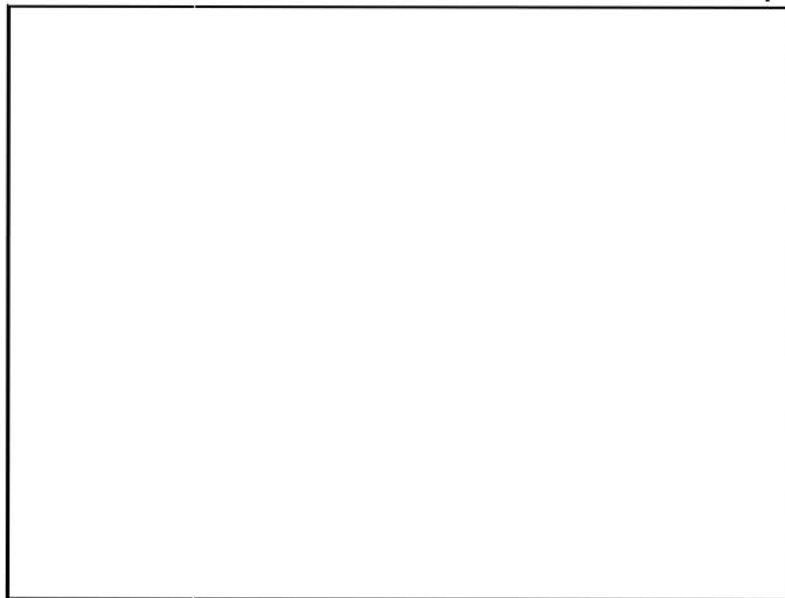


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(CDRU#01-081)

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(CDRU#01-081)

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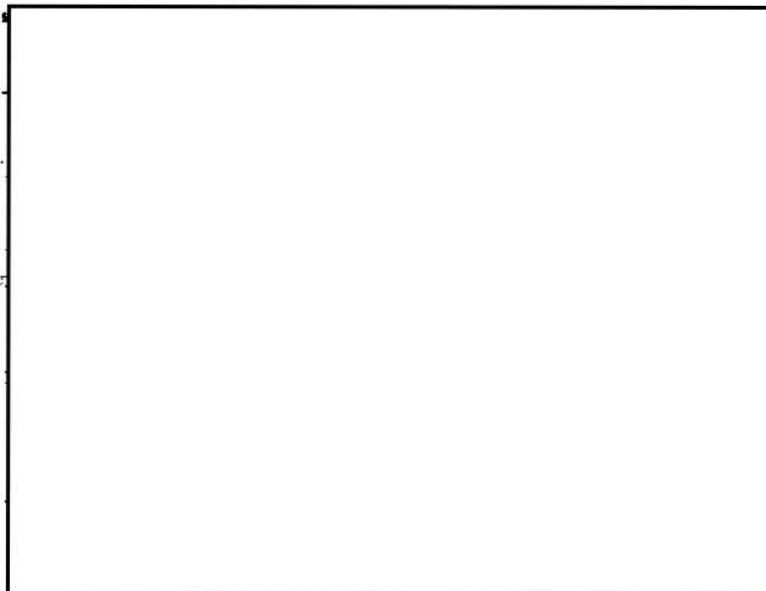


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(CDRU #01-081)

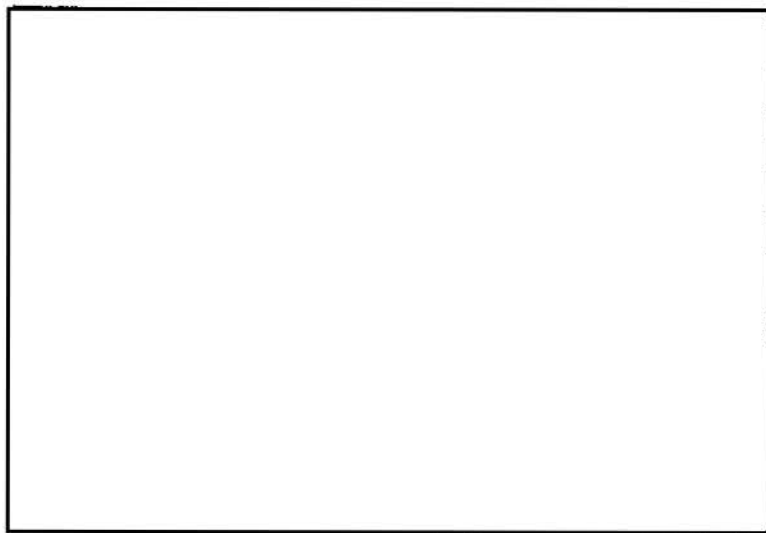


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3/1/01
(CD 24 401-081) SPSTC/EDS

FILE

BY

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(180-10-081)

DATE 3/1/01 BY SP2/RS

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HEREIN IS UNCLASSIFIED

(2)

Field File No. 196A-1567 Sub A-1A

Serial # of Originating Document _____

OO and File No. _____

Date Received _____

From SA [redacted]

(NAME OF CONTRIBUTOR)

FBI

(ADDRESS OF CONTRIBUTOR)

Fall Church, VABy SA [redacted]To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ NoGrand Jury Material - Disseminate Only Pursuant to
Rule 6(e), Federal Rules of Criminal Procedure☐ Yes ☒ NoTitle: Mar Rich - Fugitive
ETALReference: _____
(Communication Enclosing Material)Description: ☐ Original notes re interview of
_____FD-473 Exempted
by SA [redacted]ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/RDS
(CDRU #01-081)b6
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[Redacted]

(Date)

[Redacted]

(Location)

[Redacted]

I,

[Redacted]

(Name)

[Redacted]

(Address)

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hereby authorize

[Redacted]

and

[Redacted]

, Special Agents of the

Federal Bureau of Investigation, United States Department of Justice, to place a

☒ Body Recorder☐ Transmitter

on my person for the purpose of recording any conversations

with

[Redacted]

(Name of Subject(s))

AND UNIDENTIFIED
OTHERS

which I may have on or about

[Redacted]

(Date)

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I have given this written permission to the above-named Special

Agents voluntarily and without threats or promises of any kind.

[Redacted]

(Signature)

Witnesses:

[Redacted]

SA FBI WMFO

[Redacted]

SA, FBI, WMFO

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DATE 3/1/01 BY SP3JC/EDS
(CDRU #01-081)

Field File No. 196A-1563 Sub A ^{1A-3}

Serial # of Originating Document _____

OO and File No. _____

Date Received 9/9/89

From _____

By SA _____

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

Marc Rich - Fugitive
ETAL

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DATE 3/1/01 BY SP5JC/RS

Reference: (CDRA #01-081)
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Photo 3 of _____

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(CDRU #01-081)

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(CDRU #01-081)

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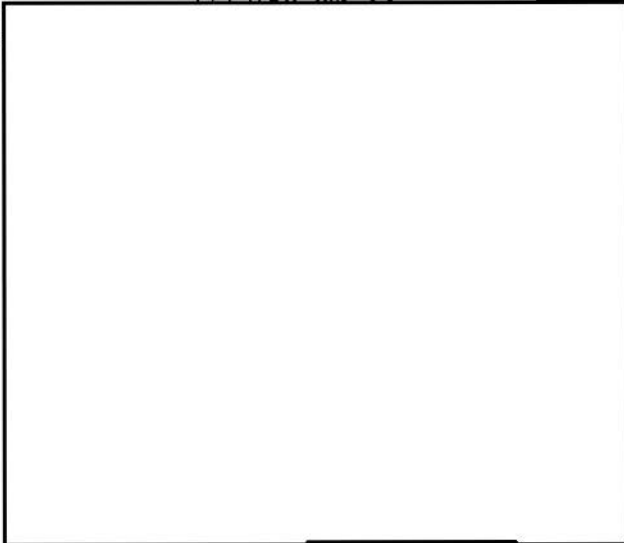
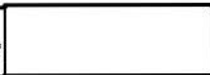
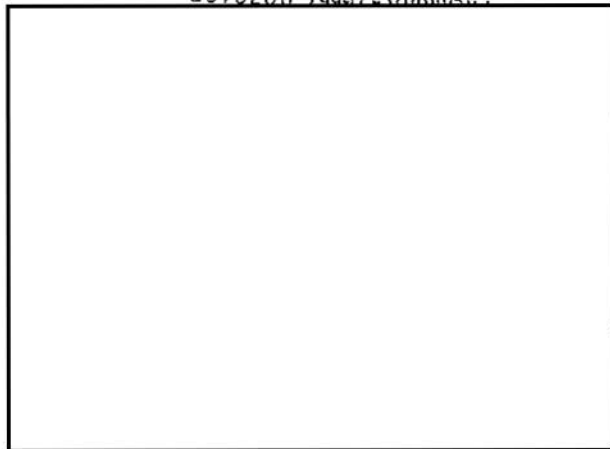


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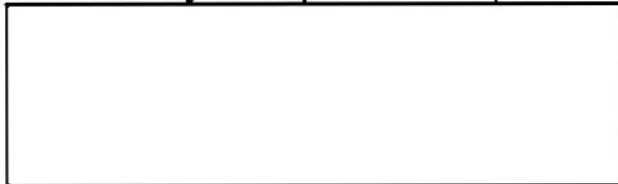


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Date Received 8/10/89

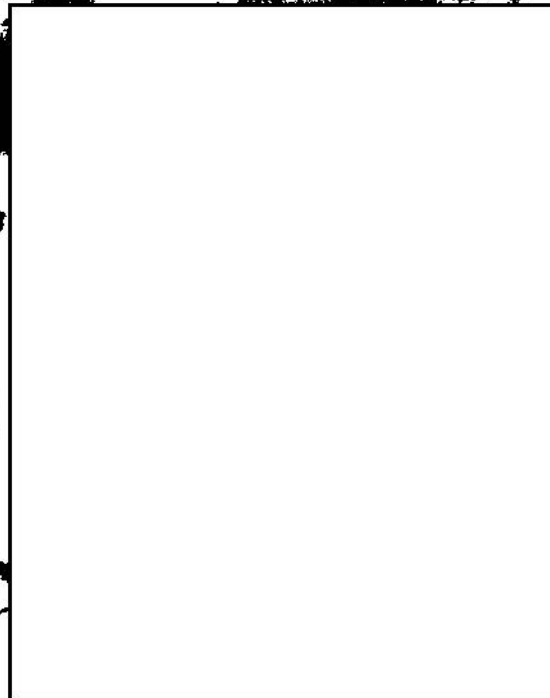
From _____

FBI

(ADDRESS OF CONTRIBUTOR)

Falls Church, VaBy SA _____To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ NoGrand Jury Material - Disseminate Only Pursuant to
Rule 6(e), Federal Rules of Criminal Procedure☐ Yes ☒ NoTitle: More Rick - Fugitive
ETALALL INFORMATION CONTAINED
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DATE 3/1/01 BY SP5JC/RDSReference: (CDRU #01-081)
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3/1/01
(CDRA #01-081)

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FD-340 (Rev. 4-2-85)

Field File No.

1964-1563-1A-5

Serial # of Originating Document

OO and File No.

NY

Date Received

8/16/87 (S)

From

(Name of Contributor)

(Address of Contributor)

By

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Marc Rich - Fugitive (B);

Pincus Green - Fugitive (B);

ET AL;

FBW; MF; RICO; Income Tax Evasion;

Trading with The Enemy;

Reference:

(00-NY)

(Communication Enclosing Material)

Description:

☐ Original notes re interview of

Photos / Negatives of

Surveillance of

at The

meeting

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JB

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(CDR# 01-081)~~

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3-19-01

REF ID: A5 (C)
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R. M. L. C
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3/1/01 X BY SP5 JCL/mc

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WHERE SHOWN OTHERWISE.~~

AUG 16 1989

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DATE 3/1/01 BY SP5 JCL/mc~~

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3-19-01
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REASON IS (C)
DECLASSIFICATION: X1~~

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REASON: 1.5 (C)
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3-19-01

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(CDR #01-001)

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3-19-01

CLASSIFIED BY

~~SP5 JCLMC~~

REASON: 1.5 (C)

DECLASSIFY ON: X

AUG 16 1989

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REASON: 1.5 (C)
DECLASSIFY ON: NA~~

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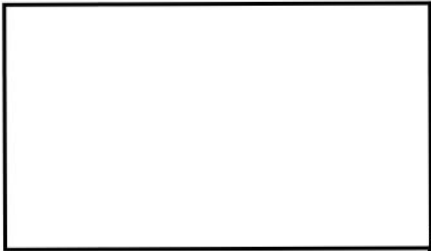
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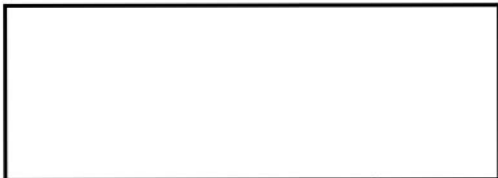
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REASON: 1.5 (C)
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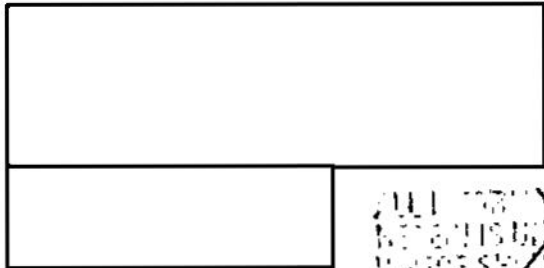
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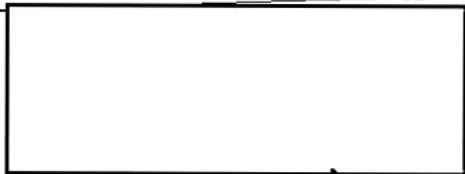
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FD-340 (Rev. 4-2-85)

3-19-01 SP5 Jclmc
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Field File No. 196-A-1563-1A-6

Serial # of Originating Document _____

OO and File No. NY

Date Received 8/16/89 ~~(X)~~ (U)

From _____
(Name of Contributor)

(Address of Contributor)

(City and State)

By SA [Redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title: Marc Rich - (Fugitive) (B);
Pincus Green - Fugitive (B);
ET AL;
FBW; MF; RICO; Income Tax Evasion;
Trading With The Enemy;

Reference: (OO:NY)
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Original recording/transcript of
surveillance of [Redacted] (S)

3/2/01 SP5JC/RDS
(CDRU #01-081)

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MEMORANDUM
OF CALL

To:

Previous editions use

☐ YOU WERE CALLED BY- ☐ YOU WERE VISITED BY

OF (Organization)

☐ PLEASE PHONE ☐ FTS ☐ AUTOVO

☐ WILL CALL AGAIN ☐ IS WAITING TO SEE YOU
☐ RETURNED YOUR CALL ☐ WISHES AN APPOINTMENT
MESSAGE

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RECEIVED BY

63-110 NSN 7540-00-634-401

* U.S. GPO 1974-0-174

DATE

TIME

STANDARD FORM 63 (Rev. 8-21)
Prescribed by GSA
FPMR (41 CFR)

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JHB:laht
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[PHOTOGRAPHY SURVEILLANCE] ~~(S)~~ (U)

[Initiated of the [redacted] ~~(S)~~ (U)]

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[redacted] has observed [redacted]

(U) [redacted] Two photos [redacted]

(U) [redacted] [redacted]

(U) [redacted] [redacted]

[redacted] two photos [redacted]

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(U) [redacted] two shots were taken [redacted] ~~(S)~~

two pictures taken [redacted]

(U) [redacted] ~~(S)~~

(U) [redacted] no photograph obtained ~~(S)~~

(U) [redacted] one shot [redacted] that was shot number seven

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[redacted] one shot taken. that was number eight. [redacted]

(U) [redacted] ~~(S)~~ (U)

one shot [redacted]

(U) [redacted] ~~(S)~~ picture ten is again taken [redacted] nine and ten

(U) [redacted] ~~(S)~~

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[redacted] two pictures taken [redacted]

(U) [redacted] six pictures taken at [redacted]

(U) [redacted] ~~(S)~~ next shot will be number 19. three shots taken [redacted]

(U) [redacted] ~~(S)~~ next shot will be 22. [redacted] ~~(S)~~

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CLASSIFIED BY: SP5 Jc/mc
REASON: 1.5 (C)
DECLASSIFY ON: X1

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(U) [redacted] one
shot taken [redacted] (U) (S)
two shots taken [redacted]
carrying a [redacted] (S)
shot 25 taken [redacted]
(U) [redacted] (S)
two shots taken [redacted] (U)
[redacted] (S)
shots 28 and 29 taken [redacted] (U)
film roll changed. new roll put in. (S)
[redacted] no shot taken. (S) (U)
[redacted] (S) (U)
picture one taken [redacted] three additional
pictures taken [redacted] that was two shots [redacted]
[redacted] and two shots [redacted] shot
five (S) (U)
shot five taken [redacted]
(U) [redacted] (S)
photo six taken [redacted]
(U) [redacted] (S)
shot seven again taken. [redacted]
[redacted] (S) (U)
[redacted] (U)
11 and 12 taken [redacted]
[redacted]
changing the film. (S) (U)

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11 and 12 taken [redacted]

[redacted] (S) (U)
film changed. stuck on 13. [redacted] (S) (U)

starting roll c. (S) (U)

photos one and two taken [redacted] (S) (U)

up through five was taken [redacted] (S) (U)

photo six was taken [redacted]

[redacted] (S) (U)
seven taken [redacted] (S) (U)

shot eight is next. (S) (U)

shot eight taken [redacted]

[redacted] (S) (U)
shot number nine [redacted] (S) (U)

up to twelve were taken [redacted]

[redacted] four shots [redacted]

taken. (S) (U)

through number nine were taken [redacted]

[redacted] (S) (U)

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FD-340 (Rev. 4-2-85)

Field File No. _____

Serial # of Originating Document _____

OO and File No. 196A-1563 Sub A - 1A-7

Date Received [8/16/89]

From WFO - SDG
(Name of Contributor)

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(City and State)

By _____
(Name of Special Agent)

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Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

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(CDR #01-081)

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Surveillance log, _____

3-19-01
CLASSIFIED BY: SP5 JCLMC
REASON: 1.5 (C)
DECLASS. ON: X1

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SURVEILLANCE LOG

DATE [redacted] ~~(S)~~ (U)
DAY OF WEEK [redacted]
WEATHER CLEAR

FILE # 196A-1563

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On [redacted], a surveillance was conducted in the vicinity
of [redacted] ~~(S)~~

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at which time the following observations were made:

TIME INITIALS OBSERVATION

surveillance initiated at [redacted]
[redacted] The closed
circuit television (CCTV) was activated.
photos were taken [redacted]
[redacted] The CCTV was ~~(S)~~
operational during this same time frame.
surveillance ended.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/EDS
(EDU #01-081)

3-19-01
CLASSIFIED BY: SP5 JC/MC
REASON: 1.5 (C)
DECLASSIFY ON: X1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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FD-340 (Rev. 4-2-85)

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WHERE SHOWN OTHERWISE.

3-19-01
CLASSIFIED BY: SP5 JCMC
REASON: 1.5 (C)
DECLASSIFY ON: X1

Field File No. _____

Serial # of Originating Document _____

OO and File No. 196A-1563 Sub A - 1A-8

Date Received [8/16/89] (S)

From WFO-SOG
(Name of Contributor)

(Address of Contributor)

(City and State)

By [Redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules
of Criminal Procedure ☐ Yes ☒ No

Title: _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP3TE/eds
(CDRU #01-081)

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Negatives from photos at [Redacted]

[Redacted] ~~(S)~~ (U)

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FD-340 (Rev. 8-18-89)

3-19-01
SP5 Jclmc
DECLASSIFIED
#01-081

ALL INFORMATION CONTAINED
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DATE 3/2/01 BY SP5JC/EDS

Universal File Case Number 196A-1563 Sub A-

Field Office Acquiring Evidence WALFO

Serial # of Originating Document

Date Received Received 9/1/89

From SSA

FBI - WALFO
(Address of Contributor)

By SA

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) (CDEN #01-081)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title: Mark Rich - fugitive
ET AL

Reference:

Description: ☐ Original notes re interview of

Surveillance Photos of the
[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/PDS

(CDEN #01-081)

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3-19-01
CLASSIFIED BY: SP5 Jclmc
REASON: 15 (C)
DECLASSIFY ON: X 1

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HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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3-19-01

CLASSIFIED BY: ~~SP5 Jclmc~~
REASON: 1.5 (X)
DECLASSIFY ON: ~~X~~ _____

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LAC ~~3/2/01~~ BY ~~SP5JC/ps~~
(CDRU#01-081)



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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/EDS
(CDR #01-081)

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3-19-01/
CLASSIFIED BY: SP5 Jclmc
REASON: 15 (C)
DECLASSIFY ON: X1

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HEREIN IS UNCLASSIFIED
DATE 6/3/01 BY SP5TC/pds
(CDRU#01-081)

3-19-01
CLASSIFIED BY: SP5 JCLMC
REASON: 15
DECLASSIFY ON: X1



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ALL INFORMATION CONTAINED
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DATE 3/2/81 BY SP5JL/pds
(CDR# 01-081)



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3-19-01
CLASSIFIED BY SP5 JCL/mc
REASON: 1.5 (C)
DECLASSIFY ON: (S)

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/pds
(CDEU #01-081)

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3-19-01
CLASSIFIED BY: SP5 Jc/mc
REASON: 1.5 (C)
DECLASSIFY ON: X

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WHERE SHOWN OTHERWISE.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5 Jclmc
(CDPR #01-081)

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3-19-01
CLASSIFIED BY SP5 Jclmc
REASON: 1.5 (C)
DECLASSIFY ON: X1

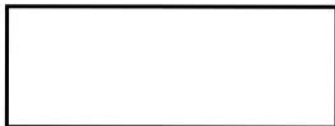
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/pps
(ORD #01-081)

3-19-01
CLASSIFIED BY: SP5 JC/mc
REASON: 1.5 (C) (1)
DECLASSIFY ON: X1



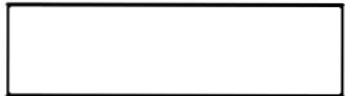
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WHERE SHOWN OTHERWISE.

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DECLASSIFY ON: X
REASON: 1.5
CLASSIFIED BY: SP5 JCL/MC
3-19-01



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HEREIN IS UNCLASSIFIED EXCEPT
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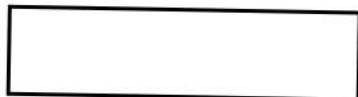
(CDEN #01-081)
DATE 3/2/01 BY SP5 JCL/MC
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN OTHERWISE

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/eds
(CDR #01-081)

3-19-01
CLASSIFIED BY: SP5 JC/mc
REASON: 1.8 (C)
DECLASSIFY ON: X1



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HEREIN IS UNCLASSIFIED
WHERE SHOWN OTHERWISE.

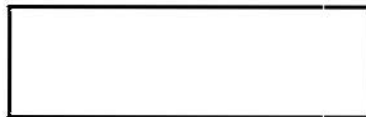
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DATE 3/2/01 BY SP5JC/POS
(CDR #01-081)

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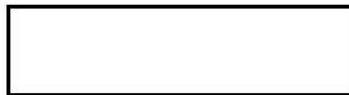
3-19-01
CLASSIFIED BY: SP5 JC/mc
REASON: 1.5 (C)
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(CDEU #01081)

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3-19-01
CLASSIFIED BY SP5 Jc/mc
REASON: 1.5 (9)
DECLASSIFY ON: X1

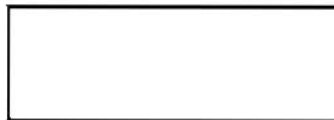
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(CPRU #01-081)

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3-19-01
CLASSIFIED BY SP5 JCMG
REASON: 1.5 (2)
DECLASSIFY ON: X1

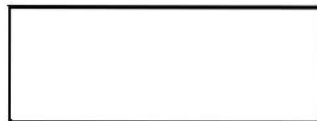
ALL INFORMATION CONTAINED
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(CDRU #01-081)

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CLASSIFIED BY: SP5JC/mc
REASON: 1.5 (X)
DECLASSIFY ON: X1

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DATE 3/2/01 BY SP5JC/ED's
(CDRU #01-081)

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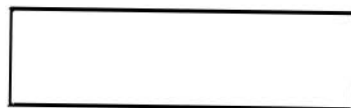
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CLASSIFIED BY SP5 JC/mc
REASON: 1.5 (2)
DECLASSIFICATION: X1

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DATE 3/2/01 BY SP5JC/mc
(CDEN #01-081)



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CLASSIFIED BY: SP5 JC/mc
REASON: 1.5
DECLASSIFY ON: X1

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DATE 3/2/01 BY SP5DC/eds
(CDRU #01-081)

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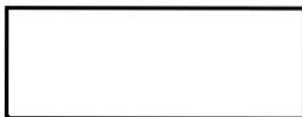
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CLASSIFIED BY: SP5 Jclme
REASON: 1.5
DECLASSIFY ON: X1

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(CDRU # 01081)



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REASON: 1X (C)
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DATE 3/2/01 BY SP5JC/RS

(CDRU #01-081)

3-19-01
CLASSIFIED BY: SP5 JCMG
REASON: 1.5 (C)
DECLASSIFY ON: X1

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/EDS
(CDRU #01-081)

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3-19-01
CLASSIFIED BY SP5 Jc/mc
REASON: 1.5 (C)
DECLASSIFY ON: X 1

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HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

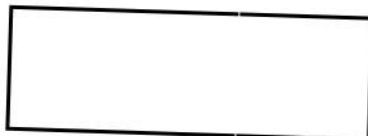
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5Jc/eds
(CDRU #01-081)

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3-19-01
CLASSIFIED BY: SP5 Jc/mc
REASON: 1.5 (C)
DECLASSIFY ON: X1



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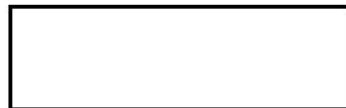
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ALL INFORMATION CONTAINED
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DATE 3/2/01 BY SP3TC/EDS
(CDRU #01-081)

3-19-01
CLASSIFIED BY: SP5 Jclmc
REASON: 1.6 (C)
DECLASSIFY ON: X1



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WHERE SHOWN OTHERWISE

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DATE 3/2/01 BY SP5TC/pds
(CDR #01-081)



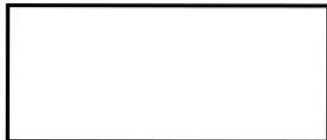
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3-19-01
CLASSIFIED BY: SP5 JC/mc
REASON: 1.5 X
DECLASSIFY ON: X 1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
WHERE SHOWN OTHERWISE

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/eps
(CDRL #01-081)~~



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3-19-01
CLASSIFIED BY: ~~SP5 JCLmc~~
REASON: 1.5 (2)
DECLASSIFY ON: X 1

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/ed's
(CDRU #01-081)

3-19-01
CLASSIFIED BY: SP5 x/mc
REASON: 1.5 (C) ~~(X)~~
DECLASSIFY ON: X



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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3-19-01
CLASSIFIED BY: SP5 Jclmc
REASON: 1.5 (c)
DECLASSIFY ON: X 1

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/pds~~
(CDRU #01-081)

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3-19-01
CLASSIFIED BY: SP5 JCLmc
REASON: 1.5 (C)
DECLASSIFY ON: X 1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/eds

(CDRL #01-081)

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/eds
(CDRU #01-081)



3-19-01
CLASSIFIED BY SP5 JC/MC
REASON: 1.5 (X)
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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3-19-01

CLASSIFIED BY: SP5 Jclmc
REASON: 1.5 (C)
DECLASSIFY ON: 1

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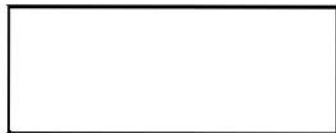
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/poo
(CDRU #01-081)

3-19-01

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REASON: 1.5 ()
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AS SHOWN OTHERWISE.~~



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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/pas~~

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/mc
(CDEV #01-081)

3-19-01



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3-19-01
CLASSIFIED BY SP5 JC/mc
REASON: 1.5 (C) 5
DECLASSIFY ON: ALL

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/edg
(CDRX #01-081)

3-19-01
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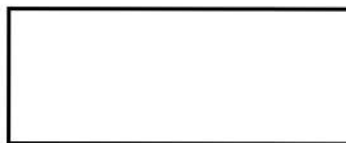


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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5IC/PNS
(CDRU #01-081)

3-19-01
CLASSIFIED BY SP5 JCLMC
REASON 1
DECLASSIFY ON 1

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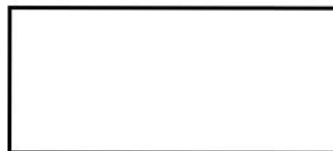
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~~ALL INFORMATION CONTAINED
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3-19-01
CLASSIFIED BY: SP5 Jclmc
REASON: 1.5 (C)
DECLASSIFY ON: X1

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5 Jclmc~~
(CDEU #01-081)

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ALL INFORMATION CONTAINED
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3-19-01
CLASSIFIED BY: SP5 JCMC
REASON: 1.5 (c)
DECLASSIFY ON: X1

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HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5 JCMC/PDS
(CDRU #01-081)

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ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 3/2/01 BY SP5JE/EDS

(CDU #01-081)

Field File No.

196A-1563 Sub A - 1A (10)

Serial # of Originating Document

OO and File No.

Date Received

9/1/89

From

By

JA

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ NoGrand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ NoTitle: Marc Rich - Fugitive; Pinchus Green - Fugitive
ET AL;

FBW, MF; RECO; Income Tax Evasion

Reference:

Trading with the Enemy

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Photo Copies of

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1A-11

Universal File Case Number 196A-1563 Sub "A" -Field Office Acquiring Evidence WMFO

Serial # of Originating Document _____

Date Received 9/7/89From SA [redacted]FBI - NVHRA
(Address of Contributor)By SA [redacted]To Be Returned ☐ Yes ☒ NoReceipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☒ NoTitle: Mr. Rich - Fugitive;
ET AL.

Reference: [redacted]

Description: ☐ Original notes re interview ofExcluded FD-475ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/PDS
(CDRU #01-081)b6
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[Redacted]

(Date)

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[Redacted]

(Location)

I,

[Redacted]

(Name)

[Redacted]

(Address)

hereby authorize

[Redacted]

and

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[Redacted]

, Special Agents of the

Federal Bureau of Investigation, United States Department of Justice, to place a

☒ Body Recorder☐ Transmitter

on my person for the purpose of recording any conversations

with

[Redacted]

(Name of Subject(s))

, ET AL

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which I may have on or about

[Redacted]

(Date)

I have given this written permission to the above-named Special

Agents voluntarily and without threats or promises of any kind.

[Redacted]

(Signature)

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[Redacted]

[Redacted]

SA, FBI, 9/7/89
SA, FBI, 9/7/89

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/EDS
(CDRU #01-081)

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(Date)

(Location)

I, _____,

(Name)

hereby authorize _____ and

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_____, Special Agents of the

Federal Bureau of Investigation, United States Department of Justice, to place a

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on my person for the purpose of recording any conversations

with _____

(Name of Subject(s))

ET AL

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which I may have on or about _____

(Date)

I have given this written permission to the above-named Special

Agents voluntarily and without threats or promises of any kind.

(Signature)

SA, FBI, 9/7/89
SA, FBI, 9/7/89.b6
b7CALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5JC/PDS
(CDRU #01-081)

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 40

Page 7 ~ Duplicate;
Page 10 ~ Duplicate;
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Page 21 ~ Duplicate;
Page 23 ~ b6; b7C; b7E;
Page 24 ~ b7E;
Page 26 ~ Duplicate;
Page 28 ~ b6; b7C; b7E;
Page 29 ~ b7E;
Page 31 ~ Duplicate;
Page 35 ~ Duplicate;
Page 39 ~ Duplicate;
Page 44 ~ Duplicate;
Page 47 ~ Duplicate;
Page 49 ~ b7E;
Page 52 ~ Duplicate;
Page 54 ~ b7E;
Page 57 ~ Duplicate;
Page 60 ~ Duplicate;
Page 63 ~ Duplicate;
Page 66 ~ Duplicate;
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Page 114 ~ Duplicate;
Page 117 ~ Duplicate;
Page 121 ~ Duplicate;
Page 124 ~ Duplicate;
Page 127 ~ Duplicate;
Page 130 ~ Duplicate;

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X Deleted Page(s) X
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XXXXXXXXXXXXXXXXXXXXXXXXX

FSA Blue SlipRequest Date: ¹²2-25-91b6
b7C
b7EVoucher Date: 2-27-91

to _____ request \$ _____ for payment in connection with investigation

entitled: MARC RICHARD FUGITIVE ET AL; FBI; ME; RICO; Turner 196A-1563
Tot Expense: 1mily with 4 to Brown
Payment to Informant of _____ ☐ ☒Authority: JACP. and C. 11-11-91 11-31-91Payments made by other offices? ☐ Yes ☒ NoDetails: Expense incurred by Case Agent in
Invoice is attached. \$1 is included
for cost of money orderb6
b7C
b7E ReviewedApproved for
Disbursement

Check No.: _____

Date: 2-25-91
2/12/91On 2-25-91, I received \$ _____ from the WFO

Field Support Account for expend _____

Signed: _____

Payment Date: 2-25-91**Informant Payments:**

Witnessed by: _____

- OR -

Waiver Dated: 1/1b6
b7C
b7E

Total Amount:

SON: _____

COB: 1/1

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: _____

For FBIHQ Use Only:

- ☐
- Approved
-
- ☐
- Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jca
#01-081

Description of Expenditures

Type	Description
------	-------------

--	--

b7E

* **NOTE:** These expenditure types are subject to stringent procurement review and/or approval requirements. Expenditures should **NOT** be charged without prior notification and/or approval from FBIHQ.

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 2/8/91

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 1/1/91 through 1/31/91 to [REDACTED] in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached is the invoice for [REDACTED] for January, 1991. The customer (yellow) copy of this invoice is being submitted as the original "white" copy will be sent to the company with this payment.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

Approved
2/11/91
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jc/aw

#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 8 1991	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

b7E

Request Date: 1/31/91b6
b7C
b7EVoucher Date: 2/27/91to _____, request \$ _____ for payment
in connection with investigationentitled: Mary Ruth - Tangible; Pinous Green ET AL - FBI; Hqs No. 196A-1563is this information in or from a confidential source? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 11/14/91 to 21/13/91Payments made by other offices? ☐ Yes ☒ NoDetails: EXPENSES incurred forconcerning investigation #1 is included for cost of money order. Bill is attached.

Approved for Disbursement

Reviewed

Check No.: _____

Date: 2/27/91On 2/7/91, I received \$ _____from the 196A-1563

Field Support Account for expense

Signed _____

b6
b7C
b7EPayment Date: 2/25/91

Category: _____

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: 1/1

Cooperating Witness

b6
b7C
b7E

True Name: _____

SSN: _____

DOB: 1/1

Expenditure

Type

Amount

Total: _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: FSA

For FBIHQ Use Only:

- ☐
- Approved
-
- ☐
- Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5JCL/m
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

1/31/91

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. This amount was incurred for a [REDACTED] covering the period of 1/14/91 through 2/13/91.

b6
b7C
b7E

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

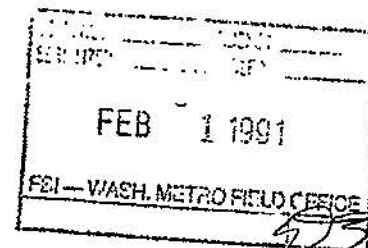
b7E

WMM/wmm



Approved
2/4/91

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL/au
#01-081



FSA Blue Slip

Request Date: 1/17/91b6
b7C
b7EVoucher Date: 2/11/91to [redacted], request \$ [redacted] for payment
to [redacted] in connection with investigationentitled: Man Rich - fugitive; ETAL - FBIW; REF; KICO; Income; File No: 196A-1523System to be implemented of collecting information for investigation? ☐ Yes ☒ NoAuthority: SAC Period Covered: 10/1/90 to 12/31/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenditures incurred for [redacted] of Case Agent b6[redacted] Turnover was attached b7C#1 is included for cost of money ord b7EApproved for
Disbursement

Reviewed

Check No.: [redacted]Date: 1/17/91
4/24/91On 1/31/91, I received \$ [redacted] from the LEWIS

Field Support Account for expenditure

Signed: [redacted]b6
b7C
b7EPayment Date: 2/6/91

Expenditure

Certification:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1/91

Cooperating Witness

True Name: [redacted]SSN: [redacted]DOB: 1/1/91b6
b7C
b7ETotal: [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained a justifying memorandum has been prepared and filed.

Signed: [redacted]

FSA

For FBIHQ Use Only:

☐ Approved
☐ DisapprovedClassification: [redacted]Classified by: [redacted]Declassification: [redacted]

b7E

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 J/ae
#01-0810

PURCHASER'S COPY
RETAIN FOR YOUR RECORDS - SEE AGREEMENT BELOW

NOT

NEGOTIABLE

56-221004035

b6

b7C

b7E

PAY THE SUM OF
NOT GOOD OVER \$200.

02/06/91

7 - ELEVEN

LOGO #19548

**

Issued by

Color

PURCHASER'S AGREEMENT - BY USING American Express Money Orders, you agree to everything written on the face of the Money Order. American Express Money Order only if: (1) You fill in the face of the Money Order away voluntarily, (3) You notify American Express immediately of the loss or theft. Copies of Money Orders will only be provided for 2 years from date of issue. American Express does not have to stop payment on Money Orders.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 2/26/01 BY SP5 Jc/a

#07-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 1/17/91

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 10/1/90 through 12/31/90 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached are invoices for [REDACTED] for October through December, 1990. The customer (yellow) copies of the invoices are being submitted for October and December, 1990. The original "white" copy of the October bill was returned to the company in October with a payment. The December, 1990, "white" copy of the bill will be sent to the company with this payment.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

WMM/wmm

[Handwritten signature]

*Approved
JED 1/17/91*

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/00 BY SP3/SLK
#01-087

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 17 1991	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 1, 14, 91

b6
b7C
b7E

Voucher Date: 2, 11, 91

to _____, request \$ _____ for payment to _____ in connection with investigation

entitled: Marc R. L. - Fugitive - ET AL, FBIHQ, NY, RICO, Insurance, FSA, 196A-1565

Payment to Informant or Cooperator? : ☐ Yes ☒ No

Authority: SAC

Period Covered: 1/14/90 to 1/13/91

Payments made by other offices? ☐ Yes ☒ No

Details: Expenses incurred by _____ b6
_____ b7C
_____ b7E

Concerning investigation #1 is included
for cost of kidney order. Bill is attached

Approved for Disbursement

Reviewed

Check No.: _____

Date: 1/14/91
1/27/91

On 1, 31, 91, I received \$ _____

from the FBIHQ

Field Support Account for expense

Signature

b6
b7C
b7E

Payment Date: 2, 1, 91

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1, 1, 91

Cooperating Witness

True Name: _____

SSN: _____

DOB: 1, 1, 91

Expenditure

Type

Amount

b6
b7C
b7E

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained a justifying memorandum has been prepared and filed.

Signed: _____

For FBIHQ Use Only:

☐ Approved

Classification: _____

Classified by: _____

Classify on: _____ b7E

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JLA
#01-087

CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

42534537570

b7E

SERIAL NUMBER		YEAR MONTH DAY		POST OFFICE		U.S. DOLLARS AND CENTS	
PAY TO				PURCHASER			
STREET							
CITY	STATE	ZIP	CITY	STATE	ZIP		
CUSTOMER RECEIPT						COD NO. OR USED FOR <i>ff</i>	

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/24/01 BY SP3 JCL
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

1/14/91

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. This amount was incurred for a [REDACTED] covering the period of 12/14/90 through 1/13/91.

b6
b7C
b7E

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

WMM/wmm

WMM

Approved JED 1/16/91

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL/mc
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 14 1991	
FBI - WASH. METRO FIELD OFFICE	

Classification:

Request Date: 12/4/90

b6
b7C
b7E

Voucher Date: 11/8/77

to _____, request \$ _____ for payment
_____ in connection with investigation

entitled: More R.C. - Fugitive; EPH; FBI; REF; RFD; Inmate; File No. 196A-1515

Do you intend to transfer any of Copyrighted Materials by Electronic Information? : ☐ Yes ☒ No

Authority: SAC Period Covered: 11/14/90 to 12/13/90

Payments made by other offices? ☐ Yes ☒ No :

Details: Expenses incurred for

concerning mortgages
#1 is included for cost of money ord. Bill is attached?

Approved for
Disbursement

Reviewed

Check No.:

Date: February 1971

On 12/14/90, I received \$ from the CHAO

Field Support Account for expend

Signed:

b6
b7C
b7E

Payment Date: 12/21/76

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1/1

b6
b7C
b7E

Cooperating Witness

Tree Name:

SSN:

003:

Expenditures

Type:

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved
☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JLC/uc
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

12/4/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. This amount was incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] covering the period of 11/14/90 through 12/13/90.

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCA
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
DEC 5 1990	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 11/16/90
10/29/90b6
b7C
b7EVoucher Date: 11/29/90

to _____, request \$ _____ for payment
to _____ in connection with investigation
entitled: RICH-FUGITIVE (B); GREEN-FUGITIVE (B); FBW; MF; RICO; File No. 196A-1563

Payment to Informant or Cooperating Witness for Narcotics Information? : ☐ Yes ☒ No

Authority: SAC, WMFOPeriod Covered: 10/1/90 to 10/1/92Payments made by other offices? ☐ Yes ☒ No :

Details: Payment made for _____ on undercover _____ Original bill, receipt,
and memo attached.

Approved for
Disbursementb6
b7C
b7E Reviewed

Check No.: _____

Date: 11/9/90

On 11/14/90, I received \$ _____ from the WMFO

Field Support Account for expenditure

Signed: _____

Payment Date: 10/29/90

Category:

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: ____/____/____

b6
b7C
b7E

Cooperating Witness

True Name: _____

SSN: _____

DOB: ____/____/____

Expenditure
Type Amount

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
Total:	\$ _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

☐ Approved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jac
#01-081

Memorandum



To : SAC, WMFO

Date 10/31/90

From : SA [REDACTED]

b6
b7C
b7E

Subject: [REDACTED] PAYMENT

Attached for FSA is the original bill, plus one photocopy, reflecting the [REDACTED] on an undercover [REDACTED] used by writer. The bank stamp showing payment of [REDACTED] can be found on the reverse side of the statement. Reimbursement is therefore requested in the amount of [REDACTED] which was paid by writer on 10/29/90.

There is currently [REDACTED] in the RICH account.



APPROVED
11/1/90
[Signature]

b7E

1-FSA
RAH/rah

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jc/aw
#01-081

496

b6
b7C
b7E

Request Date: ~~10/29/90~~

Voucher Date: 11/20/90

entitled: RICH-FUGITIVE; GREEN-FUGITIVE; FBW; MF; RICO; File No. 196A-1563

Payment to Informant or Cooperating Witness for Narcotics Information? : ☐ Yes ☒ No

Authority: SAC, WMFO

Period Covered: 10/1 /90 to 10 / 1 / 92

Payments made by other offices? ☐ Yes ☒ No :

Details: Payment made for on undercover Original bill,
receipt, and memo attached. b6

Approved for
Disbursement

Reviewed

Check No.:

Date:

On 11/14/90, I received \$ from the WMFO

Field Support Account for expenditures

Signed:

Payment Date:

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated:

Cooperating Witness

True Name:

SSN:

DOB:

b6
b7C
b7E

Type

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

☐ Approved

☐ D

Classification:

Classified by:

fy on:

b7E

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jc/ab

Memorandum



To : SAC, WMFO

Date 10/31/90

From : SA [redacted]

b6
b7C
b7E

Subject: [redacted] PAYMENT

Attached for FSA is the original bill, plus one photocopy, reflecting the [redacted] on an undercover [redacted] used by writer. The [redacted] stamp showing payment of [redacted] can be found on the reverse side of the statement. Reimbursement is therefore requested in the amount of [redacted] which was paid by writer on 10/29/90.

There is currently [redacted] in the RICH account.



RBW
Approved
Fitz
11/1/90

b7E

1-FSA
RAH/rah

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JLC
#01-081

FSA Blue Slip

b6
b7C
b7ERequest Date: 10/24/90Voucher Date: 11/8/90request \$ _____ for payment
to _____ in connection with investigationentitled: More Rich - Fugitive; ETAL; FBIHQ; MF; RICO; Transmittal File No. 196A-1563Entitled, Transmittal, or other information? ☐ Yes ☒ NoAuthority: ZAC Period Covered: 9/26/90 to 9/30/91Payments made by other offices? ☐ Yes ☒ NoDetails: for undercoverfor money orderb6
b7C
b7EApproved for
Disbursement

Reviewed

Check No.: _____

Date: 10/25/90
10-30-90On 11/7/90 received \$ _____ from the WMFO 11/5/90

Field Support Account for expenditure

Signed: _____

Payment Date: 10/25/90

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: 1/1b6
b7C
b7E

Cooperating Witness

To: _____

CCB: _____

CCB: 1/1

Expenditure

Type Amount

_____	1.00
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
Total:	_____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

b7E

Classification: _____
Classified by: _____
Declassify on: _____

Field Office C: _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jclan
#01-087

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 10/24/90

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to [REDACTED] for an undercover [REDACTED] which is for use in captioned matter. Attached is an invoice documenting the expense. \$1.00 is included for cost of money order.

b6
b7C
b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

Approved
10/25/90

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jc/m
#01-087


SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
OCT 24 1990	
FBI - WASH. METRO FIELD OFFICE	

CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

4278004681

b6
b7C
b7E

SERIAL NUMBER		YEAR MONTH DAY		U.S. DOLLARS AND CENTS	
PAY			PURCHASER		
STREET			STREET		
CITY			CITY		
CUSTOMER RECEIPT		COD NO. OR USED FOR			

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL/m
#01-087

FSA Blue SlipRequest Date: 10-24-90b6
b7C
b7EVoucher Date: 11.8.90

to

request \$ _____ for payment

in connection with investigation

entitled: More Risk-Fugitive; LUAL; FBIW; MF; WICO; Fawcett TAG File No. 196A-1513Payment to Informant in or Co-operating Witness for 17 coded information? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 9/14/90 to 10/13/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred forconcerning investigation #1 is included for cost of money order. Bills attached

Approved for Disbursement

b6 - Reviewed
b7C
b7E check No.: _____Date: 10-25-9010-30-9011/5/90On 11/7/90, I received \$ _____from the WAFB

Field Support Account for expend _____

Signed: _____

Payment Date: 10-25-90

Category: _____

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: 1/1b6
b7C
b7E

Cooperating Witness

True Name: _____

SSN: _____

DOB: 1/1**Expenditure**

Type

Amount

Total: \$ _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed _____

Title: FSA**For FBIHQ Use Only:**

b7E

☐ Approved☐ _____

Classification: _____

Classified by: _____

Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/00 BY SP5 JLA

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

10/24/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED] This amount was incurred for a [REDACTED] [REDACTED] covering the period of 9/14/90 through 10/13/90.

b6
b7C
b7E

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JAR
#01-081

Approved
10/25/90

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 24 1990	
FBI - WASH. METRO FIELD OFFICE	

CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

42780046803

PAY TO	SERIAL NUMBER	YEAR MONTH DAY	POST OFFICE	U.S. DOLLARS AND CENTS
STREET				
CITY				
CUSTOMER RECEIPT			OR USED FOR	

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 J/au
#01-081

FSA Blue SlipRequest Date: 10/23/90b6
b7C
b7EVoucher Date: 11/23/90to _____, request \$ _____ for payment
to _____ in connection with investigationentitled: Mark Ryle - Fugitive; FSA; FBI; ME; REC; Federal Tax Exemption File No. 196A-1513Payment to Informant or Cooperator for Information? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 6/1/90 to 8/31/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenditures included for _____ by Case Agent inBill's and one receipt are
Attached. It is included for cost of money order.Approved for
Disbursement

Reviewed

Check No.: _____

Date: 11/23/90
10-30-90On 11/7/90 I received \$ _____ from the LMEFO 11/5/90

Field Support Account for expend _____

Signed _____

b6
b7C
b7EPayment Date: 11/17/90

Category: _____

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: / / b6
b7C
b7E

Cooperating Witness

True Name: _____

SSN: _____

DOB: / /

Expenditure

Type Amount

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
Total:	\$ _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____ FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

b7E

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jae

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 10/23/90

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 6/1/90 through 8/31/90 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

Attached are original invoices for [REDACTED] for June and July, 1990. The customer (yellow) copy of the invoice is submitted for August, 1990 as the original of the bill must be sent with payment. Also enclosed is a receipt for [REDACTED] for one month's payment.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

Approved
10/25/90

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jcm
01-081

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 24 1990	
FBI - WASH. METRO FIELD OFFICE	

CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

42533988743

b6

b7C

b7E

SERIAL NUMBER

YEAR MONTH DAY

POST OFFICE

U.S. DOLLARS AND CENTS

PAY TO

STREET

CITY



PURCHASE

STREET

CITY

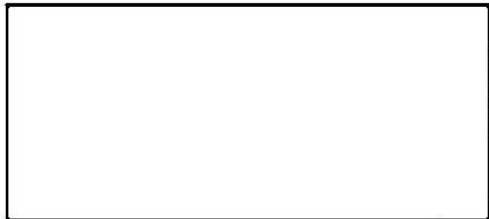
CUSTOMER RECEIPT ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 2/24/01 BY SP5 JCL/aw
130-081

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

b6
b7C
b7E



84788P3254

200P5P

553105



MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

10/26/90

FROM: SA [REDACTED] (C-9)

b6
b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED] This amount was incurred for a [REDACTED] in the name of [REDACTED] covering the period of 10/14/90 through 11/13/90.

b6
b7C
b7E

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jm
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
OCT 26 1990	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 9/2/90
8/31/90b6
b7C
b7EVoucher Date: 10/11/90to [redacted], request \$ [redacted] for payment
in connection with investigationentitled: Made Rife - Fugitive; ET AL, FBIW; DEF; RICO; File No. 196A-1543Payment to Informant of Confidential Information: ☐ Yes ☒ NoAuthority: SAC Period Covered: 8/14/90 to 9/13/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred for [redacted][redacted] concerning investigationIt is included for cost of review order. Bill is attached.

Approved for [redacted] Reviewed [redacted]

Disbursement [redacted] Check No.: [redacted] Date: 9/12/90On 9/26/90 I received \$ [redacted] from the WFO

Field Support Account for expend [redacted]

Signed [redacted]

Payment Date: 9/26/90

Informant Payments:

Witnessed by: [redacted]

- OR -

Waiver Dated: 1/1

Cooperating Witness

True Name: [redacted]

SSN: [redacted]

DOB: 1/1b6
b7C
b7E

Type Amount

[redacted] \$ 1.00

[redacted] \$

[redacted] \$

[redacted] \$

[redacted] \$

[redacted] \$

[redacted] \$

[redacted] \$

Total: [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted] Title: FSA

For FBIHQ Use Only:

☐ Approved ☐ Disapproved b7E

Classification: _____

Classified by: _____

Declassify on: _____

Field Office C [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jac
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

8/31/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED] This amount was incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] covering the period of 8/14/90 through 9/13/90.

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 jcl/au
#01-081

Approved
SD 9/10/90

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 4 1990	
FBI-WASH. METRO FIELD OFFICE	

EB

CUSTOMER'S RECEIPT DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

4253398872 00926 223102

PAY TO	SERIAL NUMBER	YEAR MONTH DAY	POST OFFICE	U.S. DOLLARS AND CENTS
STREET				
CITY				

CUSTOMER RECEIPT

COO NO.
OR USED
FOR

b7E

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jk
#01-081

Classification:

UNCLASS

FSA Blue Slip

Request Date:

8/15/90

Voucher Date:

8/31/90

b6

b7C

b7E

request \$ for payment

to in connection with investigation

entitled: *Marc Rich - Fugitive; ETAL, FOW, MF, RICO, Income Tax Evasion* File No. *196A-150*Payment to Informant or Cooperating Witness for disclosure of information? ☐ Yes ☒ No

Authority:

SAC

Period Covered:

5/31/90 to 7/9/90

Payments made by other offices? ☐ Yes ☒ NoDetails: *Expenses incurred for A**Concerning investigations, it is included for cost of money order. Bills are attached.*

Approved for Disbursement

Reviewed

Check No.:

Date:

8/15/90

On 8/21/90, I received \$

from the *WFO*

Field Support Account for expense

Signed

b6

b7C

b7E

Payment Date:

8/23/90

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: *1/1*

b6

b7C

b7E

Cooperating Witness

True Name:

SSN:

DOB:

Expenditure

Type:

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5 JAL/au
 #01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

8/8/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED] this amount was incurred for a [REDACTED] [REDACTED] covering the period of 5/31/90 through 7/10/90.

b6
b7C
b7E

On 7/13/90, the writer's residence was moved to [REDACTED], which required the [REDACTED] While the name of the [REDACTED] remains the same, the new [REDACTED] The attached bill dated 7/14/90 documents charges of [REDACTED] for cost [REDACTED] and service during the period of 7/14/90 through 8/13/90.

b6
b7C
b7E

[REDACTED] \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b7E

The [REDACTED] are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL/aw
#101-0810

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 10 1990	
FBI - WASH. METRO FIELD OFFICE	


CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

41468732807

93 12 27

2013

	SERIAL NUMBER	YEAR MONTH DAY	POST OFFICE	U.S. DOLLARS AND CENTS
PAY				
STREET				
CITY				
CUSTOMER RECEIPT			COD NO. OR USED FOR	

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jc/ku
#01-081

b7E

196A

Request Date: 6/23/90

Voucher Date: 7.5.90

FSA Blue

b6

b7C

b7E

request \$ [] for payment

in connection with investigation

entitled: Mac Rich - Fugitive; EFAC; FPCW; MF; RECO; File No. 196A-1563Is payment to informant in connection with investigation? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 4/10/90 to 7/9/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred for aconsisting in investigation
#1 is included for cost of money order. Billable 2 years into 1994.Approved for
Disbursement

Reviewed

Check No.:

Date: 6.28.90On 7.13.90

received \$ []

from the WAFD

b6

b7C

b7E

Field Support Account for expend

Signed

Payment Date: 6.22.90

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

b6

b7C

b7E

Cooperating Witness

True Name:

SSN:

DOB:

Expenditure

Type

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5 JCL

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

6/25/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] fugitives during the period of 4/10/90 through 7/9/90. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] and a postal money order receipt are attached.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED]

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 JAC
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 28 1991	
FBI - WASH. METRO. OFFICE	

FSA Blue Slip

Request Date: 5/10/90b6
b7C
b7EVoucher Date: 6/1/90to _____, request \$ _____ for payment
in connection with investigationentitled: MURKIN - fugitive, ETAL, FBIW; ME; RICO; JACQUELINE E. ENGLISH, trading with the enemy File No. 196A-1563Payment to informant to be made by check or money order? ☐ Yes ☒ NoAuthority: SAC Period Covered: 3/1/90 to 4/9/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenditures incurred forb6
b7C
b7E#1 is included for cost of money order, FBI's attention
#1 money orderApproved for
Disbursement:

Reviewed

Check No.: _____

Date: 5/16/90On 5/18/90, I receivedfrom the LIMITED

Field Support Account for expend

Signed: _____

Payment Date: 5/22/90

Comments:

Informant Payments:

Witnessed by: _____

b6
b7C
b7E

- OR -

Waiver Dated: 1/1

Cooperating Witness

True Name: _____

SSN: _____

DOB: 1/1/1

Expenditures

Type

Amount

_____	\$	1.00
_____	\$	
_____	\$	
_____	\$	
_____	\$	
_____	\$	
_____	\$	
_____	\$	
_____	\$	
Total:	\$	_____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: _____

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jac
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

5/10/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

[REDACTED] during the period of 3/10/89 through 4/9/90. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JAC
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 11 1990	
FBI - WASH. METRO FIELD OFFICE	

196A

FSA Blue Slip

Request Date:

5/15/90

b6

b7C

b7E

Voucher Date:

6/1/90

request \$ [redacted] for payment
to [redacted] in connection with investigation
entitled: Marc Rich - Fugitive; ETHL; FOLW; RF; RECD; Income Tax Evasion No. 196A-1563

Payment to Informant or Cooperating Witness for disclosed information? ☐ Yes ☒ No

Authority:

SAC

Period Covered:

2/1/90 to

4/30/90

Payments made by other offices? ☐ Yes ☒ No

Details:

EXPENSES incurred for [redacted]

Key Case Agent in

Bills are attached. \$1 is included

NOT COST of MONEY ORDER, \$.70 money order

Approved for
Disbursement:

[redacted]

Reviewed

Check No.:

Date:

5/16/90

On 5/18/90 I received \$

from the

L.M.A.C.

Field Support Account for expense

Signed

[redacted]

Payment Date:

5/20/90

Category:

Informant Payments:

Witnessed by:

[redacted]

-OR-

Waiver Dated:

Cooperating Witness

True Name:

SSN:

DOB:

b6

b7C

b7E

Signature

Type

Amount

[redacted]	\$	1.00
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
[redacted]	\$	
Total:	\$	[redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

[redacted]

Title:

ISA

For FBIHQ Use Only:

☐ Approved☐ Disapproved

Classification:

Classified by:

Declassify on:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jlm

Field Office Copy

JA RETURNED 304 ON 5/31/90 M

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 5/10/90

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 2/1/90 through 4/30/90 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached are original invoices for [REDACTED] for March and April, 1990. The customer (yellow) copy of the invoice is submitted for May, 1990 as the original of the bill must be sent with payment.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 Jhu

#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 11 1991	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 4/16/90Voucher Date: 4/19/90b6
b7C
b7EI, [redacted], request \$ [redacted] for payment to [redacted] in connection with investigationentitled: MARC RILE - Fugitive (ETAL) FBI; MF; RICO; [redacted] File No. 196A-1543
Latent Tax Evasion - Trading With The Enemy Information? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 30/10/90 to 4/19/90Payments made by other offices? ☐ Yes ☒ NoDetails: Exempt income for use of a[redacted] Concerning investigation
It is claimed for cost of money order. Bill attachedApproved for
Disbursement: [redacted]Reviewed [redacted]Check No.: [redacted]Date: 4/13/90On 4/17/90, I received \$ [redacted] from the L2KFOField Support Account for exper [redacted]Signe [redacted]b6
b7C
b7EPayment Date: 4/17/90Category: [redacted]

Informant Payments:

Witnessed by: [redacted]

- OR -

Waiver Dated: 1/1b6
b7C
b7E

Cooperating Witness

True Name: [redacted]SSN: [redacted]DOB: 1/1

Type

Expense

Amount

Total: [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted]Title: [redacted]

For FBIHQ Use Only:

- ☐
- Approved
-
- ☐
- Disapproved

Classification: [redacted]
Classified by: [redacted]
Declassify on: [redacted]

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 [redacted]
#01-089

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

4/6/90

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED] The expenses were incurred for a [REDACTED]

[REDACTED] the fugitives during the period of 2/10/89th through 3/9/90. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

b6
b7C
b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
APR 6 1990	
FBI - WASH. METRO FIELD OFFICE	

Classification:

196A

FSA Blue Slip

Request Date: 3/9/90

b6

b7C

b7E

Voucher Date: 3/21/90

request \$ [redacted] for payment to [redacted] in connection with investigation

entitled: MARC RICH - Fugitive, ETAL; FBI/ME; RICO; Income Tax No. 196A-1563Program as to Internal or External Source? ☐ Yes ☒ NoAuthority: SAC Period Covered: 2/10/90 to 3/9/90Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred by use of a [redacted] b6Concerning investigation #1.00 is claimed b7C
for cost of money order. Bill is attached b7E

Approved for Disbursement:

Reviewed

Check No.:

Date: 3/13/90

On 3/15/90, I received \$

from the LIFE

Field Support Account for expenditure

Signed: [redacted]

Payment Date: 3/16/90

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness

True Name:

SSN:

DOB:

b6

b7C

b7E

Type

Amount

\$ 1.00

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted]

Title: FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP3 JCL
 #01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

3/9/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] the fugitives during the period of 2/10/90 through 3/9/90. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 J/la
#01-087

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
MAR 9 1990	
FBI - WASH. METRO FIELD OFFICE	

MEMORANDUM

TO: SAC, WMFO.(196A-1563) (P) 2/12/90

FROM: *fu* SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

[REDACTED] Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 11/1/89 through 1/31/90 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached are original invoices for [REDACTED] for November and December 1989. The customer (yellow) copy of the invoice is submitted for January, 1990 as the original of the bill must be sent with payment.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 JCL/au
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 13 1990	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 2/12/90Voucher Date: 3/1/90

b6

b7C

b7E

to [redacted], request \$ [redacted] for payment in connection with investigation

entitled: MARC RIZK - Fugitive; ETAL; FBI; ME; RICO; Income Tax; FLS No. 196A-1563you wish to inform major Cooperating Witness for 12 months information? ☐ Yes ☒ NoAuthority: SAC Period Covered: 1/10/90 to 2/9/90Payments made by other offices? ☐ Yes ☒ No:Details: Expenses incurred for use of A [redacted]

[redacted] information concerning investigation

#1.00 additional is charged for cost of money order. Bill is attached.

Approved for Disbursement: [redacted] Reviewed: [redacted]

Check No.: [redacted] Date: 2/16/90On 2/21/90, I received \$ [redacted] from the WHEFO

b6

b7C

b7E

Field Support Account for expendi

Signed: [redacted]

Payment Date: 2/24/90

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1/

b6

b7C

b7E

Cooperating Witness

True Name: [redacted]

SSN: [redacted]

DOB: 1/1/

Total: \$ [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted] Title: FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification: _____
 Classified by: _____
 Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5 JEL
 #01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

2/12/90

FROM: *hy* SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

[REDACTED] Attached is an FSA Blueslip requesting payment of
[REDACTED] for payment to the [REDACTED] The expenses
were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] the fugitives during the period of 1/10/89 through
2/9/90. \$1.00 is included for the cost of a Postal Money Order
to pay the bill.

The [REDACTED] are
attached.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this
payment, FSA balance will be [REDACTED]

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JAC
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
FEB 13 1990	
FBI - WASH. METRO FIELD OFFICE	

FBIHQ Copy

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

1/2/90

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] of the fugitives during the period of 12/10/89 through 1/9/90. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 J/ku
#01-087

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 2 1990	
FBI - WASH. METRO FIELD OFFICE	

Classification: 196A

FSA Blue Slip

b7E

Request Date: 11/30/89Voucher Date: 12/6/89

b6

b7C

b7E

I, [redacted], request \$ [redacted] for payment to [redacted] in connection with investigationentitled: MARC RILEY (FUGITIVE); FEAR; EDW; MF; RICO; Tax; File No. 136A-1563
Evasion; Trading with the Enemy for collection information: ☐ Yes ☒ NoAuthority: SACPeriod Covered: 8/1/89 to 10/31/89Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred by [redacted] Key Case Agent

Approved for Disbursement:

b6

Reviewed

b7C

b7E

Check No.:

Date: 12/5/89On 12/6/89, I received \$ [redacted]from the WMFO

Field Support Account for expense

Signed: [redacted]Payment Date: 11/9/89

Category:

Informant Payments:

Witnessed by: [redacted]

- OR -

Waiver Dated: 1/1/

b6

b7C

b7E

True Name:

SSN:

DOB:

Compensation Waiver

Type

Expense

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted]Title: FSA/WMFO

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5 JAC

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 11/30/89

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 8/1/89 through 10/31/89 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached are invoices for [REDACTED] during the above period and a money order receipt.

b7E

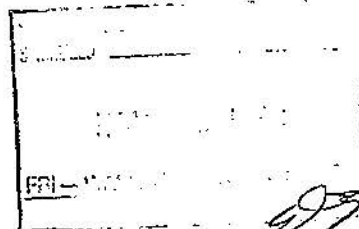
AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 J/K

#01-081



FSA Blue Slip

Request Date: 11, 27, 89

b6
b7C
b7E

Voucher Date: 12/18/89

to _____, request \$ _____ for payment
_____ in connection with investigation

entitled: MATE RICH - fugitive, ET AL; FUG; RIF; RICO; Taxation; Fug No. 196A-156b
EVASION; Trading with the Enemy
 Payment to informant or Cooperator? ☐ Yes ☒ No

Authority: SKC

Period Covered: 11/19/88 to 12/9/88

Payments made by other offices? ☐ Yes ☒ No :

Details: Expenses incurred for A [redacted] information concerning this MAT# 91100
[redacted] is claimed to cover cost of money order. [redacted] bills -

Approved for
Disbursement:

b6 _____ Reviewed
b7C
b7E _____ Check No.: _____

Date: 11.29.89

On 12/6/89, I received \$ from the WMFO

Field Support Account for exper

Signed

Payment Date: 12/16/89

Concerv:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

b6
b7C
b7E

Cooperating Witnesses

Trade Name:**SSN:**

DOZ:

Expenditure

Type:

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved
☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jcl
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

11/27/89

FROM: SA [REDACTED] (C-9)

b6
b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] the fugitives during the period of 11/10/89 through 12/9/89. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

AUTHORITY/FSA BALANCE:

b7E

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jm

#01-081

Classification:

b7E

FSA Blue Slip

Request Date: 10/20/89

b6
b7C
b7E

Voucher Date: 11/2/89

to _____, request \$ _____ for payment
_____ in connection with investigation

entitled: MARC RICH - FUGITIVE (B); ETAL; FBI; MF; RICO; File No. 196A-156
INCOME TAX EVASION; TRADING WITH THE ENEMY
 Payment to informant or Covert source, without prior approval, Information: ☐ Yes ☒ No

Authority: SAC Period Covered: 9/10/89 to 11/9/89

Payments made by other offices? ☐ Yes ☒ No :

Details: Expense incurred for use of A

Information concerning this matter, \$1.00 is claimed to cover cost of removal & return. Telephone bills are attached.

Approved for Disbursement:	Check No.	Date: 10/24/89
----------------------------	-----------	----------------

On 11/1/89, I received \$ from the WDFD

Field Support Account for expend

Signed

Payment Date: 10/31/89

Conclusions

Informant Payments:

Witnessed by:

- CR -

Waiver Dated: / /

Cooperating Winces

True Name: _____

SSN: _____

002: / /

Ergebnis

33.5342 33.5342

Totals:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved

☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5J/c

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

10/20/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to the [REDACTED]. The expenses were incurred for a [REDACTED]

b6
b7C
b7E

[REDACTED] the fugitives during the period of 9/10/89 through 11/9/89. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are attached.

b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 JCL
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
OCT 20 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 9 / 1 / 89

Voucher Date: 10/4/89

to [redacted], request \$ [redacted] for payment [redacted] b6
 in connection with investigation b7C
 b7E

entitled: MARC RICH/PINCUS GREEN-FUGITIVES; FBW; MF; INCOME TAX; File No. 196A-1563

Payment to Informant or Cooperating Witness for Location Information? ☐ Yes ☒ No

Authority: SAC, WMFO Period Covered: 8 / 24 / 89 to 12 / 28 / 93

Payments made by other offices? ☐ Yes ☒ No :

Details: Reimbursement for [redacted] which will be used in an undercover capacity for the above-captioned case.

Approved for
Disbursement:

Reviewed

Check No.:

Date: 9/13/89

On 10 / 2 / 89, I received \$ [redacted] from the WMFO

b6

Field Support Account for expenditure in connection with above matter

b7C

b7E

Signed: [redacted]

Payment Date: 8/2/89

Expenditure

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

b6

b7C

b7E

Cooperating Witness

The above:

SAC:

COO:

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted]

Title:

SPECIAL AGENT

FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP59/lac

#01-081

Memorandum



To : SAC, WMFO (196A-1563 SUB Y)

Date

9/11/89

From : SA [redacted] (C-8)

b6
b7C

Subject : MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
ET AL;
FBW; MF; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NY

Attached is a FSA Blueslip requesting payment of [redacted]
to SA [redacted] for reimbursement for an [redacted]
The [redacted] has been used by SA [redacted] in an undercover capacity
for the above-stated case and will be used in the future on
ILLWIND related investigations.

b6
b7C
b7E

Dollar amount in RICH, et al, case funds at time of
request:

Amount requested:
Amount remaining:

[redacted]

b7E

Receipt for expense is attached.

2-WMFO
1-SSA [redacted]
RAH/rah

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3/jac
#01-081

[Signature]

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b7E

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PAID - 13

AUG 24 1989

DCT - 613

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jlu

#01-081

FSA Blue Slip

Request Date: 8/21/89

b6
b7C
b7E

Voucher Date: 10, 6, 89

to _____, request \$ _____ for payment
in connection with investigation

entitled: Marc Rich (Kug: true); ETAL; FBW; MF; RICO; Income TAX File No. 196A-1563

10. Do you intend to or do you intend to sell your roots? ☐ Yes ☒ No

Authority: STAC 111 (1, 2) Period Covered: 8/1/89 to 10/1/89

Payments made by other offices? ☐ Yes ☒ No :

Details:	Operational Expenses incurred	for Surveillance
----------	-------------------------------	------------------

[illegible][illegible][illegible]

Approved for Disbursement:	Check No.:	Date: 9/1/89
----------------------------	------------	--------------

Disbursement: _____ Check No.: _____ Date: ____/____/____

On 9/20/84, I received \$ _____ from the Wheeler

Field Support Account for exper

Signe

b6
b7C
b7E

Payment Date: 8/16/89

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

b6
b7C
b7E

Cooperating Witness

Trainers

2000

1003

Ex. 2. 22. 2000

Type:

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

FSA/WMEC

For FBIHQ Use Only:

☐ Approved

☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/24/01 BY SP5/jas
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

8/21/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting [REDACTED] for
operational expenses incurred by an [REDACTED]
[REDACTED] (SAs) on surveillance at the
[REDACTED] which occurred [REDACTED] The agents observed a
[REDACTED]

b6
b7C
b7D
b7E
b7F

Surveillance was conducted [REDACTED]
[REDACTED]

The following expenses were incurred [REDACTED]
[REDACTED] concerning this matter:

b7E

b6
b7C
b7D
b7E
b7F

b6
b7C
b7E

③-WMFO
WMM/ms

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 21 1989	
FBI - WASH. METRO FIELD OFFICE	

[Redacted]

b6
b7C
b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [Redacted] After this
payment, FSA balance will be [Redacted]

b7E

Memorandum



To : SAC, WMFO (196A-1563) (P)

Date 9/1/89

From : SSA [redacted] (C-9)

b6
b7C

Subject: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Re 8/21/89 memo and FD-536 of SA [redacted]

b6
b7C
b7D
b7E
b7F

The purpose of this memorandum is to address expenditures incurred during a physical surveillance on [redacted] relative to captioned matter.

This is an extremely sensitive investigation [redacted]

[redacted] For administrative purposes, the recent information has been handled under captioned matter, however, if it continues to develop, a separate case will be opened.

b7E

The [redacted] surveillance referred to above took place at [redacted]

[redacted] Due to the sensitive nature of the investigation, [redacted]

[redacted] this information is contained in the substantive case file which is maintained by SSA [redacted] and can be provided on a need to know basis.

b6
b7C
b7D
b7E
b7F

Adequate coverage of this meeting required physical surveillance and [redacted]

b7D
b7E
b7F

3-WMFO
DEB:mt
(3)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/24/01 BY SP5 JCL
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
1989	
FBI - WASHINGTON FIELD OFFICE	

Due to the [REDACTED]

[REDACTED]

b6
b7C
b7D
b7E
b7F

It is realized that [REDACTED]

[REDACTED]
however, in this instance it was necessary. In anticipation of the usual circumstances surrounding this matter, it was discussed with ASAC [REDACTED] prior to the meeting. At that time, approval was obtained [REDACTED]
[REDACTED]

b6
b7C
b7E

FSA Blue Slip

Request Date: 8/25/89

Voucher Date: 9, 5, 89

I, [redacted], request \$ [redacted] for payment to [redacted] in connection with investigation [redacted]

entitled: Mary Ruth - Fugitive; ETAL; FBIW; JEF; RTCD; Tacoma-TAY File No. 196A-156

For Needle Information? : ☐ Yes ☒ No

Authority: SAC Period Covered: 8/10/89 to 9/9/89

Payments made by other offices? ☐ Yes ☒ No : _____

Details: EXHIBITS incurred for use of A

Information concerning this investigation

*1.00 is included for cost of a money order to, a.s., The Little B.D. & others

Approved for		Reviewed	
--------------	--	----------	--

Approved for Disbursement:	Check No.:	Date: 8/29/89
----------------------------	------------	---------------

[illegible]

On 9/11/89 received \$ from the WFO

Field Support Account for expenditures in connection with above matter.

Signed: _____

4256

Payment Date: <u>9/12/07</u>	Signature: _____
------------------------------	------------------

[illegible]

Witnessed by: _____

- OR -

§

Waiver Dated: 1 / 1 /

Waiver Dated: 1-1-7

b6
b7C

Occupying Vietnam

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

8/25/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of
[REDACTED] to the writer for a payment to be made by the writer to
the [REDACTED] The expenses were incurred for
a [REDACTED]

b6
b7C
b7E

[REDACTED]
the fugitives during the period of 8/10/89 through 9/9/89. \$1.00
is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are
attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this
payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jc

#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 25 1989	
FBI - WASH. METRO FIELD OFFICE	

MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)

8/25/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of
[REDACTED] to the writer for a payment to be made by the writer to
the [REDACTED] The expenses were incurred for
a [REDACTED]

b6
b7C
b7E

[REDACTED]
the fugitives during the period of 8/10/89 through 9/9/89. \$1.00
is included for the cost of a Postal Money Order to pay the bill.

The [REDACTED] are
attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this
payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JLM
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
AUG 26 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 8.25.89b6
b7C
b7EVoucher Date: 9.5.89to [redacted], request \$ [redacted] for payment
in connection with investigationentitled: Mary Rick - Fugitive; ET AL.; FBIW; ME, ALCO; J. Edgar Hoover File No. 196A-1563Payment to Informant or Cooperator for Merit Information? ☐ Yes ☒ NoAuthority: STH Period Covered: 8.24.89 to 8.24.89Payments made by other offices? ☐ Yes ☒ NoDetails: Costs incurred for [redacted]for use in this investigation, receipt & attached

[redacted]

Approved for Disbursement: [redacted] Reviewed: [redacted]

Check No.: [redacted] Date: 8.29.89On 9.1.89 received \$ [redacted] from the WMFO

Field Support Account for expenditure: [redacted]

Signed: [redacted]

Payment Date: 8.24.89

Category: [redacted]

Informant Payments: Witnessed by: [redacted]

- OR -

Waiver Dated: 1/1

Cooperating Witness: [redacted]

True Name: [redacted]

SSN: [redacted]

DOB: 1/1

Expenditure

Type: [redacted]

Amount: [redacted]

Total: \$ [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted] Title: STH

For FBIHQ Use Only:

☐ Approved ☐ Disapproved

Classification: [redacted]

Classified by: [redacted]

Declassify on: [redacted]

Field Office Copy

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 2/26/01 BY SP3 JLC

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89

FROM: SA [REDACTED] (C-9) b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment made by the writer on 8/24/89 to the [REDACTED] for an undercover [REDACTED] for the writer to be used in captioned matter. Attached is a receipt for payment of [REDACTED]

b6
b7C
b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL

#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 25 1989	
FBI - WASH. METRO FIELD OFFICE	

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment made by the writer on 8/24/89 to the [REDACTED] for an undercover [REDACTED] for the writer to be used in captioned matter. Attached is a receipt for payment of [REDACTED]

b6
b7C
b7E

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP3 J/L

#01-081

SEARCHED _____	INDEXED _____
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AUG 26 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue SlipRequest Date: 8/25/89b6
b7C
b7EVoucher Date: 9/5/89to _____, request \$ _____ for payment
in connection with investigationentitled: Marc Rich - fugitive, Pinous Green, ETAL; FBI; REFINCO; File No. 196A-1562Payment to Informant or Cooperating Witness for Recorded Information? ☐ Yes ☐ NoAuthority: SAC Period Covered: 7/1/89 to 7/31/89Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred for _____ for Case AgentApproved for
Disbursement: _____

Reviewed: _____

Check No.: _____

Date: 8/29/89On 9/1/89 received \$ _____from the WFOb6
b7C
b7E

Field Support Account for expend _____

Signed: _____

Payment Date: 9/2/89

Category: _____

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: 1/1b6
b7C
b7E

Cooperating Witness

True Name: _____

SSN: _____

DOB: 1/1

Expenditure

Total: _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: ISA**For FBIHQ Use Only:**

- ☐ Approved
☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SPS/jm

#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 7/1/89 through 7/31/89 to [REDACTED] in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached is an invoice for [REDACTED] during the above period.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jc
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 25 1989	
FBI - WASH. METRO FIELD OFFICE	

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payment to be made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 7/1/89 through 7/31/89 to [REDACTED] in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional 1.00 is included for the cost of a money order to pay the bill.

b6
b7C
b7E

Attached is an invoice for [REDACTED] during the above period.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL

#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 26 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 7/18/89

b6
b7C
b7E

Voucher Date: 8,2,89

to [redacted] b7E, request \$ [redacted] for payment
[redacted] in connection with investigation

entitled: Marcel Ritz - Fugitive; ETAL; FBL; MF; RICO; File No. 196A-157

12. Do you have any other comments? I agree with the tax : ☐ Yes ☒ No

Authority: SAC Filed COMM. 7/10/89 to 8/9/89

Payments made by other offices? ☐ Yes ☒ No : _____

Details: Expenses incurred for use of A

information concerning this investigation

1.00 is claimed for cost of money over. Bill and receipt are

Approved: [Redacted] Reviewed: [Redacted]

Approved for Disbursement:	Check No.:	Date: 7.22.89
----------------------------	------------	---------------

On 7/26/89 received \$ from the CHFO b6

Field Support Account for expenses [REDACTED] b6
b7C

Signed _____ b7E

[illegible]

Payment Date: 7/18/89 Exp. Ind. 6/90

Informant Payments:		Type	Amount
1	C. J. [redacted]		

Witnessed by: _____

- 1 -
1

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466
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Waiver Dated: 7/7

b6

Cooperating Witness

The Period: _____

DOB: 11/11 **Total:** 0

[illegible]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt(s) is/are attached, please explain why not.

receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:		Title: <u>FSA/WMFO</u>
---------	--	------------------------

Signed:	Date: 1-27-0

For FBIHQ Use Only:

☐ Approved Classification: _____
Classified by: _____

☐ Approved Classified by: _____
☐ Disapproved Declassify on: _____

[illegible]

Field Office Copy

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HEREIN IS UNCLASSIFIED

DATE 2/26/01 BY SJB/
#A1-181

7101 001

MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)

7/18/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] to the writer for a payment made by the writer to the [REDACTED]. The expenses were incurred for [REDACTED].

[REDACTED] RICH and PHELAN and their associates during the period of 7/10/89 through 8/9/89. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b6
b7C
b7E

The [REDACTED] and a postal money order receipt documenting [REDACTED] and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL/au
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
JUL 19 1989	
FBI -- WASH. METRO FIELD OFFICE	

FSA Blue Slip

Voucher Date: 8/3/89

b6
b7C
b7E

request \$ _____ for payment

to [redacted] in connection with investigation

entitled: MARC RICH - FLY, FLY; ETAL; FBLW; MF; (RICH); The Hon. J. Edgar Hoover; File No. 196A-1563

Everson and Telling left the Enemy

Authority: SAC Period Covered: 5/1/89 to 6/30/89

Payments made by other offices? ☐ Yes ☒ No

Details: Expenses incurred for for Case Agent in

Approved for
Disbursement:

Reviewed:

Check No.:

Date: 7/24/89

On 8/2/89 I received \$

from the WMFO

Field Support Account for expenditures

Signed:

b6
b7C
b7E

Payment Date: 7/18/09

1. Chlorine

Informant Payments:

Witnessed by:

- CR -

Waiver Dated: / /

b6
b7C
b7E

Cooperating Winos

Try It Now:

1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 26

203:

Type:

Amor, si

Totals:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

FSA/WME

For FBIHQ Use Only:

☐ Approved
☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5Jc/aw

#61-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

7/18/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

[REDACTED] Attached is an FSA Blueslip requesting payment of [REDACTED]
[REDACTED] for payments made by the writer to the [REDACTED]
[REDACTED] The expenses were incurred for the monthly [REDACTED]
[REDACTED] for use by the writer in the [REDACTED]
[REDACTED] during the period of 5/1/89 through 6/30/89 to [REDACTED]
[REDACTED] concerning developments in captioned matter. Cost of [REDACTED]
during this period was [REDACTED] An additional .75 is included for
a money order which were purchased by the writer for payment of
the bills.

b6
b7C
b7E

Attached are invoices for [REDACTED] during the period
of 5/1/89 through 6/30/89. Also attached is the money order
receipt documenting payment of these bills.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED] After this
payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JAC
#01-087

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 19 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 6/26/89

Voucher Date: 7/7/89

b6
b7C
b7E

to [redacted] b7E [redacted], request \$ [redacted] for payment in connection with investigation

entitled: Marv Rick - Fugitive; ETAL; FBI; M-F; RICO; 1/1, File No. 156A-1563 ^{12F}

Would you like to be contacted for more information? : ☐ Yes ☒ No

Authority: JAC

Period Covered: 5/10/53 to 7/9/59

Payments made by other offices? ☐ Yes ☒ No :

Details: Expenses incurred for use of [redacted]
[redacted] information concerning this case. \$1.00 is
claimed for cost of postal money order. Bills & receipt are attached.

Approved for
Disbursement:

Reviewed

Check No.:

Date: 7/3/89

On 7.7.89 received \$ from the W.H.O.

Field Support Account for expend

Signed

b6
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b7E

Payment Date: 6.22.89

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: / /

b6
b7C
b7E

Experiments

Type	Amount
------	--------

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved

☐ Disapproved

Classification: _____
Classified by: _____
Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/SLC
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

6/26/89

FROM: SA (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [redacted] to the writer for a payment made by the writer to the [redacted]. The expenses were incurred for a [redacted].

RICH and PHELAN during the period of 5/10/89 through 7/9/89.
\$1.00 is included for the cost of a Postal Money Order to pay the bill.

b6
b7C
b7E

receipt documenting [redacted] and a postal money order and payment are attached.

AUTHORITY/FSA BALANCE:

After this payment, SAC authority. FSA balance is [REDACTED]
FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCA
#01-087

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
JUN 27 1968
FBI — WASH. METRO FIELD OFFICE

MEMORANDUM

TO: SAC, WMFO (196A-1563)

6/26/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] to the writer for a payment made by the writer to the [REDACTED]. The expenses were incurred for a [REDACTED]

[REDACTED]

RICH and PHELAN during the period of 5/10/89 through 7/9/89. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b6
b7C
b7E

receipt documenting [REDACTED] and a postal money order and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]
After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SPJ/lm
#01-087

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 27 1989	
FBI - WASH. METRO OFFICE	

492

2931

FSA Blue Slip

Request Date: 6/8/89

Voucher Date: 6/22/89

to [redacted], request \$ [redacted] for payment [redacted] in connection with investigation [redacted]

entitled: Mark Rich - Fugitive; ETAL; FBLO; MF; RTCD; File No. 196A-1565

Agree to Inform or Cooperating Witness for More Info? : ☐ Yes ☒ No

Authority: SAC

Period Covered: 5/1/89 to 4/30/90

Payments made by other offices? ☐ Yes ☒ No :

Details: <u>Cost of</u>	<u>Per 5A</u>	
-------------------------	---------------	--

Undersigned [redacted] which is used in this case - 899.15
 claimed for cost of money order, Bill of Money Order receipt
 8910 Available [redacted] Reviewed [redacted]

Approved for
Disbursement:

Check No.:

Date: 6/19/82

On 6/22/89 received \$ 1,745.00 from the 1,745.00

Field Support Account for expend

Signed

Payment Date: 5.19.89

Category:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

Cooperating With You

True Name:

SSN:

DOB:

b6
b7C
b7E

Type:

Experiments

Amount

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved

☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 JCL
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

6/9/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] to the writer for a payment made by the writer to the [REDACTED]. The expenses were incurred for the [REDACTED] for the writer's undercover [REDACTED]. This undercover [REDACTED] is in the writer's [REDACTED] and is used regarding captioned matter. \$.89 is included for the cost of a Postal Money Order to pay the bill.

b6
b7C
b7E

The [REDACTED] bill documenting the [REDACTED] [REDACTED] and the postal money order receipt documenting [REDACTED] and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]
After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5 Jc/ae
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
JUN 10 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 6/9/89Voucher Date: 6/22/89

b6

b7C

b7E

to _____, request \$ _____ for payment

in connection with investigation

entitled: Marc Rich - Fugitive; ETAL; FOW; MF; RICO; File No. 196A-1563Pursuant to the Freedom of Information Act, is the information requested for disclosure? ☐ Yes ☒ NoAuthority: SAC Period Covered: 3/10/89 to 5/9/89Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred for use of a _____information concerning this investigation.
89 is claimed for cost of Mowen Order Bill & Ram it is attached.Approved for
Disbursement

Reviewed

Check No.: _____

Date: 6/19/89On 6/22/89, I received \$ _____ from the WMFO

b6

b7C

b7E

Field Support Account for expense

Signed

Payment Date: 5/4/89

Informant Payments:

Witnessed by: _____

- OR -

Waiver Dated: 1/1

b6

b7C

b7E

Cooperating Witness

Total: _____

OSD: _____

GOD: 1/1

Expenditure

Type Amount

Total: _____

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: _____

Title: FSA/WMFO

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification: _____

Classified by: _____

Declassify on: _____

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5JCL/au
 #01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

6/9/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] to the writer for a payment made by the writer to the [REDACTED]. The expenses were incurred for a [REDACTED]

[REDACTED]
RICH and PHELAN during the period of 3/10/89 through 5/9/89. \$.89 is included for the cost of a Postal Money Order to pay the bill.

receipt documenting [REDACTED] and a postal money order and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]
After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5j/ac
#01-081

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 10 1989	
FBI - WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 6/20/89

b6
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b7E

Voucher Date: 6/21/07

to [redacted] b7E, request \$ [redacted] for payment
[redacted] in connection with investigation

entitled: Marc Ritz - RUC; HVE; ETAL; FBO; MF; RICO; File No. 196A156

Agreement to participate in or cause to be made for disclosure information? ☐ Yes ☒ No

Authority: SAC Period Covered: 11/1/89 to 4/30/89

Payments made by other offices? ☐ Yes ☒ No :

Details: <u>Expense incurred for</u>	<u>for Case Agent in</u>
--------------------------------------	--------------------------

Approved for
Disbursement

Reviewed

Check No.:

Date: 6/22/89

On 6/26/89 I received \$ from the LYFO

Field Support Account for expenses

Signed

-b6
b7C
b7E

Payment Date: 3/1/89

Color:

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: / /

b6
b7C
b7E

Cooperating Winces

True Name:

SEN:

DOE:

Expenditure

Type:

Abstract

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved
☐ Disapproved

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/c/l
#01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

6/20/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] for payments made by the writer to the [REDACTED]. The expenses were incurred for the monthly [REDACTED] for use by the writer in the [REDACTED] during the period of 1/1/89 through 4/30/89 to [REDACTED] concerning developments in captioned matter. Cost of [REDACTED] during this period was [REDACTED]. An additional \$1.89 is included for two money orders which were purchased by the writer for payment of the bills.

b6
b7C
b7E

Attached are invoices for [REDACTED] during the period of 1/1/89 through 4/30/89. Also attached are money order receipts documenting payment of these bills.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [REDACTED]
After this payment, FSA balance will be [REDACTED]

b7E

WMM/wmm
WMM

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5Jc/ae
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
JUN 20 1989	
FBI -- WASH. METRO FIELD OFFICE	

FSA Blue Slip

Request Date: 5/2/89

Voucher Date: 5/18/89

b6

b7C

b7E

to [redacted], request \$ [redacted] for payment to [redacted] in connection with investigation

entitled: Marc Rich - Fugitive; ETAL; FBW; MF; REED; Trading with the Enemy; No. 196A-1562Do you have Informant or Confidential Witness for this information? ☐ Yes ☒ NoAuthority: SACPeriod Covered: 1/10/89 to 3/9/89Payments made by other offices? ☐ Yes ☒ NoDetails: Expenses incurred for [redacted] information from concerning this investigation.\$1.00 is being claimed to cover the cost of a Postal Money Order.Bills and Receipt are attached.

Approved for Disbursement: [redacted]

Reviewed: [redacted]

Check No.: [redacted]

Date: 5/10/89

On 5/17/89 I received \$ [redacted]

from the WIFE

b6

b7C

b7E

Field Support Account for expenses

Signed: [redacted]

Payment Date: 5/1/89

C. [redacted]

Informant Payments:

Witnessed by: [redacted]

- OR -

Waiver Dated: 1/1/89

Cooperating Witness

b6

b7C

b7E

Total: [redacted]

OSN: [redacted]

DOB: [redacted]

Expenses

Total: \$ [redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [redacted]

Title: FSA

For FBIHQ Use Only:

- ☐ Approved
☐ Disapproved

Classification: [redacted]

Classified by: [redacted]

Declassify on: [redacted]

Field Office Copy

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/26/01 BY SP5/c/a
 #01-081

MEMORANDUM

TO: SAC, WMFO (196A-1563)

5/2/89

FROM: SA [REDACTED] (C-9)

b6
b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of [REDACTED] to the writer for a payment made by the writer to the [REDACTED]. The expenses were incurred for a [REDACTED].

[REDACTED]
RICH and PHELAN during the period of 1/10/89 through 3/9/89. \$1.00 is included for the cost of a Postal Money Order to pay the bill.

b6
b7C
b7E

receipt documenting [REDACTED] and a postal money order and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority, FSA balance is [REDACTED]. After this payment, FSA balance will be [REDACTED].

b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5/jan
#01-081

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
MAY 4 1989	
FBI -- WASH. METRO FIELD OFFICE	

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

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Page 26 ~ Referral/Consult;
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Page 28 ~ Referral/Consult;
Page 29 ~ Referral/Consult;
Page 30 ~ Referral/Direct;
Page 31 ~ Referral/Consult;
Page 72 ~ Referral/Consult;
Page 73 ~ Referral/Consult;
Page 101 ~ Referral/Consult;
Page 102 ~ Referral/Consult;

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X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

VZCZCNY0135

PP HQ NH WFO

DE NY #0135 0112245

ZNR EEEEE

R 112156Z JAN 85

FM FBI NEW YORK (196A-1774) (C-1)

TO DIRECTOR FBI (196-2848) PRIORITY

ATTN: SUPERVISOR [REDACTED] FINANCIAL CRIMES UNIT,

b6
b7C

ATTN: DIVISION 6

FBI NEW HAVEN PRIORITY

ATTN: SPECIAL AGENT [REDACTED]

b6
b7C

FBI WASHINGTON FIELD PRIORITY

ATTN: [REDACTED]

b6
b7C

BT

UNCLAS E F T O SECTION 1 OF 3

2-23-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C,D)
DECLASSIFY ON: X 1/b
CDRU# 01-081

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MAIL FRAUD;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW YORK

b6
b7C

REFERENCE NEW YORK TELETYPE TO DIRECTOR, DATED DECEMBER 12,
1984, AND NUMEROUS TELEPHONE CALLS BETWEEN NEW YORK, LEGAT, BONN,

note copy
these
returned NY
Buck
2

[REDACTED]
Call are re
71000 come P13
To A

196A-15634
JAN 17 9 46 AM '85
[REDACTED]

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~~SECRET~~

b6
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~~SECRET~~

PAGE TWO DE NY 0135 UNCLAS E F T O SECTION 1 OF 3

FBIHQ AND NEW HAVEN SPECIAL AGENT [REDACTED] DECEMBER 12, 1984 THROUGH
DECEMBER 20, 1984.

REFERENCED NEW YORK TELETYPE NOTED [REDACTED]

b6
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b7F

[REDACTED] A SENSITIVE AND RELIABLE

[REDACTED] SOURCE, WHO IS A [REDACTED]

THE

FOLLOWING IS A SUMMARY OF EVENTS AS PROVIDED BY SOURCE.] (S) (U)

SOURCE ADVISED [REDACTED]

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(S) (U)

~~SECRET~~

PAGE THREE DE NY 0135 UNCLAS E F T O SECTION 1 OF 3

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~~(S)~~ (U)

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SOURCE ADVISED

~~(S)~~ (U)

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PAGE FOUR DE NY 8135 UNCLAS E F T O SECTION 1 OF 3

[REDACTED]

[REDACTED]

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FOLLOWING THE

[REDACTED]

[REDACTED]

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[REDACTED]

~~(S)~~ (U)

FOLLOWING

[REDACTED]

[REDACTED]

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[REDACTED]

~~(S)~~ (U)

PAGE FIVE DE NY 2135 UNCLAS E F T O SECTION 1 OF 3

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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THE FOLLOWING IS A SUMMARY [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED]

[REDACTED] ~~(S)~~ (U)

PAGE SIX DE NY 0135 UNCLAS E F T O SECTION 1 OF 3

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BT

#0135

~~SECRET~~

VZCZCNY0136

PP HQ NH MFO

DE NY #0136 0112245

ZNR EEEEE

R 112156Z JAN 85

FM FBI NEW YORK (196A-1774) (C-1)

TO DIRECTOR FBI (196-2848) PRIORITY

FBI NEW HAVEN PRIORITY

FBI WASHINGTON FIELD PRIORITY

BT

UNCLAS E F T O SECTION 2 OF 5

[REDACTED]

(S) (U)

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SOURCE SAID

[REDACTED]

[REDACTED]

(S) (U)

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JAN 17 1985

~~SECRET~~

~~SECRET~~

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~~(S)~~ (U)

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~~(S)~~ (U)

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PAGE THREE DE NY 0136 UNCLAS E F T O SECTION 2 OF 3

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PAGE FOUR DE NY 0136 UNCLAS E F T O SECTION 2 OF 3

~~SECRET~~

[REDACTED]

[REDACTED]

[REDACTED]

~~(S)~~

(U)

[REDACTED]

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[REDACTED]

~~(S)~~

(U)

[REDACTED]

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[REDACTED]

~~(S)~~

(U)

~~SECRET~~

ADMINISTRATIVE

[] SOURCE IS [] ~~(S)~~ (U) SOURCE'S IDENTITY MUST BE PROTECTED. SOURCE AND FAMILY WILL BE IN PHYSICAL JEOPARDY IF SOURCE'S IDENTITY IS DISCLOSED. [IF THE INFORMATION PROVIDED BY

b6
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IN REFERENCED TELETYPE, NEW YORK NOTED RESULTS OF EFFORTS TO VERIFY INFORMATION PROVIDED BY [] IN SUMMARY, NEW YORK DETERMINED THE BASIC INFORMATION PROVIDED BY [] WAS ACCURATE ALTHOUGH [] BASED ON ABOVE, NEW YORK STILL BELIEVES THIS ASSESSMENT IS ACCURATE. ~~(S)~~ (U)

b6
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BASED ON [] SOURCE IS VERY OPTIMISTIC THAT []

~~(S)~~ (U)

PAGE SIX DE NY 0136 UNCLAS E F T O SECTION 2 OF 3

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[REDACTED]
[REDACTED] (S) (U)

BOTH NEW YORK AND [REDACTED] SOURCE ARE VERY CONCERNED ABOUT

[REDACTED]
[REDACTED] THE NEW YORK

OFFICE HAS TAKEN ADDITIONAL ADMINISTRATIVE STEPS TO PROTECT SOURCE'S
IDENTITY. NEW YORK REQUESTS FBIHQ TAKE SIMILAR PRECAUTIONS. THESE
PRECAUTIONS SHOULD INCLUDE, [REDACTED]

b6
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[REDACTED]
[REDACTED] (S) (U)

REQUEST OF THE BUREAU

BT

#0136

VZCZCJY0137

PP HQ NH WFO

DE NY #0137 0112245

ZNR EEEZ

R 112156Z JAN 85

FM FBI NEW YORK (1964-1774) (C-1)

TO DIRECTOR FBI (196-2848) PRIORITY

FBI NEW HAVEN PRIORITY

FBI WASHINGTON FIELD PRIORITY

BT

UNCLAS E F T O SECTION 3 OF 3

FBIHQ IS REQUESTED TO CONDUCT INDICTS CHECKS TO FURTHER
IDENTITY FNU KUCHNICH (PHONETIC), WHO [REDACTED] WAS A FORMER
SECRETARY OF COMMERCE IN THE CARTER ADMINISTRATION. (S) (U)

b7D
b7F

FBIHQ IS REQUESTED TO DISSEMINATE CONTENTS OF THIS
COMMUNICATION TO LEGATS, BONN AND BERN FOR INFORMATION.

LEGAT, BONN IS REQUESTED TO CONDUCT DISCRETE INQUIRIES
CONCERNING [REDACTED] (S) (U)

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[Handwritten signature and date 1/15/85]

~~SECRET~~

PAGE TWO DE NY 0137 UNCLAS E F T O SECTION 3 OF 3

IT IS NOTED PREVIOUS COMMUNICATIONS HAVE BEEN SENT TO LEGAT, BONN, UNDER THE "~~SECRET~~" CLASSIFICATION. SINCE THIS CLASSIFICATION CAUSED SERIOUS PROBLEMS FOR LEGAT IN DISSEMINATING [REDACTED]

[REDACTED] NEW YORK WILL PREPARE FUTURE COMMUNICATIONS IN SUCH A WAY TO ALLOW MOST, IF NOT ALL INFORMATION, TO BE DISSEMINATED.

b7E

SINCE PROTECTION OF SOURCE'S IDENTITY IS PARAMOUNT, LEGAT BONN IS REQUESTED TO USE CAUTION WHEN DISSEMINATING INFORMATION FROM SOURCE.

WASHINGTON FIELD, AT WASHINGTON, D.C. WASHINGTON FIELD OFFICE IS REQUESTED TO CONDUCT DISCREET CHECKS AT UNITED STATES DEPARTMENT OF STATE TO DETERMINE IF MARC RICH, DOB DECEMBER 18, 1934, AND POB AT AWTWERP, BELGIUM AND PINCUS GREEN, DOB [REDACTED] AND POB AT

b6
b7C

[REDACTED] NEW YORK, HAVE VAILD DIPLOMATIC UNITED STATES PASSPORTS.

BT

#0137

~~SECRET~~

-----END OF DOCUMENT-----

INBOX.4 (#1679)

TO: NY @ SAMNET-EMH, WF @ SAMNET-EMH

FROM: HQTX @ SAMNET-EMH

SUBJECT: 077/ROUTINE

DATE: 18 JAN 85 00:50:40 EST

CC:

TEXT: VZCZCHQ0077

RR NY NH WF

DE HQ #0077 0171805

ZNR UUUUU

R 171622Z JAN 85

FM DIRECTOR, FBI

TO FBI NEW YORK (196A-1774)(C-1) ROUTINE

FBI NEW HAVEN (ATTN: SA [REDACTED] ROUTINE

FBI WASHINGTON FIELD OFFICE (ATTN: SA [REDACTED] ROUTINE

BT

UNCLAS

MARC RICH-FUGITIVE;

PINCUS GREENE-FUGITIVE;

ET AL;

FBW; MAIL FRAUD; ET AL

OO: NY

RE NEW YORK TELETYPE TO DIRECTOR DATED 1/11/85.

FOR INFORMATION OF RECEIVING OFFICES, FBIHQ GENERAL INDICES

WERE SEARCHED WITH NEGATIVE RESULTS REGARDING [REDACTED]

[REDACTED] (S) (U)
IN BUREAU FILE 185-875, CAPTIONED UNSUBS; MEMBERS OF THE
NATIONAL SOCIALIST CONGRESS, AKA ET AL, PFO-CRIMINAL, ETC, etc;

SECRET

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

b6
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b6
b7C

2-23-01
CLASSIFIED BY: SP5 JCL/AL
REASON: 1.5 (C,D)
DECLASSIFY ON: X 1,6
01-081

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SECRET

Handwritten:
1/18/85
1400
MUL

[REDACTED]

RJGQ, OO: CLEVELAND, PHILLIP KLUTZNICK WAS ONE OF 12 VICTIMS NAMED AS TARGETS OF A BOMBING PLOT. AFTER EXTENSIVE INVESTIGATION SUBSTANTIATION OF THE THREATS TO KILL THE VICTIMS WAS NOT DISCOVERED AND CLEVELAND CLOSED THEIR INVESTIGATION ON 7/5/77.

~~SECRET~~

BUREAU FILE 77-8236, CAPTIONED PHILIP M. KLUTZNICK; SPI; BEGINS WITH BACKGROUND CHECKS ON KLUTZNICK FOR A POSITION AS ATTORNEY, DEPARTMENT OF JUSTICE IN 1935 AND CONCLUDES WITH UP-DATING OF BACKGROUND IN 1957 WHEN KLUTZNICK WAS BEING CONSIDERED FOR A PRESIDENTIAL APPOINTMENT WITH THE STATE DEPARTMENT. AN ADDITIONAL COMMUNICATION IN THIS FILE INDICATES THAT IN MID-1974, KLUTZNICK WAS [REDACTED]

[REDACTED] THIS INFORMATION WAS REPORTED TO [REDACTED] THE CHICAGO OFFICE BY [REDACTED] NO INFORMATION CONTAINED IN THE COMMUNICATION INDICATED ANYTHING [REDACTED] (S) (U)

b7E

BUFILE 161-55 CAPTIONED PHILLIP KLUTZNICK; SPI; BEGAN IN 12/60 TO COMPLETE BACKGROUND CHECKS FOR UNKNOWN POSITION IN KENNEDY ADMINISTRATION. THIS FILE ALSO CONTAINS THE BACKGROUND INVESTIGATION OF PHILIP MORRIS KLUTZNICK WHICH CONCLUDED IN 12/79 AND WAS CONDUCTED PRIOR TO HIS BEING CONFIRMED ON 12/21/79 (S) (U)

~~SECRET~~

PAGE THREE DE HQ 0077 UNCLAS

AS SECRETARY OF COMMERCE.

~~SECRET~~

THE FOLLOWING BACKGROUND IS PROVIDED FOR INFORMATION.

PHILIP MORRIS KLUTZNICK WAS BORN 7/19/07, ISAS CITY,

MISSOURI. KLUTZNICK, SINCE 1960, HAS BEEN A SELF-EMPLOYED

REAL ESTATE DEVELOPER AND AMBASSADOR TO THE UNITED NATIONS

(1961-62, 1966). LAST KNOWN ADDRESS (1979) WAS 180 EAST PEARSON,

APT. 7204, CHICAGO, ILLINOIS, WHERE HE RESIDED WITH EDITH

(RIEKES) KLUTZNICK (S) (U)

BT

#0077

NNNN

~~SECRET~~

FBI

TRANSMIT VIA:

☒ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☒ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☒ UNCLAS

Date 1/31/85

031

FM: WASHINGTON FIELD OFFICE (196A-1563) (P) (CI-6)

TO: DIRECTOR, FBI (196-2848) PRIORITY

b6

b7C

ATTENTION: SUPERVISOR [REDACTED] DIVISION 6

ADIC NEW YORK (196A-1774) (C-1) PRIORITY

FBI, NEW HAVEN PRIORITY

ATTENTION: SA [REDACTED]

b6

b7C

BT

U N C L A S - E F T O

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MAIL

FRAUD RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

(OO:NEW YORK)

RE NEW YORK TEL TO THE BUREAU DATED 1/11/85.

ON 1/30/85, SA [REDACTED] DETERMINED THE FOLLOWING

b6

b7C

PASSPORT INFORMATION: (I) MARC RICHARD, BORN 12/18/34, BELGIUM,

HAS HAD THE FOLLOWING PASSPORTS: TOURIST PASSPORT 071028

ISSUED 1/11/83 AT NEW YORK, VALID FOR TRAVEL TO SOUTH AFRICA AND

ISRAEL ONLY. PASSPORT EXPIRES 1/10/93; TOURIST PASSPORT

Z3045402, ISSUED 3/2/82 AT LISBON, PORTUGAL, PASSPORT EXPIRES

2-Washington Field Office

JAT:glr
(2)

196-1563-3

Approved: [Signature]

Transmitted [Signature]

(Number)

(Time)

JAN 31 1985

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

2-23-01 SP5JCTNF

b6

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FBI

TRANSMIT VIA:

☒ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☒ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☒ UNCLAS

Date 1/31/85

PAGE TWO DE WF #0031 U N C L A S

3/2/87; TOURIST PASSPORT A2663963 ISSUED 9/19/80 AT NEW YORK,

PASSPORT REPORTED LOST ON 3/3/82; TOURIST PASSPORT Z3617833

ISSUED 4/16/80 AT MADRID, SPAIN, PASSPORT EXPIRES 4/15/85;

TOURIST PASSPORT Z1978001 ISSUED 6/10/75 AT LONDON, ENGLAND,

PASSPORT CANCELED 4/16/80. (II) PINCUS GREEN, BORN [REDACTED]

[REDACTED] NEW YORK, HAS OR HAS HAD THE FOLLOWING PASSPORTS:

TOURIST PASSPORT [REDACTED] ISSUED [REDACTED] VALID FOR [REDACTED] AT [REDACTED]

TRAVEL TO [REDACTED] ONLY, PASSPORT EXPIRES [REDACTED]

[REDACTED] TOURIST PASSPORT [REDACTED] ISSUED [REDACTED]

[REDACTED] PASSPORT EXPIRES [REDACTED] TOURIST PASSPORT [REDACTED]

ISSUED [REDACTED] PASSPORT REPORTED LOST

ON [REDACTED] TOURIST PASSPORT [REDACTED] ISSUED

[REDACTED]. CANCELED ON [REDACTED]

IT IS TO BE NOTED THAT PASSPORT SERVICES, DEPARTMENT OF STATE IS DELINQUENT IN THE FILING OF PASSPORT APPLICATIONS FOR NEWLY ISSUED PASSPORTS, AND IT IS POSSIBLE THAT ADDITIONAL PASSPORTS HAVE BEEN ISSUED TO THE ABOVE. ALSO OF NOTE IS THE FACT THAT ALL OFFICIAL AND DIPLOMATIC PASSPORTS ARE ISSUED AT WASHINGTON, D.C. AND SA [REDACTED] WAS UNABLE TO LOCATE ANY OFFICIAL OR DIPLOMATIC PASSPORTS AS BEING ISSUED TO EITHER

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 1/31/85

PAGE THREE DE WF #0031 U N C L A S

SUBJECT THROUGH ESTABLISHED CONTACTS. ALL OFFICIAL AND DIPLOMATIC
PASSPORTS MUST HAVE AND ^{man} OFFICIAL LETTER FROM AN APPROPRIATE
GOVERNMENT AGENCY BEFORE A PASSPORT IS ISSUED. WFO DETERMINED
THAT SOME OF THE ORIGINAL PASSPORT APPLICATIONS FOR SUBJECTS
ARE BEING MAINTAINED BY THE PASSPORT SERVICES, LEGAL DIVISION.
WFO WILL FURNISH MICROFILMED COPIES OF THE ABOVE NEW YORK UNDER
SEPARATE COVER.

BT

#0031

NNNN

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

AIRTEL

Date 2/4/85

TO: DIRECTOR, FBI (196-2848)
(ATTN: SUPERVISOR [REDACTED])
FROM: SAC, WASHINGTON FIELD (196A-1563) (RUC)

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MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ET AL;
FBW: MAIL FRAUD; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

Re WFO tel to the Bureau dated 1/31/85.

Enclosed for New York are the following:

(1) One microfilmed copy of passport record D071028,
issued 1/11/83 in the name of MARC RICH, born 12/18/34.

(2) One microfilmed copy of passport record Z3045402
issued 3/3/82 in the name of MARC RICH, born 12/18/34.

(3) One microfilmed copy of passport record A2663963
issued 9/19/80 in the name of MARC RICH, born 12/18/34.

(4) One microfilmed copy of passport record Z3617833
issued 4/16/80 in the name of MARC RICH, born 12/18/34.

(5) One microfilmed copy of passport record [REDACTED]
issued [REDACTED] in the name of PINCUS GREEN, [REDACTED]

(6) One microfilmed copy of passport record [REDACTED]
issued [REDACTED] in the name of PINCUS GREEN, born [REDACTED]

(7) One microfilmed copy of passport record [REDACTED]
issued [REDACTED] in the name of PINCUS GREEN, born [REDACTED]

b6
b7C

2 - Bureau
2 - New York (196A-1774) (C-1) (enc. 9)
① - WFO
JAT/jat
(5)

JAT

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-23-01 BY SP5JCTNF

196-1563-4
RUC
Searched _____
Serialized _____
Indexed _____
Filed _____

(8) One microfilmed copy of passport record [redacted]
issued [redacted] in the name of PINCUS GREEN. born [redacted]

b6
b7C

(9) One copy of GUIDELINE REGARDING PASSPORT
DENIAL/REVOCATION.

New York can be following the enclosed Guideline have subjects included into the WORLD WIDE LOOKOUT SYSTEM. of Passport Services which will deny the issuance of any new passports and provide an avenue to revoke subjects' current passports.

In that no leads remain WFO is RUC'ing this matter:

~~SECRET~~

FORMS.TEXT HAS 1 DOCUMENT
NEXT DOCUMENT (#2223) MOVED FROM INBOX.1 TO DESK.1

TEXT: VZCZCHQ0044

PP HQ NY WMFO LON

DE BER #0186 1030845

ZNR UUUUU

P 120940Z APR 88

FM LEGAT BERN (196A-234) (P)

TO DIRECTOR (196-2848) PRIORITY

b6
b7C

CLASSIFIED BY: SP50C/BW
REASON: 1.5 C, D
DECLASSIFY ON: X 1, 6
3/21/01 91-081

ATTN: CID, SSAS [REDACTED]

FBI NEW YORK (12A-1470) AND (196A-1774) PRIORITY

ATTN: SAS [REDACTED]

BQMRA; AND [REDACTED]

C-1

b6
b7C

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) AND

(193A-868) PRIORITY

LEGAT LONDON PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:

NEW YORK.

b7D
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[REDACTED] DRUGS/IDTO; OO: NEW YORK.

REWMFOTEL DATED APRIL 4, 1988.

REFERENCED WMFO TELETYPE SET FORTH INFORMATION FROM A

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-23-01 BY SP5JCTNE~~

~~SECRET~~

1 COPY
183-868

196-1563-9
MEL M64

APR 17 2 04 PM '88

ONE

~~SECRET~~

PAGE TWO DE BER 0186 UNCLAS

SINGULAR AND SENSITIVE SOURCE CONCERNING [REDACTED]

FOR INFORMATION OF WMFO, [REDACTED] (PHONETIC) MENTIONED

ON PAGE TWO, SECTION TWO, OF REFERENCED WMFO TELETYPE IS

UNDOUBTEDLY [REDACTED] DATE OF BIRTH [REDACTED]

[REDACTED] RESIDING AT [REDACTED]

(THIS ADDRESS IS A [REDACTED] (S) (U))

INVESTIGATION BY [REDACTED]

DETERMINED THAT [REDACTED]

FURTHER, INVESTIGATION BY [REDACTED]

DETERMINED THAT [REDACTED]

[REDACTED] REPORTED THAT [REDACTED]

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b6
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196-1563-9
NEW NEW

~~SECRET~~

1 COPY
183-868

216

~~SECRET~~

PAGE THREE DE BER 0186 UNCLAS

[REDACTED] IT IS NOTED MARC RICH RESIDES AND DOES BUSINESS IN ZUC. ~~(S)~~ (U)

BY TELETYPE DATED MARCH 1, 1988, NEW YORK (NY FILE BG

12A-1470) REPORTED THAT PEN-REGISTER COVERAGE ON [REDACTED]

DATES

NOT INDICATED. ~~(S)~~ (U)

IT SHOULD ALSO BE NOTED THAT A COPY OF THE [REDACTED]

AS FURNISHED BY [REDACTED] ~~(S)~~ (U)

THE ABOVE INFORMATION TENDS TO CONFIRM THE INFORMATION FROM

[REDACTED] SOURCE AND IT APPEARS, BASED ON THE SOURCE'S INFORMATION,

OF PARTICULAR INTEREST IS THE COMMENT ON PAGE THREE, SECTION

TWO, OF REFERENCED WMFO TELETYPE, NAMELY THAT [REDACTED]

AS NOTED ABOVE. [REDACTED] ~~(S)~~ (U)

~~SECRET~~

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196-1563-9
FEL AF

186

~~SECRET~~

PAGE FOUR DE BER 0186 UNCLAS

[REDACTED] IF NOT ALREADY DONE, SERIOUS
CONSIDERATIONS SHOULD PERHAPS BE GIVEN TO LOCATING [REDACTED] AND
INTERVIEWING [REDACTED]

~~(S)~~ (U)

b6
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LEGAT, BERN BELIEVES THAT THE INFORMATION SET FORTH IN
REFERENCED WMFO TELETYPE WOULD BE OF GREAT INTEREST TO [REDACTED]
[REDACTED] AND COULD WELL BE THE BASIS FOR A [REDACTED] INVESTIGATION OF
[REDACTED]

BECAUSE OF THE SENSITIVE NATURE OF THE SOURCE, LEGAT
IS NOT DISSEMINATING ANY OF THIS INFORMATION UNTIL AUTHORIZED. [REDACTED] (U)
HOWEVER, IF WMFO IS ABLE TO SUITABLY PARAPHRASE AND/OR [REDACTED] (U)
INDEPENDENTLY SUBSTANTIATE THE INFORMATION, LEGAT, BERN BELIEVES
HE IS NOT FAR FROM HAVING ENOUGH INFORMATION TO [REDACTED]
INITIATE AN INTENSIVE INVESTIGATION OF [REDACTED] (U)

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b7D
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b7F

[REDACTED] (U) THE
LATTER PARTICULARLY IF ANY INFORMATION EXISTS THAT [REDACTED]

~~(S)~~ (U)

LEGAT WOULD APPRECIATE WMFO'S COMMENTS AND THOSE OF NEW YORK
AND FBIHQ AS WELL.

BT

#0044

~~(S)~~ (U)

NNNN

~~SECRET~~

-->NEXT TEXT

FBI

TRANSMIT VIA:

☒ Teletype

☐ Facsimile

☐ _____

PRECEDENCE:

☐ Immediate

☐ Priority

☒ Routine

CLASSIFICATION:

☐ TOP SECRET

☐ SECRET

☐ CONFIDENTIAL

☒ UNCLAS E F T O

☐ UNCLAS

Date 4/1/88

WMM/1563P

0001

1 FM FBI WASHINGTON METROPOLITAN FIELD (WF196A-1563) (183A-868) (P) *er*

2 TO DIRECTOR, FBI

PRIORITY

b6

b7C

3 (ATTN: SSAS [REDACTED])

DIV. 6)

4 *←* ADIC NEW YORK (12A-1470) (P) (ATTN: SA [REDACTED])

5 *BQMRA*

6 (196A-1774) (P) (ATTN: SA [REDACTED])

NYO, SQUAD C-1)

b6

b7C

7 LEGAT, BERN

b6

b7C

8 LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

9 BT

10 UNCLAS E F T O (*Section One of Two*)

"CHANGED INTEROFFICE"

11 [REDACTED] DRUGS/IDTO (OO:NY) (NY 12A-1470) (WF 183A-868)

b7E

12 MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW;

13 MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

14 (OO:NY) (NY 196A-1774) (WF 196A-1563)

15 TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR

17 *2-23-01*
2-WMFO

18 WMM: *1/2*
19 (2)

20 *2-23-01*
CLASSIFIED BY: Sp5 JC/BW
REASON: 1.5 (C.D.)
DECLASSIFY ON: X 1.6
01-081

21 *196A-1563-10*

Approved: *WDS*

Transmitted *5:10 PM*

(Number)

(Time)

Per _____

APR 4 7 18 AM '88

Print copy to
WF 183A-868

b6

b7C

^PAGE TWO DE WF UNCLAS E F T O

LEGATS BERN AND LONDON THE CODE NAME [REDACTED] OF NEW YORK CASE
FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHELAN DBA E.F.
HUTTON CORPORATION ; NARCOTICS - MONEY LAUNDERING; OO:NEW
YORK." CAPTION WAS CHANGED BY NY TELETYPE DATED 3/8/88.

b7E

RE WMFO TELETYPE, 1/19/88; NUMEROUS TELCALLS BETWEEN
WMFO AND NEW YORK DURING PERIOD OF 1/19/88 THROUGH 3/31/88
AND MEETING AT FBIHQ BETWEEN WMFO SA [REDACTED] AND
DIVISION SIX SSA [REDACTED] 3/29/88.

b6
b7C

FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED
WMFO TELETYPE NOTED SUBJECT ARNOLD H. PHELAN RESIGNED AS
EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC)
ON 1/17/88. TELETYPE ALSO NOTED [REDACTED]

b6
b7C
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[REDACTED] SOURCE INFORMATION INDICATES [REDACTED] u) ~~186~~

^PAGE THREE DE WF UNCLAS E F T O

[REDACTED] ~~(S)~~(U)

[DURING THE PERIOD OF [REDACTED] A VERY
RELIABLE AND SENSITIVE [REDACTED] SOURCE PROVIDED INFORMATION
CONCERNING [REDACTED]

(U)

[REDACTED] ~~(S)~~ THIS INFORMATION MUST BE CONSIDERED SINGULAR IN
NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE
SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL
DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY.

[REDACTED]

[REDACTED] ~~(S)~~(U)

[REDACTED]

~~(S)~~(U)

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b7D
b7F

~~SECRET~~

^PAGE FOUR DE WF UNCLAS E F T O

[REDACTED]

[REDACTED]

~~(S)~~

(U)

b6
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b7F

[REDACTED]

[REDACTED]

~~(S)~~

(U)

[REDACTED]

b7D
b7F

[REDACTED]

~~(S)~~

(U)

[REDACTED]

b7D
b7F

[REDACTED]

OTHER TOPICS OF DISCUSSION CONCERNED

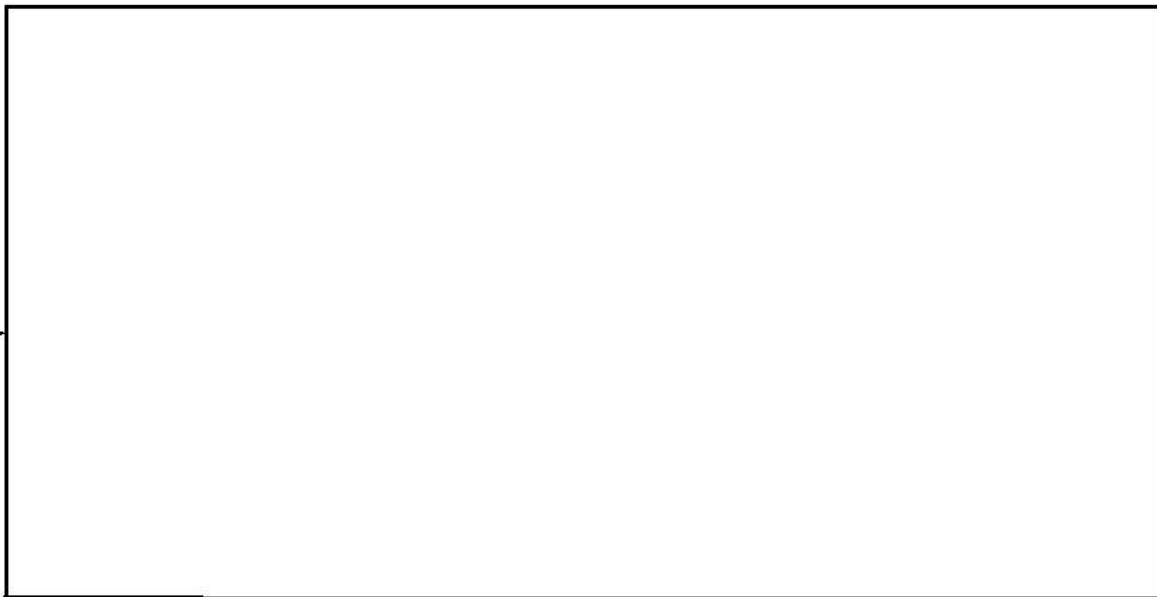
~~(S)~~

(U)

~~SECRET~~

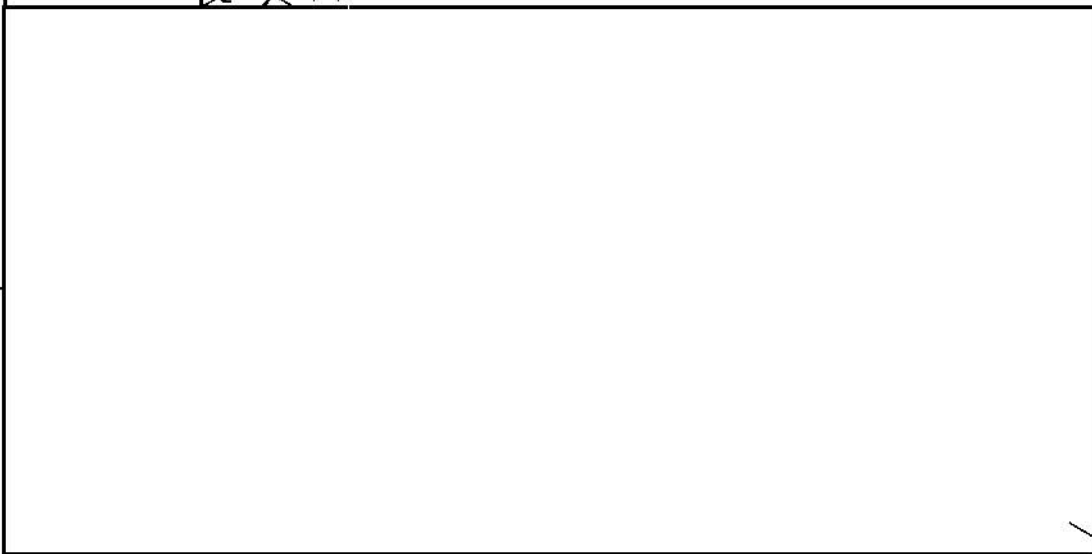
~~CONFIDENTIAL~~

^PAGE FIVE DE WF UNCLAS E F T O



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~~(S)~~ (U)



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~~CONFIDENTIAL~~
01/21/01

^PAGE SIX DE WF UNCLAS E F T O

[REDACTED]

b7D
b7F

[REDACTED]

~~(S)~~ (U)

[REDACTED]

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[REDACTED]

~~(S)~~ (U)

[REDACTED]

[REDACTED]

~~(S)~~ (U)

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b7F

[REDACTED]

[REDACTED]

~~(S)~~ (U)

BT

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☒ UNCLAS E F T O
☐ UNCLAS

Date 4/1/88

WMM/1563/2

000.2

1 FM FBI WASHINGTON METROPOLITAN FIELD (WF196A-1563) (183A-868) (P)

2 TO DIRECTOR, FBI

PRIORITY

b6
b7C9 35 AM
UBL

3 (ATTN: SSAS [REDACTED])

DIV. 6)

4 ADIC NEW YORK (12A-1470) (P) (ATTN: SA [REDACTED])

5 (BOMRA)

6 (196A-1774) (P) (ATTN: SA [REDACTED])

NYO, SQUAD C-1)

b6
b7C

7 LEGAT, BERN

8 LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

b6
b7C

9 BT

10 UNCLAS E F T O (SECTION TWO OF TWO)

11 "CHANGED INTEROFFICE"

12 [REDACTED] DRUGS/IDTO (OO:NY) (NY 12A-1470) (WF 183A-868) MARC

b7E

13 RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;

14 RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY) (NY

15 196A-1774) (WF 196A-1563)

16 [REDACTED] (S)

b6
b7C
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17 2-WMFO

18 WMM:ler
19 (2)

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

196A-1563-1C

^PAGE TWO DE WF UNCLAS E F T O

[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ SOURCE LEARNED [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

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b7C
b7D
b7F

~~(S)~~ (U)

^PAGE THREE DE WF UNCLAS E F T O

[REDACTED]

(S) (U)

BASED UPON

[REDACTED]

[REDACTED]

[REDACTED]

(S) (U) W

[REDACTED]

[REDACTED]

(S) (U)

IT IS TO BE NOTED IN

[REDACTED]

[REDACTED]

(S) (U)

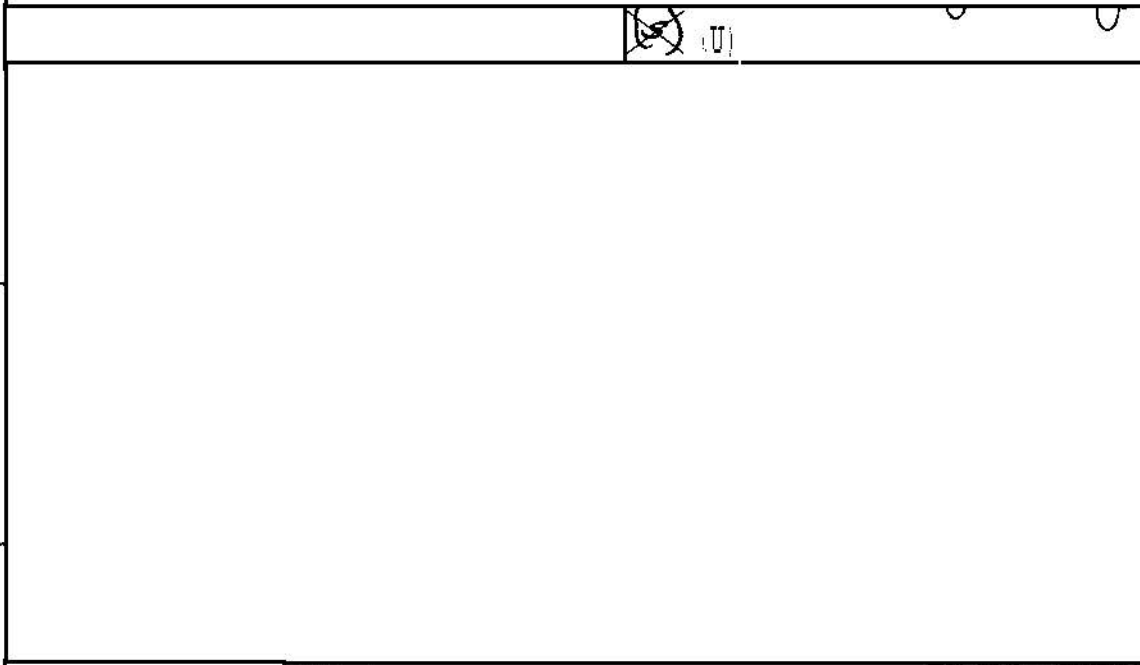
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^PAGE FOUR DE WF UNCLAS E F T O



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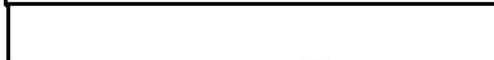
~~(S)~~ (U)

SOURCE PROVIDING THE ABOVE INFORMATION IS



~~(S)~~ (U)

b7D
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b7F



MUCH OF THE ABOVE INFORMATION

WAS OBTAINED BY SOURCE FROM



~~(S)~~ (U)

^PAGE FIVE DE WF UNCLAS E F T O

[REDACTED] (S) (U)

[REDACTED]

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[REDACTED] (S) (U)

[REDACTED]

[REDACTED] (S) (U)

b6
b7C
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b7F

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS
BERN AND LONDON FOR INFORMATION.

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT,
[LONDON TO IDENTIFY [REDACTED] (S) (U)]

6-20-71
^PAGE SIX DE WF UNCLAS E F T O

[REDACTED]

[REDACTED] SHOULD BE SENT TO NEW YORK.] (S) (U)

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ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE

NYO.

BT

6-20-71

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

VZCZCWFD002

PP HQ NY BER LON

DE WF #0002 0951310

ZNR UUUUU

P 041310Z APR 88

FM FBI WASHINGTON METRO. FIELD(WF196A-1563)(183A-868)(P)

TO DIRECTOR, FBI PRIORITY

(ATTN: SSAS [REDACTED])

DIV. 6)

b6
b7C

ADIC NEW YORK (12A-1470)(ATTN: SA [REDACTED])

b6
b7C

BQMRA)

(196A-1774)(ATTN: SA [REDACTED])

NYO, SQUAD C-1)

b6
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LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

b6
b7C

BT

UNCLAS E F T O (SECTION ONE OF TWO)

"CHANGED INTEROFFICE"

[REDACTED] DRUGS/IDTO (OO:NY) (NY 12A-1470)(WF 183A-868)

b7E

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW;

MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

(OO:NY)(NY 196A-1774)(WF 196A-1563)

TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR

SEARCHED	INDEXED
SERIALIZED	FILED
APR 5 1988	
FBI - WASH. FIELD OFFICE	

196A-1563-10

PAGE TWO DE WF 0002 UNCLAS

LEGATS BERN AND LONDON THE CODE NAME [REDACTED] OF NEW YORK CASE
FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHELAN DBA E.F.
HUTTON CORPORATION ; NARCOTICS - MONEY LAUNDERING; OO:NEW
YORK." CAPTION WAS CHANGED BY NY TELETYPE DATED 3/8/88.

b7E

RE WMFO TELETYPE, 1/19/88; NUMEROUS TELCALLS BETWEEN
WMFO AND NEW YORK DURING PERIOD OF 1/19/88 THROUGH 3/31/88
AND MEETING AT FBIHQ BETWEEN WMFO SA [REDACTED] AND
DIVISION SIX SSA [REDACTED] 3/29/88.

b6
b7C

FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED
WMFO TELETYPE NOTED SUBJECT ARNOLD H. PHELAN RESIGNED AS
EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC)
ON 1/17/88. TELETYPE ALSO NOTED [REDACTED]

b6
b7C
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b7F

[REDACTED] SOURCE INFORMATION INDICATES [REDACTED] (u)

~~CONFIDENTIAL~~

PAGE THREE DE WF 0002 UNCLAS

[REDACTED]
[REDACTED] ~~(S)~~ (U)

b6
b7C
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b7F

DURING THE PERIOD OF [REDACTED] A VERY
RELIABLE AND SENSITIVE [REDACTED] SOURCE PROVIDED INFORMATION
CONCERNING [REDACTED]

[REDACTED]

[REDACTED] THIS INFORMATION MUST BE CONSIDERED SINGULAR IN
NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE
SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL
DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY. ~~(S)~~ (U)

[REDACTED]

b7D
b7F

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED]

~~(S)~~ (U)

PAGE FOUR DE WF 0002 UNCLAS

b6
b7C
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b7F

~~(S)~~ (U)

b7D
b7F

~~(S)~~ (U)

OTHER TOPICS OF DISCUSSION CONCERNED ~~(S)~~ (U)

~~SECRET~~

PAGE FIVE DE WF 0002 UNCLAS

b6
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~~(S)~~ (U)

b7D
b7F

~~(S)~~ (U)

~~SECRET~~

PAGE SIX DE WF 0002 UNCLAS

[REDACTED]

b7D
b7F

[REDACTED] (~~S~~) (U)

[REDACTED]

b7D
b7F

[REDACTED] (~~S~~) (U)

[REDACTED]

[REDACTED] (~~S~~) (U)

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED] (~~S~~) (U)

BT

#0002

NNNN

PP HQ NY BER LON

DE WF #0001 0951312

ZNR UUUUU

P 041312Z APR 88

FM FBI WASHINGTON METRO. FIELD (WF196A-1563)(183A-868)(P)

TO DIRECTOR, FBI PRIORITY

(ATTN: SSAS [REDACTED])

DIV. 6)

b6
b7C

ADIC NEW YORK (12A-1470)(ATTN: SA [REDACTED]) BQMRA)

b6
b7C

(196A-1774)(ATTN: SA [REDACTED])

NYO, SQUAD C-1)

b6
b7C

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

b6
b7C

BT

UNCLAS E F T O (SECTION TWO OF TWO)

"CHANGED INTEROFFICE"

[REDACTED] DRUGS/IDTO (OO:NY)(NY 12A-1470)(WF 183A-868) MARC

b7E

RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY)(NY

196A-1774)(WF 196A-1563)

b6
b7C
b7D
b7E

(S) (U)

PAGE TWO DE WF 0001 UNCLAS

SOURCE LEARNED

b6
b7C
b7D
b7F

~~(S)~~ (U)

b6
b7C
b7D
b7F

~~(S)~~ (U)

b6
b7C
b7D
b7F

~~(S)~~ (U)

BASED UPON

~~(S)~~ (U)

~~SECRET~~

PAGE THREE DE WF 0001 UNCLAS

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] (~~S~~) (U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] (~~S~~) (U)

IT IS TO BE NOTED THAT IN

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] (~~S~~) (U)

~~SECRET~~

PAGE FOUR DE WF 0001 UNCLAS

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

Source SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] MUCH OF THE ABOVE INFORMATION

WAS OBTAINED BY SOURCE FROM [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

b6
b7C
b7D
b7F

b6
b7C
b7D
b7F

b6
b7C
b7D
b7E
b7F

~~SECRET~~

PAGE FIVE DE WF 0001 UNCLAS

[REDACTED]

b7D
b7F

[REDACTED] ~~(S)~~ (U)

SOURCE WILL CONTINUE TO [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS
BERN AND LONDON FOR INFORMATION.

b6
b7C
b7D
b7F

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT,
LONDON TO IDENTIFY [REDACTED]

[REDACTED]

RESULTS OF [REDACTED] SHOULD BE SENT TO NEW YORK. ~~(S)~~ (U)

ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE
NYO.

BT

#0001

NNNN

INBOX12 (#1868)

TO: HQ @ EMH1, WMFO @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 103/0044 PRIORITY

DATE: 12 APR 88 17:16:23 GMT

CC:

TEXT: VZCZCH00044

PP HQ NY WMFO LON

DE BER #0186 1030845

ZNR UUUUU

P 120940Z APR 88

FM LEGAT BERN (196A-234) (P)

TO DIRECTOR (196-2848) PRIORITY

ATTN: CID, SSAS [REDACTED]

b6
b7C

FBI NEW YORK (12A-1470) AND (196A-1774) PRIORITY

ATTN: SAS [REDACTED]

BOMRA; AND [REDACTED]

C-1

b6
b7C

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) AND

(183A-868) PRIORITY

LEGAT LONDON PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:
NEW YORK.

[REDACTED] DRUGS/IDTO; OO: NEW YORK.

b7E

REWMFOTEL DATED APRIL 4, 1988.

REFERENCED WMFO TELETYPE SET FORTH INFORMATION FROM A

CLASSIFIED BY: 3-19-01
SP5/30

REASON: 15 (C.D.)

DECLASS. ON: X16

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

196A-1563-11

Searched

Serialized EB

Indexed

b6
b7C

PAGE TWO DE BER 0186 UNCLAS

SINGULAR AND SENSITIVE SOURCE CONCERNING [REDACTED]

b6
b7C
b7D
b7F

FOR INFORMATION OF WMFO, THE [REDACTED] (PHONETIC) MENTIONED
ON PAGE TWO, SECTION TWO, OF REFERENCED WMFO TELETYPE IS
UNDOUBTEDLY [REDACTED] DATE OF BIRTH [REDACTED]

~~SECRET~~

[REDACTED] RESIDING AT [REDACTED]

(THIS ADDRESS IS A [REDACTED])

~~(S)~~ (U)

INVESTIGATION BY [REDACTED]

DETERMINED THAT [REDACTED]

b6
b7C
b7D

FURTHER, INVESTIGATION BY [REDACTED]

DETERMINED THAT [REDACTED]

~~(S)~~ (U)

[REDACTED] REPORTED THAT [REDACTED]

~~(S)~~ (U)

b6
b7C

~~(S)~~ (U)

~~SECRET~~

PAGE THREE DE BER 0186 UNCLAS

[REDACTED] IT IS NOTED MARC RICH RESIDES AND DOES BUSINESS IN ZUG. (S) (U)

b7D
b7F

TELETYPE DATED MARCH 1, 1988, NEW YORK (NY FILE BQ
12A-1470) REPORTED THAT PEN REGISTER COVERAGE ON [REDACTED]

b3

[REDACTED] (S) (U)
IT SHOULD ALSO BE NOTED THAT A COPY OF THE [REDACTED]

~~SECRET~~

b6
b7C
b7D

[REDACTED] (S) (U)
THE ABOVE INFORMATION TENDS TO CONFIRM THE INFORMATION FROM
[REDACTED] SOURCE AND IT APPEARS, BASED ON THE SOURCE'S INFORMATION,
[REDACTED]
[REDACTED]

b6
b7C
b7D
b7F

[REDACTED] (S) (U)
OF PARTICULAR INTEREST IS THE COMMENT ON PAGE THREE, SECTION
TWO, OF REFERENCED WMFC TELETYPE, NAMELY THAT [REDACTED]
[REDACTED]
[REDACTED]

AS NOTED ABOVE, [REDACTED]
[REDACTED] (S) (U)

~~SECRET~~

PAGE FOUR DE BER 0186 UNCLAS

[REDACTED] IF NOT ALREADY DONE, SERIOUS
CONSIDERATIONS SHOULD PERHAPS BE GIVEN TO LOCATING [REDACTED] AND
INTERVIEWING [REDACTED]

b6
b7C
b7D
b7F

~~SECRET~~

LEGAT, BERN BELIEVES THAT THE INFORMATION SET FORTH IN
REFERENCED WMFO TELETYPE WOULD BE OF GREAT INTEREST TO [REDACTED]
[REDACTED] AND COULD WELL BE THE BASIS FOR A [REDACTED] INVESTIGATION OF
[REDACTED]

[REDACTED] BECAUSE OF THE SENSITIVE NATURE OF THE SOURCE, LEGAT
IS NOT DISSEMINATING ANY OF THIS INFORMATION UNTIL AUTHORIZED.
HOWEVER, IF WMFO IS ABLE TO SUITABLY PARAPHRASE AND/OR
INDEPENDENTLY SUBSTANTIATE THE INFORMATION, LEGAT, BERN BELIEVES
WE ARE NOT FAR FROM HAVING ENOUGH INFORMATION TO [REDACTED]
INITIATE AN INTENSIVE INVESTIGATION OF [REDACTED]

b6
b7C
b7D
b7E
b7F

[REDACTED] THE
LATTER PARTICULARLY IF ANY INFORMATION EXISTS THAT [REDACTED]

~~(S)~~ (U)

LEGAT WOULD APPRECIATE WMFO'S COMMENTS AND THOSE OF NEW YORK
AND FBIHQ AS WELL.

BT

#0044

NNNN

-----END OF DOCUMENT-----

~~SECRET~~

INBOX HAS 6 DOCUMENTS
FORMS.TEXT HAS 1 DOCUMENT

INBOX.1 (#3439)

TEXT: VZCZCNY0313

PP HQ WMFO

DE NY #0313 1112136

ZNY UUUUU

R 202055Z APR 88

FM FBI NEW YORK (BG 12A-1470) (P) (C-13)

TO DIRECTOR FBI PRIORITY

ATTN: SUPERVISORY SPECIAL AGENTS (SSAS) [REDACTED]

b6
b7C

ATTN: AND [REDACTED] (EUROPEAN/ASIAN DRUG TRAFFICKERS

b6
b7C

ATTN: UNIT)

LEGAL ATTACHE BERN (196A-234) PRIORITY

LEGAL ATTACHE LONDON PRIORITY

ATTN: ASST. LEGAT [REDACTED]

2-26-01

CLASSIFIED BY: Sp5 JET/ML

b6
b7C

FBI WASHINGTON FIELD (196A-1563) ()
PRIORITY

CLASSIFIED ON: X 1

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FEW;

MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY(BQ).

b7E

[REDACTED] DRUGS/IDTO; OO:NY(BQ).

RE WFO TELETYPES DATED APRIL 4, 1988, APRIL 15, 1988; LEGAT
BERN TELETYPE TO BUREAU DATED APRIL 12, 1988 AND TELECALLS BETWEEN

196A-1563-12
EB FB

APR 20 9 7 AM '88

b6
b7C

[REDACTED]

[REDACTED]

PAGE TWO DE NY 0313 UNCLAS

SPECIAL AGENT (SA) [REDACTED] BROOKLYN-QUEENS METROPOLITAN
RESIDENT AGENCY (BQMRA) AND SPECIAL AGENT [REDACTED] WASHINGTON
METROPOLITAN FIELD OFFICE (WMFO), DATED APRIL 18, 17, 1983.

b6
b7C

FOR THE INFORMATION OF RECEIVING OFFICES, A VERY RELIABLE AND
SENSITIVE [REDACTED] SOURCE ADVISED

b6
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b7D
b7F

[REDACTED] RECEIVING OFFICES ARE
REMINDED THAT THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE
AND IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY.
SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI
INVESTIGATIONS WOULD BE IN JEOPARDY. ~~(S)~~ (U)

WITH RESPECT TO LEGAT BERN'S REQUEST FOR RELEASING INFORMATION
IN REFERENCED WMFO TELETYPES TO [REDACTED] BOTH NYO AND WMFO ~~(S)~~ (U)

b7D

PAGE THREE DE NY 0313 UNCLAS

CONCUR THAT THE INFORMATION SHOULD NOT BE RELEASED AT THIS TIME. ~~(S)~~ (U)
WMFO IS NOT ABLE TO SUITABLY PARAPHRASE OR INDEPENDENTLY
SUBSTANTIATE THE INFORMATION ENOUGH TO PROTECT THE SOURCE AT THIS
TIME. NYO AND WMFO CONSTANTLY EVALUATING THE SITUATION AND WILL
ADVISE LEGAT BERN WHEN AND IF INFORMATION CAN BE RELEASED. ~~(S)~~ (U)

FURTHER, NYO AND WMFO CONCUR THAT AN INTERVIEW OF [REDACTED]
AT THIS TIME WOULD BE PREMATURE. ALTHOUGH IN REFERENCED WMFO
TELETYPE [REDACTED] SOURCE ADVISED

b6
b7C
b7D
b7F

[REDACTED] ~~(S)~~ (U)
FOR THE INFORMATION OF RECEIVING OFFICES, CONTINUED PEN

REGISTER COVERAGE [REDACTED]
[REDACTED]

b3

[REDACTED] NYO UNSURE ON ABOVE AS TO WHETHER
[REDACTED] ~~(S)~~ (U)

IF [REDACTED] HAVE NOT ALREADY BEEN IDENTIFIED BY LEGAT,
LONDON, LONDON IS REQUESTED TO ASCERTAIN [REDACTED]

[REDACTED] ~~(S)~~ (U)
BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND

PAGE FOUR DE NY 0313 UNCLAS

LONDON.

BT

#0313

NNNN

~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

2-26-01
CLASSIFIED BY SP5 JCTNE
REASON: 1.5 (C)
DECLASSIFY ON: X1

RR HQ BER LON

DE WF #0063 1062313

ZNY EEEEE

R 152313Z APR 68

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563)

(183A-868)

TO DIRECTOR, FBI

ROUTINE

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b7C

ATTN: SSAS [REDACTED] DIV. 6

ADIC NEW YORK (12A-1470)(P) (ATTN: SA [REDACTED])

b6
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BQMRA)

(169A-1774)(P) (ATTN: SA [REDACTED])

b6
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NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

b6
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BT

UNCLAS E F T O

[REDACTED] DRUGS/IDTO; (OO:NY)(NY 12A-1470)(WF 183A-868)

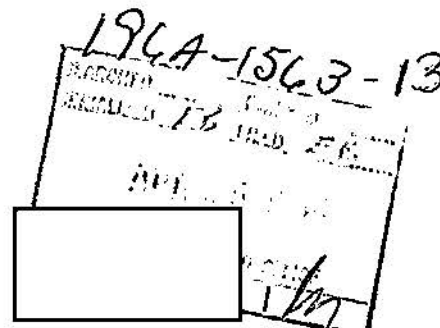
b7E

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE(B); FBW;

MF; RICO; INCOME TAX EVASION; TRADING WITH THE

ENEMY; (OO:NY)(NY 196A-1774)(WF 196A-1563)

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~~SECRET~~

1

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RELIABLE AND SENSITIVE

--	--

NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE
SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL
DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY

~~(S)~~ (U)

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PAGE THREE DE WF 0063 UNCLAS E F T O

[REDACTED]

(S) (U)

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[REDACTED]

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[REDACTED]

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[REDACTED]

(S) (U)

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PAGE FOUR DE WF 0063 UNCLAS E F T O

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED]

[REDACTED] ~~(S)~~ (U)

THE ABOVE IS FURNISHED FOR INFORMATION OF RECEIVING
OFFICES.

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] MUCH OF THE ABOVE INFORMATION
WAS OBTAINED BY SOURCE [REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED] SOURCE TO [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~SECRET~~
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3:50 pm
gaw

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PP HQ BER LON NY

DE WF #0043 1551415

ZNY EEEEE

P 021424Z JUN 88

FM FBI WMFO (183A-868) (196A-1563) (C) (C-6) (C-5)

TO DIRECTOR, FBI PRIORITY

LEGAT, BERN (INFO) PRIORITY

LEGAT, LONDON PRIORITY

ADIC, NEW YORK (12A-1470) PRIORITY

BT

UNCLAS E F T O

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ATTENTION: FBIHQ, SSA [REDACTED] DIVISION 6

ATTENTION: LEGAT, LONDON, ASSISTANT LEGAT [REDACTED]

b6
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ATTENTION: NEW YORK, SA [REDACTED] BQMRA (196A-1774)

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ATTENTION: NEW YORK, SA [REDACTED] SQUAD C-1

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[REDACTED] DRUGS - IDTO; OO: NEW YORK

b7E

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;

RICH INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK (NY

196A-1774) (WF 196A-1563)

196A-1563-14
EB EB

ba

WMFO DE WF 0043 UNCLAS E F T O

RE WMFO TELETYPE TO THE BUREAU DATED MAY 27, 1988; AND
TELCALL BETWEEN WMFO SA [REDACTED] NY SA [REDACTED]
MAY 29, 1988, AND ASSISTANT LEGAT [REDACTED] LONDON, ON MAY 31,
1988.

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FOR INFORMATION OF LEGAT, BERN, REFERENCED TELETYPE NOTED

[REDACTED]

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[REDACTED] REFERENCED TELETYPE CONTAINED
NO INFORMATION CONCERNING FEDERAL FUGITIVES MARC RICH AND PINCUS
GREEN. (S) (U)

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED] A VERY RELIABLE AND SENSITIVE
[REDACTED] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS
[REDACTED]

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[REDACTED] (S) (U)
[REDACTED]
[REDACTED] (S) (U)

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PAGE THREE DE WF 0043 UNCLAS E F T O

[REDACTED]

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[REDACTED] (S) (U)

[REDACTED]

IN REFERENCED TELETYPE, [REDACTED]

[REDACTED]

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[REDACTED] (S)

[REDACTED]

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(S)

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PAGE FOUR DE WF 0043 UNCLAS E F T O

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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[REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

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[REDACTED] ~~(S)~~ (U)

[REDACTED] ~~(S)~~ (U)

(U) ~~(S)~~

PAGE FIVE OF 25 0043 1000 00 0 0 0 0

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

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[REDACTED] ~~(S)~~ (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)
MUCH OF THE INFORMATION WAS OBTAINED BY

SOURCE [REDACTED]

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[REDACTED] IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S
IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND
BUREAU INVESTIGATIONS WILL BE IN JEOPARDY.

BT

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN~~

PP HQ NY LON BERN
DE WF #0011 1660048
ZNR UUUUU

P 140048Z JUN 88

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (C)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON/PRIORITY/

INFO LEGAT BERN/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [REDACTED] DIVISION 6; NEW YORK, SA [REDACTED]
[REDACTED] BQMRA (12A-1470); NEW YORK, SA [REDACTED] SQUAD C-1

(196A-1774); LEGAT LONDON, ASSISTANT LEGAT [REDACTED]

SUBJECT: [REDACTED] DRUGS - IDTO; OO:NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW
YORK (NY 196A-1774) (WF 196A-1563).

RE WMFO TELETYPES TO THE BUREAU DATED MAY 27, 1988, AND JUNE 1, 1988.

2-27-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1

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196 A-1563-15

JUN 13 10 57 PM '88

~~SECRET~~

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PAGE TWO DE WF 0011 UNCLAS

1, 1988; TELCALL BETWEEN WMFO SA [REDACTED]

NY SA [REDACTED]

[REDACTED] JUNE 11, 1988, AND ASSISTANT LEGAT [REDACTED]

LONDON, ON

JUNE 6, 1988.

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED] A VERY RELIABLE AND SENSITIVE

[REDACTED] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS

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[REDACTED] (S) (U)

[REDACTED] (S) (U)

[REDACTED] (S) (U)

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~~SECRET~~

PAGE THREE DE WF 0011 UNCLAS

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

[REDACTED]

[REDACTED] ~~(S)~~ (U)

AS A RESULT OF

[REDACTED]

[REDACTED] ~~(S)~~ (U)

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PAGE FOUR DE WF 0011 UNCLAS

[REDACTED]

[REDACTED] (~~S~~) (U)

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[REDACTED]

UNKNOWN. (~~S~~) (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] (~~S~~) (U)

[REDACTED]

[REDACTED] [MUCH OF THE INFORMATION WAS OBTAINED BY (~~S~~) (U)]

SOURCE [REDACTED]

b6
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[REDACTED] IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S
IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND
BUREAU INVESTIGATIONS WILL BE IN JEOPARDY.

[REDACTED]

[REDACTED] (~~S~~) (U)

BT

#0011

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~~SECRET~~

INBOX.3 (#2643) ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
TEXT: EXCEPT WHERE SHOWN
OTHERWISE

VZCZCH00087

OO NY WMFO

DE HQ #0037 1901938

ZNY EEEEE

O 081631Z JUL 88

FM DIRECTOR FBI

TO FBI NEW YORK (12A-1470) (C-7) IMMEDIATE

PERSONAL ATTN: SSA [REDACTED] AND SA [REDACTED]

FBI WASHINGTON METROPOLITAN FIELD OFFICE (156A-1563) IMMEDIATE

PERSONAL ATTN: SA [REDACTED]

LEGAL ATTACHE LONDON IMMEDIATE

LEGAL ATTACHE BERN (INFO) IMMEDIATE

BT

UNCLAS E F T O

[REDACTED] DRUG MATTER; OO: NEW YORK.

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) FBW; MF;

RICO; (NY 196A-1774 OO: NEW YORK.

REWMFOTEL TO FBIHQ DATED JULY 1, 1988, AND LEGAT LONDON

TELETYPE TO FBIHQ DATED JULY 5, 1988.

FBIHQ AUTHORITY IS GRANTED FOR [REDACTED]

FOR SSA [REDACTED] SA [REDACTED] NEW YORK; SA [REDACTED]

STANDARD

SERIAL

JUL 8 5 33 PM '88

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE [REDACTED] BY [REDACTED]

2-27-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1.6
01-081

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156A-1563-15

PAGE TWO DE HQ 0037 UNCLAS E F T O

WMFO; AND SSA [REDACTED]

DRUG SECTION, FBIHQ, TO

LEGAT, LONDON. THE PURPOSE OF THIS [REDACTED]

SECRET

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[REDACTED] WITH LEGAT, LONDON. LEGAT, LONDON

WILL ENSURE APPROPRIATE NOTIFICATION TO THE STATE DEPARTMENT,

AMERICAN EMBASSY LONDON, AS WELL AS THE [REDACTED]

BT

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[REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

~~SECRET~~

VZCZCH00076

RR HQ NY WMFO

DE BER #0309 1751326

ZNR UUUUU

R 231420Z JUN 88

FM LEGAT BERN (196A-234) (P)

TO DIRECTOR (196-2848) ROUTINE

ATTN: CID, WCC SECTION, FCC

FBI NEW YORK (196A-1774) ROUTINE

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:

NEW YORK.

REBERTEL DATED FEBRUARY 11, 1988.

ON JUNE 22, 1988, LEGAT MET WITH [REDACTED]

SOURCE

DESCRIBED IN REFERENCED BERN TELETYPE. [REDACTED]

[REDACTED] SOURCE HAS NOT BEEN ABLE TO CONCENTRATE MUCH

EFFORT [REDACTED]

BUT DID REPORT THE FOLLOWING: (S) (U)

SOURCE RECENTLY [REDACTED] (S) (U)

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2-27-01
CLASSIFIED BY: SP3 JCTNF
REASON: 1.5 (C) (U)
DECLASSIFY ON: X1, 6

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196A-1563-16

SEARCHED

SERIALIZED

JUN 24 2 23 AM '88

[REDACTED]

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~~(S)~~ (U)

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~~(S)~~ (U)

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[REDACTED] (S) (U)

LEGAT IS NOT AWARE AS TO WHETHER THE INFORMATION THAT [REDACTED]

SIGNIFICANT OR PREVIOUSLY KNOWN. LEGAT NOTES INFORMATION
RECEIVED FROM [REDACTED] SOURCE THAT [REDACTED]

[REDACTED] (WMFO TELETYPE DATED APRIL 4,

1988). THE SETTING FORTH OF LEADS TO HAVE LEGAT, PARIS CONFIRM
ISSUANCE OF [REDACTED] IS BEING LEFT TO

b7D
b7E

DISCRETION OF NEW YORK. (S) (U)

BERN MAINTAINING CONTACT WITH [REDACTED] SOURCE (S) (U)

BT

#0076

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INBOX: 10 (11203)

TEXT: 02020NY0164

PP: HK NK NMFO

DE NY #0164 1830211

ZNY 00000

R 000152Z JUL 88

FM FBI NEW YORK (102A-1470) (P) (C-7)

TO DIRECTOR FBI (P) PRIORITY

ATTN: SSA [REDACTED] EUROPEAN/ASIAN

b6
b7C

ATTN: TRAFFICKING UNIT

LEGAL ATTACHE BERN (P) PRIORITY

LEGAL ATTACHE LONDON (P) PRIORITY

ATTN: ASSISTANT LEGAT [REDACTED]

b6
b7C

FBI NEWARK (163A-1376 SUB 3) (P) (FTRA) PRIORITY

ATTN: SA [REDACTED]

b6
b7C

FBI WASHINGTON FIELD (183A-263) (P) (196A-1563) (P) PRIORITY

ATTN: SA [REDACTED]

b6
b7C

UNCLAS

[REDACTED] DRUGS/IDTS: DO:NY

b7E

2-27-01
CLASSIFIED BY SP3 JDT/TF
REASON: 15 (C, D)
DECLASSIFY ON: X 1-1-1

196-1563-1642-6
SEARCHED
SERIAL [REDACTED]
JUN 30 11 46 PM '88

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CALL [REDACTED]
4-11-88

~~SECRET~~

RENYTEL TO BUREAU MAY 6, 1988; WMFO TELETYPE TO BUREAU JUNE 14, 1988; TELCALLS B/W SA [] NYO, AND SA [] WMFO, JUNE 28-30, 1988; TELCALLS B/W SSA [] AND SSA [] JUNE 30, 1988.

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~~SECRET~~

FOR THE INFORMATION OF THE BUREAU AND RECEIVING OFFICES ON JUNE 30, 1988, ARNOLD PHELAN, SUBJECT IN CAPTIONED MATTER DEPARTED JFK, NEW YORK ENROUTE TO LONDON, ENGLAND. PHELAN DEPARTED ON TWA FLIGHT 702 AT 6:50 AM AND WAS NOT ACCOMPANIED BY ANY FAMILY RELATIVE. THE FLIGHT IS SCHEDULED TO ARRIVE AT 8:40 AM (LONDON TIME). PHELAN WAS OBSERVED WEARING A BLUE SPORT COAT, GREY SLACKS AND WEARING SUN GLASSES. PHELAN HAD TWO ITEMS OF CARRYON LUGGAGE AND BOOKED THROUGH TWO SUITCASES AND A SET OF SKIS. THE LUGGAGE IS MATCHING SOFT LUGGAGE BLACK IN COLOR, WITH BROWN TRIM. THE SKIS WERE WRAPPED IN BROWN PAPER. (S) (U)

INVESTIGATION AT NEW YORK HAS DETERMINED THAT PHELAN IS SCHEDULED TO RETURN TO NEW YORK ON AUGUST 20, 1988 ON FLIGHT 709 (S) (U)

b7D
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AS RECEIVING OFFICES ARE AWARE, A VERY RELIABLE AND SENSITIVE

[] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS BY (S) (U)

~~SECRET~~

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[REDACTED]

b6
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[REDACTED] SOURCE [REDACTED]

[REDACTED] SOURCE HAS INDICATED THAT [REDACTED]

[REDACTED] NEW YORK BELIEVES

[REDACTED]

[REDACTED] ~~(S)~~ (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS

[REDACTED] ~~(S)~~ (U)

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[REDACTED] MUCH OF THE INFORMATION WAS OBTAINED BY SOURCE

[REDACTED] ~~(S)~~ (U)

IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY AND
SOURCE'S FAMILY WILL BE IN PHYSICAL DANGER.

LEADS:

~~SECRET~~

SECRET

LEGAT, LONDON AT LONDON, ENGLAND - THROUGH APPROPRIATE CONTACTS
ATTEMPT TO DEVELOP WHERE PHELAN WILL BE RESIDING DURING HIS STAY IN
LONDON [REDACTED] (S) (U)

b7D
b7E

NEWARK AT NEWARK, NEW JERSEY - NEWARK IS REQUESTED TO MAINTAIN
PEN REGISTER COVERAGE [REDACTED]

[REDACTED] WHEN PEN REGISTER IS DUE TO
EXPIRE, THIS MAY BE CRITICAL IN [REDACTED]

b3
b7E

[REDACTED] THEREAFTER, THERE SHOULD BE NO FURTHER NEED TO MAINTAIN PEN
REGISTER COVERAGE. (S) (U)

WASHINGTON METROPOLITAN FIELD OFFICE AT WASHINGTON, D.C. - WMFO
IS REQUESTED TO MAINTAIN CONTACT WITH [REDACTED] SOURCE IN AN EFFORT TO
[REDACTED] (S) (U)

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*WMPA. KPS 0010.183
Rec'd: 10/10/88
9:41/AM nailed
KPS*

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

VZCZCWF0973

OO HQ NY LON BER

DE WF #0973 1831304

ZNR [REDACTED]

O 011306Z JUL 88

2-27-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C, D)
DECLASSIFY ON: X 1.1.6
CORR 01-081

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (C)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON/IMMEDIATE/

INFO LEGAT BERN/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [REDACTED] DIVISION 6; NEW YORK, SA [REDACTED]

[REDACTED] SQUAD C-7 (12A-1470); NEW YORK, SA [REDACTED] SQUAD

C-1 (196A-1774); LEGAT LONDON, ASSISTANT LEGAT [REDACTED]

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SUBJECT: [REDACTED] DRUGS - IDTO; OO: NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

196A-1563-168

SERIAL: [REDACTED]
JUL 19 1988

1604

SECRET

1-CC-183A-868

~~SECRET~~

PAGE TWO DE WF 0973 UNCLAS

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW YORK (NY 196A-1774) (WF 196A-1563).

RE WMFO TELETYPE TO THE BUREAU DATED JUNE 13, 1988, TELCALLS BETWEEN WMFO SA [REDACTED] NY SA [REDACTED] JUNE 29 AND 30, 1988, ASSISTANT LEGAT [REDACTED] LONDON, ON JUNE 30, 1988, AND NY TELETYPE TO THE BUREAU AND LEGAT LONDON DATED MAY 6, 1988.

b6
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FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED]

A VERY RELIABLE AND

SENSITIVE

[REDACTED]

SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

[REDACTED]

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[REDACTED]

TO SOURCE). (S) (U)

[REDACTED]

(S) (U)

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PAGE THREE DE WF 0973 UNCLAS

[REDACTED]

~~(U)~~ ~~(S)~~

[REDACTED]

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[REDACTED]

~~(S)~~ (U)

[REDACTED]

~~(S)~~

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[REDACTED]

[REDACTED]

~~(S)~~ (U)

~~SECRET~~

PAGE FOUR DE WF 0973 UNCLAS

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~ (U)

[REDACTED]
[REDACTED] MUCH OF THE INFORMATION WAS OBTAINED BY
SOURCE [REDACTED]

[REDACTED] ~~(S)~~ (U) IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S
IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND
BUREAU INVESTIGATIONS WILL BE IN JEOPARDY.

REFERENCED NEW YORK TELETYPE REQUESTED LEGAT LONDON EXPLORE
THE FEASIBILITY OF A [REDACTED]

[REDACTED] DURING
REFERENCED JUNE 30, 1993, TELCALL WITH LEGAT LONDON, ASSISTANT
LEGAT [REDACTED]

[REDACTED]
[REDACTED] WOULD BE AVAILABLE FOR DISCUSSIONS DURING THE
WEEK OF [REDACTED] ~~(S)~~ (U)

[REDACTED] NOTED BECAUSE OF SCHEDULE CONFLICTS, ALL OF THE
[REDACTED] WOULD NOT AGAIN
BE AVAILABLE UNTIL [REDACTED] ADVISED A PROPOSAL
REGARDING DISCUSSIONS WITH [REDACTED] WOULD BE SENT ~~(S)~~ (U)

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TO BE BY LEGAT LONDON IN THE NEAR FUTURE. (S) (U)

IN RESPONSE TO LEGAT LONDON'S REQUEST, WYFO SA [] AND
NEW YORK SA [] WOULD BE AVAILABLE [] DURING THE WEEK

OF []

BT

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C-5

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

INBOX HAS 1 DOCUMENT
FORMS.TEXT HAS 1 DOCUMENT

INBOX.1 (#2139)

TEXT: VZCZCHG00083

OO HQ WMFO NY

DE LON #0021 1871746

ZNR UUUUU

O 051737Z JUL 88

b7E

FM LONDON (196A-417)(P)

TO DIRECTOR FBI IMMEDIATE

ADIC NEW YORK IMMEDIATE

SAC WMFO IMMEDIATE

BT

UNCLAS E F T O

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b7C

ATTN: FBIHQ - SSA [REDACTED]

CID: b6
b7C

NEW YORK - SA [REDACTED]

C-7

WMFO - SA [REDACTED]

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REWMFOTEL 7/1/88.

2-27-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C, D)
DECLASSIFY ON: X 1.1.6

WILL BE AVAILABLE TO REVIEW AND ASSESS

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NEW YORK'S JOINT UC PROPOSAL INTO MONEY LAUNDERING USING THE
RESOURCES OF CAPTIONED INVESTIGATION. LEGAT LONDON VIEWS THIS
MATTER AS AN EXCEPTIONAL OPPORTUNITY TO COORDINATE AND DEVELOP A

196-1563-17

(S)

(U)

Handwritten initials

Pink copy to 183-868

[REDACTED]

4 84 127

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b7C

PAGE TWO DE LON 0021 UNCLAS

JOINT UCO [REDACTED]

[REDACTED] IS AVAILABLE THE WEEK OF

[REDACTED] BUT UNAVAILABLE THEREAFTER UNTIL MID-SEPTEMBER, 1988. ~~(S)~~ (U)

FBIHQ IS REQUESTED TO PROVIDE REQUIRED AUTHORITY [REDACTED]

OF NEW YORK CASE AGENT SA [REDACTED] BQMRA AND WMFO SA [REDACTED]

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[REDACTED] ~~(S)~~ (U)

BT

#0083

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-->

INBOX.3 (#2643)

TEXT:

VZCZCH00037

OO NY WMFO

DE HQ #0037 1901938

ZNY EEEEE

O 081631Z JUL 88

FM DIRECTOR FBI

TO FBI NEW YORK (12A-1470)(C-7) IMMEDIATE

PERSONAL ATTN: SSA [REDACTED] AND SA [REDACTED]

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) IMMEDIATE

PERSONAL ATTN: SA [REDACTED]

LEGAL ATTACHE LONDON IMMEDIATE

LEGAL ATTACHE BERN (INFO) IMMEDIATE

BT

UNCLAS E F T O

b7E

[REDACTED] DRUG MATTER; OO: NEW YORK.

MARC RICH - FUGITIVE (B); PINCH GREEN - FUGITIVE (B) FBW; MF:

RICO; (NY 196A-1774 OO: NEW YORK.

REWMFOTEL TO FBIHQ DATED JULY 1, 1988, AND LEGAT LONDON

TELETYPE TO FBIHQ DATED JULY 5, 1988.

FBIHQ AUTHORITY IS GRANTED FOR [REDACTED]

FOR SSA [REDACTED] SA [REDACTED] NEW YORK; SA [REDACTED]

SA [REDACTED]
reopened

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JUL 8 5 22 PM '88

[REDACTED]

2-27-01
CLASSIFIED BY: Sp5 JCTNF
REASON: 1.5 (C, D)
DECLASSIFY ON: X 1, 6

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17A

Kms

Kms

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PAGE TWO DE HQ 0037 UNCLAS E F T O

WMFO; AND SSA [REDACTED] DRUG SECTION, FBIHQ, TO

[REDACTED]
LEGAT, LONDON. THE PURPOSE OF THIS [REDACTED]
[REDACTED]

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[REDACTED] WITH LEGAT, LONDON. LEGAT, LONDON
WILL ENSURE APPROPRIATE NOTIFICATION TO THE STATE DEPARTMENT,
AMERICAN EMBASSY LONDON, AS WELL AS THE [REDACTED]

BT

#0037

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KRS 001 D. 274

~~SECRET~~

0045

Sec. 1 of 2

log d. 405
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VZCZCWM0045

PP HQ NY BER LON

DE WM #0045 2742057

ZNR UUUUU

P 302052Z SEPT88

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE

TO DIRECTOR FBI/PRIORITY/

FBI ADIC NEW YORK (12A-1470) (P) (196A-1774) (P)

INFO FBI LEGAT, BERN

FBI LEGAT, LONDON

BT

UNCLAS

SECTION ONE OF TWO

UNCLAS

CITE: //3920//

PASS: FBIHQ, SSAS

DIVISION 6;

NEW YORK, SA

SQUAD C-7; NEW YORK, SA

SQUAD C-1; LEGAT, LONDON, ASSISTANT LEGAT

SUBJECT:

DRUGS - IDTO; OO: NEW YORK (12A-1470);

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Closed

~~196A-1563-A~~

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PAGE TWO DE WM 0045 JUNE 27

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW YORK
(196A-1774).

RE WMFO TELETYPE TO DIRECTOR DATED JUNE 30, 1988; [REDACTED]

[REDACTED]
FBIHQ, LEGAT, LONDON, NEW YORK, WMFO [REDACTED]

AND NUMEROUS TELCALLS BETWEEN WMFO, NEW YORK AND LEGAT, LONDON,
JULY 21, 1988 THROUGH SEPTEMBER 17, 1988.

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FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED] A VERY RELIABLE AND

SENSITIVE [REDACTED] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

[REDACTED]

[REDACTED] (S) (U)

IN REFERENCED TELETYPE, [REDACTED]

[REDACTED]

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(U) (S)

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~~SECRET~~

PAGE THREE DE WM 0045 UNCLAS

[REDACTED]

[REDACTED]

(X). (~~S~~) (U)

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[REDACTED]

[REDACTED]

(X). (~~S~~) (U)

IN REGARD TO

[REDACTED]

[REDACTED]

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[REDACTED]

(~~S~~) (U)

[REDACTED]

[REDACTED]

(~~S~~) (U)

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[Redacted]

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[Redacted] ~~(S)~~ (U)

BASED UPON THIS

[Redacted]

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[Redacted] ~~(S)~~ (U)

IN REGARD TO

[Redacted] ~~(S)~~ (U)

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~~SECRET~~

PAGE FIVE DE WM 0045 UNCLAS

	(S) (U)

*PAGE FIVE DE WMFO (196A-1563) UNCLAS

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	(S) (U)
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IN ADDITION TO

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(S) (U)

(S) (U)	

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PAGE SIX DE WM 0045 UNCLAS

[REDACTED]

(S) (U)

[REDACTED]

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[REDACTED]

(S) (U)

[REDACTED]

[REDACTED]

(S) (U)

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b7F

[REDACTED]

[REDACTED]

(S) (U)

[REDACTED]

(S) (U)

BT

#0045

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KRS 001D.274

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#0046

Sect. 2 OF 2

log ch 4:05 PM

KRS

4/11/1988

VZCZCWM0046

PP HQ NY BER LON

DE WM #0046 2742102

ZNR UUUUU

P 302052Z SEPT88

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE

TO DIRECTOR FBI/PRIORITY/

FBI ADIC NEW YORK (12A-1470) (P) (196A-1774) (P)

INFO FBI LEGAT, BERN

FBI LEGAT, LONDON

BT

UNCLAS

UNCLAS

SECTION TWO OF TWO

CITE: //3920//

PASS: FBIHQ, SSAS [REDACTED]

DIVISION 6;

NEW YORK, SA [REDACTED]

SQUAD C-7; NEW YORK, SA [REDACTED]

[REDACTED] SQUAD C-1; LEGAT, LONDON, ASSISTANT LEGAT [REDACTED]

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SUBJECT: [REDACTED] DRUGS - IDTO; OO:NEW YORK (12A-1470);

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;

~~SECRET~~

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PAGE TWO DE WM 0046 UNCLAS

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW YORK
(196A-1774).

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	SOURCE LEARNED
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	(S) (U)
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(S) (U)

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~~SECRET~~

PAGE THREE DE WM 0046 UNCLAS

[REDACTED]

[REDACTED] (~~S~~) (U)

[REDACTED]

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[REDACTED] (~~S~~) (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS

[REDACTED] (~~S~~) (U)

[REDACTED]

[REDACTED] [MUCH OF THE ABOVE INFORMATION WAS

OBTAINED BY SOURCE

[REDACTED]

[REDACTED] (~~S~~) (U)

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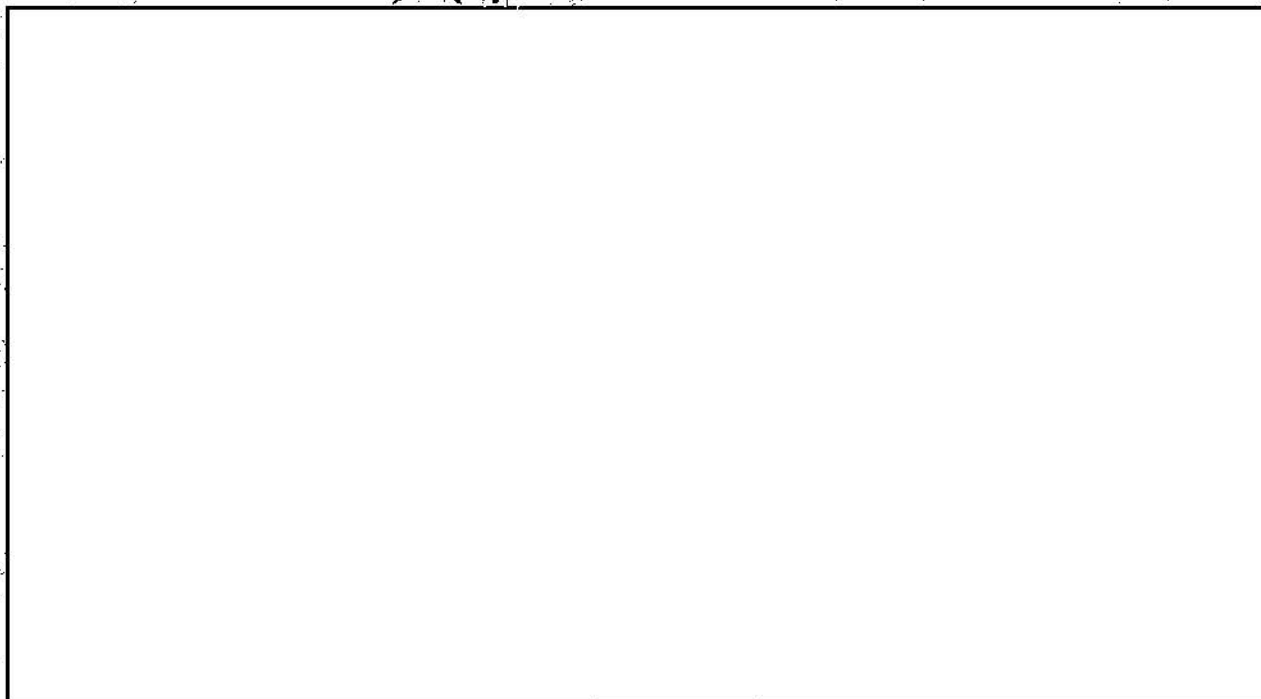
~~SECRET~~

PAGE FOUR DE WM ~~000000000000~~

BECAUSE OF THE EXTREME SENSITIVITY OF SOURCE [REDACTED]

[REDACTED] NO ACTION SHOULD BE TAKEN BY LEGAT, BERN, UNTIL ADVISED
TO DO SO BY THE NEW YORK DIVISION AFTER DISCUSSIONS WITH [REDACTED]
SOURCE CASE AGENT. (S) (U)

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(S) (U)

WMFD SUGGESTS NEW YORK OFFICE AND FBIHQ CONSIDER THE
POSSIBILITY OF A UCSA [REDACTED]
SOURCE CASE AGENT WILL BE AVAILABLE FOR DISCUSSIONS REGARDING
THIS MATTER DURING THE WEEK OF SEPTEMBER 26, 1988. (S) (U)

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PAGE FIVE DE WM 0046 UNCLAS

SOURCE WILL



(S) (U)

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BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND LONDON FOR INFORMATION.

ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE NEW YORK OFFICE

BT

#0046

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~~SECRET~~

INBOX.2 (#4526)

TEXT: VZCZCF00905

PP HQ WMFO BER LON ROM

DE FO #0905 2812338

ZNR UUUUU

P 072238Z OCT 88

FM NEW YORK (243A-2) (P) (C-7)

TO DIRECTOR (PRIORITY)

ATTN: SSA [REDACTED] EUROPEAN ASIAN DRUG

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b7C

ATTN: TRAFFICKERS UNIT

WMFO

ATTN: SA [REDACTED] C-8

b6
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BERN

ATTN: LEGAT [REDACTED]

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LONDON

ATTN: ASST LEGAT [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-01 BY Sp5 JCT/TNF

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ROME

ATTN: ASST LEGAT [REDACTED]

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BT

UNCLAS

GENUS CATTAILS: NARCOTICS CONSPIRACY; OODETF: OO:NY

[REDACTED] DRUG - ITDO; OO:NY (NY 12A-1470)

b7E

SEARCHED INDEXED

SERIALIZED

OCT 7 8 05 AM '88

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White Copy to SA [REDACTED]
for source file
64

[REDACTED] *ly*

RENYTEL TO FBIHQ, SEPT 29, 1988; BERNAT TO FBIHQ, JUNE 2,
1988; NYTEL TO BEE, MAR 1, 1988; WMPOTEL TO FBIHQ, SEPT 12, 1988.
FOR THE INFO OF FBIHQ AND RECEIVING OFFICES ON SEPT 29, 1988 MR

[REDACTED]
[REDACTED] APPEARED AT
THE US ATTORNEY'S OFFICE (USAO), SDNY, NY, NY, ON BEHALF OF HIS
CLIENT. [REDACTED]

--

ATHERMORE.	

ON OR ABOUT

183A-868

--

les.

PAGE THREE DE FO 0905 UNCLAS

[Redacted]

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MR. [Redacted] ACTING ON BEHALF OF [Redacted] ADVISED AUSA

[Redacted] THAT HIS CLIENT [Redacted]

[Redacted]

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AUSA [Redacted] CONTACTED FBI [Redacted]

[Redacted]

ACCORDING TO [Redacted] STATED [Redacted]

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[Redacted]

183A-868

White Copy to SA [Redacted]
for source file
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PAGE FOUR DE FO 0003 UNCLAS

ACCORDING TO

ADVISED

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UPON FURTHER REVIEW.

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183A-868

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delete copy to SA
for source file
ll

PAGE FIVE DE FO 0705 UNCLAS

DEPENDING UPON THE EXTENT OF THE PROFFER FBI NYO WILL
DETERMINE FUTURE INVESTIGATIVE STRATEGY IN BOTH THE
GENUS-CATTAILS INVESTIGATION AND [REDACTED] INVESTIGATION. b7E

BT

00705

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183A-8-8

White Copy 1 [REDACTED]
for 5000 file
121

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[REDACTED]

~~CONFIDENTIAL~~ C-8
2A 11:10

URGENT 12/18/88

FM NY BERN LONDON

DE WM #0003 3471315

ZNR UUUUU

P 121711Z DEC 88

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

FBI DDC NEW YORK (12A-1270) (P)/PRIORITY/

INFO FBI LEGAT BERN/PRIORITY/

FBI LEGAT LONDON/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA'S [REDACTED] DIVISION

#6, ADIC NEW YORK, SA [REDACTED] SQUAD C-7; LEGAT LONDON,

ASSISTANT LEGAT [REDACTED]

SUBJECT: [REDACTED] DRUGS - IDTO; OO: NEW YORK (NY 12A-1470)

RE WMFO TELECALL TO NEW YORK, DECEMBER 3, 1988.

DURING THE PERIOD OF [REDACTED] SOURCE LEARNED

[REDACTED] (S) (U)

2-28-01
CLASSIFIED BY: SP5 JCT/NE
(C)
DECLASSIFY ON: X 1
91-081

Rec'd SA
12/21/88

196A-1563-21
[REDACTED] [REDACTED]

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PAGE TWO DE WM 0003 UNCLAS

[REDACTED]

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[REDACTED]

(S) (U)

[REDACTED]

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[REDACTED]

(S) (U)

ONCE A PARTICULAR

[REDACTED]

[REDACTED]

(S) (U)

^PAGE THREE DE WMFC (1944-1563) UNCLAS E F T O

ANY LEADS ARE BEING LEFT TO THE DISCRETION OF NEW YORK.

015647

PAGE THREE DE WM 0003 UNCLAS

BUREAU IS REQUESTED TO DISSEMINATE CONTENT OF TELETYPE TO
LEGAT BERN AND LONDON.

INFORMATION COPIES ARE BEING SENT TO LEGATS BERN AND LONDON.
LEGAT BERN SHOULD NOT DISSEMINATE CONTENTS OF TELETYPE TO [REDACTED]
[REDACTED] UNTIL ADVISED TO DO SO BY NEW YORK.

ADMINISTRATIVE:

THE ABOVE INFORMATION WAS FURNISHED BY [REDACTED] (S) (U)
INFORMATION FROM THIS SOURCE MUST BE TREATED AS SINGULAR
INFORMATION. IMPROPER HANDLING OF INFORMATION WILL RESULT IN
DISCLOSURE OF SOURCE'S IDENTITY. IF SOURCE'S IDENTITY IS
DISCLOSED, SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER [REDACTED]
[REDACTED] WILL BE IN JEOPARDY.

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BT

#0003

NNNN

PRIORITY TELETYPE

UNCLASS EFTO

9/12/88

FROM: SAC, WMFO (196A-1563) (C) (C-5)

b6
b7C

TO: DIRECTOR, FBI (ATTN: SSAS [REDACTED])

DIV. 6)

ADIC NEW YORK (12A-1470) (P) (ATTN: SA [REDACTED])

SQUAD C-7)

(196A-1774)(P) (ATTN SA [REDACTED])

SQUAD C-1 b6
b7C

LEGAT, BERN (INFORMATION)

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED])

b6
b7C

[REDACTED] DRUGS - IDTO; OO:NY (NY 12A-1470) b7E

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
(OO:NY) (NY 196A-1774)

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b7E

RE WMFO TELETYPE TO DIRECTOR, 6/30/88; [REDACTED]

[REDACTED] FBIHQ, LEGAT-LONDON,

NEW YORK, WMFO [REDACTED]

AND NUMEROUS TELCALLS BETWEEN

WMFO, NEW YORK AND LEGAT, LONDON, 7/21/88 THROUGH 9/9/88.

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED] A VERY RELIABLE AND SENSITIVE [REDACTED]

SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING [REDACTED]

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1-WF 196A-1563
1-WF 183A-868
1-SA [REDACTED]
WMM/

2-28-01
CLASSIFIED BY: SP5 JCTIVE
REASON: (C)
DECLASSIFY ON: X1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

~~SECRET~~

IN REFERENCED TELETYPE, S

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(X). (~~S~~) (U)

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(~~S~~) (U)

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~~(S)~~ (U)

[Redacted]

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[Redacted]

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[Redacted]

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b7F

[Redacted]

~~(S)~~ (U)

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7/1/00

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~~CONFIDENTIAL~~

[Redacted]

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[Redacted]

[Redacted]

(S) (U)

[Redacted]

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[Redacted]

(S) (U)

~~CONFIDENTIAL~~

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] (S) (U)

[REDACTED]
[REDACTED] INFORMATION WAS OBTAINED BY SOURCE [REDACTED]

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BECAUSE OF THE EXTREME SENSITIVITY OF SOURCE [REDACTED]

NO ACTION SHOULD BE TAKEN BY LEGAT, BERN, UNTIL ADVISED TO DO SO
BY THE NEW YORK DIVISION AFTER DISCUSSIONS WITH [REDACTED] CASE AGENT. (S) (U)

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[REDACTED] (S) (U)
WMFO SUGGESTS NYO AND FBIHQ CONSIDER THE POSSIBILITY OF A UCSA

b7D
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b7F

[REDACTED] SOURCE CASE AGENT WILL BE AVAILABLE FOR
DISCUSSIONS REGARDING THIS MATTER DURING WEEK OF 9/26/88. (S) (U)

SOURCE WILL CONTINUE TO [REDACTED] (U) (S)

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND
LONDON FOR INFORMATION.

ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE NYO.

Transmit attached by Facsimile

PRECEDENCE:

- ☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☒ UNCLAS E F T O
☐ UNCLAS

To: ADIC, New York (12A-1470) (C-7) (P)

From: SAC, WMFO (196A-1563) (C-5)

Subject: ; Drugs - IDTO; OO: NY~~Personnel File~~

Date 9/30/88

3:02 pm

AB/elm

b7E

☐ Fingerprint Photo ☐ Fingerprint Record ☐ Map ☐ Newspaper clipping ☐ Photograph

☐ Artists Conception ☐ Teletype

☒ Other WMFO Teletype to Director

Special handling Instructions:

Hand carry to SA , Squad C-7, for 9:00 AM meeting, 10/3/88.

Approved: 6/62

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-01 BY SP5JCTNF

FBI/DOJ

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

Ra 1:04

VZCZCWM0008

PP HQ NY LEGAT BERN LONDON

DE WM #0008 0171730

ZNR UUUUU

P 171716Z JAN 89

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

ADIC, NEW YORK/PRIORITY/

LEGAT, BERN/PRIORITY/

LEGAT, LONDON/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: FBIHQ, SSA [REDACTED] DIVISION 6. NEW YORK, SA [REDACTED]

[REDACTED] SQUAD C-7. LONDON, ASSISTANT LEGAT [REDACTED]

b6
b7C

SUBJECT: [REDACTED] DRUGS-IDTO; OO:NEW YORK.

RE [REDACTED] AND TELCALLS BETWEEN

WMFO, NEW YORK, AND LONDON, JANUARY 12-13, 1989.

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FOR INFORMATION OF RECEIVING OFFICES, [REDACTED]

[REDACTED] A VERY SENSITIVE AND RELIABLE [REDACTED] SOURCE [REDACTED] (S) (U)

196A-1563-22

2-28-01
CLASSIFIED BY: SP5 JCTNE
REASON: 1.5 (C) (D)
DECLASSIFY ON: X 1, 6

[REDACTED]

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PAGE TWO DE WM 0008 UNCLAS

[REDACTED]

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[REDACTED]

(S) (U)

AS DISCUSSED DURING THE

[REDACTED]

[REDACTED]

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[REDACTED]

(S) (U)

[REDACTED]

SOURCE CASE AGENT HAS NO OBJECTIONS

[REDACTED]

SOURCE

[REDACTED]

HOWEVER,

BECAUSE OF THE SOURCE'S VALUE TO THE FBI

[REDACTED]

(S) (U)

~~SECRET~~

~~SECRET~~

PAGE THREE DE WM 0008 UNCLAS

[REDACTED] IT IS IMPERATIVE SOURCES' IDENTITY BE PROTECTED
AND THAT SOURCE [REDACTED]
SOURCE CASE AGENT [REDACTED]

[REDACTED] FOR THE SAFETY AND
PROTECTION OF SOURCE. IT IS NOTED SOURCE WILL NOT [REDACTED]

[REDACTED] BE AT THE REQUEST AND BENEFIT OF THE FBI AND SOURCE'S [REDACTED]

[REDACTED] THE FBI. ~~(S)~~ (U)

FBI NEW YORK IS REQUESTED TO ADVISE RECEIVING OFFICES OF NEW
YORK'S RECOMMENDATION CONCERNING SOURCE'S [REDACTED] ~~(S)~~ (U)

LEGAT BERN IS REQUESTED TO ADVISE RECEIVING OFFICES OF
BERN'S RECOMMENDATION CONCERNING SOURCES' [REDACTED] LEGAT
BERN SHOULD ADDRESS PROPOSED [REDACTED]
SPECIFICALLY, LEGAT BERN SHOULD ADVISE IF [REDACTED] MUST
BE TOLD OF THE SOURCE'S [REDACTED] IDENTITY OF SOURCE.

IT IS NOTED THAT SOURCE HAS [REDACTED]

[REDACTED] THE FBI AND SOURCE'S IDENTITY HAS NOT BEEN
DISCLOSED TO [REDACTED]

REQUIRE SOURCE'S IDENTITY, SOURCE WILL BE INSTRUCTED [REDACTED]

[REDACTED] ~~(S)~~ (U)

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~~SECRET~~

~~CONFIDENTIAL~~

PAGE FOUR DE WM 0008 UNCLAS

LEGAT LONDON IS REQUESTED TO ADVISE RECEIVING OFFICES OF AN
OBJECTIONS [REDACTED] SOURCE [REDACTED] ~~(S)~~ (U)

BUREAU IS REQUESTED TO MAKE FINAL DECISION CONCERNING

[REDACTED] SOURCE. IT IS NOTED [REDACTED]

SOURCE [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

(U) ADMINISTRATIVE; SOURCE PROVIDING THE ABOVE INFORMATION IS

[REDACTED] ~~(S)~~

[REDACTED] SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER

FROM [REDACTED] IS SOURCE'S IDENTITY IS
DISCLOSED.

BT

#0008

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b7D
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

VZCZCWMQ009

PP HQ NY LEGAT BERN LONDON

DE WM #0009 0171740

ZNR UUUUU

P 171717Z JAN 89

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

ADIC, NEW YORK/PRIORITY/

LEGAT, BERN/PRIORITY/

LEGAT, LONDON/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [REDACTED] DIVISION 6, NEW YORK, SA [REDACTED]

b6
b7C

[REDACTED] SQUAD C-7. LONDON, ASSISTANT LEGAT [REDACTED]

SUBJECT: [REDACTED] DRUGS-IDOT; OO:NEW YORK.

b7E

RE WMFO TELETYPE, DECEMBER 9, 1988 AND TELCALLS BETWEEN WMFO
AND NEW YORK, DECEMBER 9, 1988 AND JANUARY 12, 1989.

FOR INFORMATION OF RECEIVING OFFICES, A VERY SENSITIVE AND (S)

196A-1563-23

2-28-01
CLASSIFIED BY: SP5JCTNE
REASON: (C)
DECLASSIFY ON: X 1

EX 17 12 000000

~~SECRET~~

b6
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PAGE TWO DE WM 0009 UNCLAS

RELIABLE [] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

[]

[]

THE

FOLLOWING IS A SUMMARY OF INFORMATION PROVIDED BY SOURCE DURING

THIS PERIOD. ~~(S)~~ (U)

[]

[]

~~(S)~~ (U)

[]

[]

~~(S)~~ (U)

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b6
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~~SECRET~~

PAGE THREE DE WM 0009 UNCLAS

[REDACTED]

[REDACTED]

(S) (U)

b6
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[REDACTED]

[REDACTED]

(S) (U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

(S) (U)

[REDACTED]

[REDACTED]

(S) (U)

~~SECRET~~

PAGE FOUR DE WM 0009 UNCLAS

[REDACTED]

[REDACTED]

~~(S)~~(U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

~~(S)~~(U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

~~(S)~~(U)

~~SECRET~~

PAGE FIVE DE WM 0009 UNCLAS

ADMINISTRATIVE; SOURCE PROVIDING THE ABOVE INFORMATION IS



(S) (U)

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SECRET

1964-1563-24

SEARCHED	INDEXED
SERIALIZED <u>6J</u>	FILED <u>6J</u>
JAN 27 1969	
FBI - WASH. METRO FIELD OFFICE	
<u>628</u>	



14

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~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF 196A-1563

The following information was provided by to
Special Agent Federal Bureau of Investigation (FBI),
Washington Field Office, on

b6
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b7F

Source learned

b6
b7C
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b7F

 ~~(S)~~ (U)

b6
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b7F

ADMINISTRATIVE:

The above information was telephonically provided to SA
 NYO, on and followed up by teletype.

~~2-28-01~~
~~CLASSIFIED BY: Sp5 JCT/NE~~
~~REASON: (C)~~
~~DECLASSIFY ON: X 1~~

~~SECRET~~

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on [redacted]

b6
b7C
b7D
b7F

Source learned [redacted]

[redacted]

[redacted] (S) (U)

Source learned that [redacted]

[redacted] (S) (U)

ADMINISTRATIVE:

b6
b7C
b7D
b7F

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on [redacted] and confirmed
by teletype.

2-28-01
CLASSIFIED BY: SP5 JCTNF
(C)
DECLASSIFIED BY: [redacted]

196A-1563-24X1

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 19 1983	
FIELD OFFICE	

[redacted]

b6
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VZCZCHQ0071/020

PP HQ NY WM BER

DE LON #0200 0201004

ZNY EEEEE

P 201001Z JAN 89

FM LEGAT LONDON (196A-417) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (12A-1470)/PRIORITY/

FBI WMFO (196A-1563)/PRIORITY/

INFO LEGAT BERN

BT

UNCLAS E F T O

CITE: //5200//

b6
b7C

PASS: SSA [REDACTED] NARCOTICS SECTION, CID;

b6 SA [REDACTED] SQUAD C-7, NEW YORK DIVISION;

b7C

SA [REDACTED] SQUAD C-8, WMFO DIVISION

2-28-01
CLASSIFIED BY: Sp5 JCTNE
REASON: 1.5 (C) D
DECLASSIFY ON: X 1-1-6
b6
b7C

SUBJECT: [REDACTED] DRUGS - IDTO; (OO: NEW YORK).

RE WMFO TELETYPE DATED 1/17/89 CAPTIONED AS ABOVE.

LEGAT LONDON RECOMMENDS THAT [REDACTED] SOURCE [REDACTED]

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(S) (U)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

SECRET

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196A-1563-25

JAN 21 7 55 AM '89

[REDACTED]

~~SECRET~~

PAGE TWO DE LON 0200 UNCLAS E F T O

LONDON RECOMMENDS THAT THE SOURCE [REDACTED]

[REDACTED]
[REDACTED] (~~S~~) (U)

LONDON FURTHER RECOMMENDS THAT SOURCE [REDACTED]

[REDACTED] (~~S~~) (U)

CONSIDERATION SHOULD BE GIVEN TO SOURCE'S [REDACTED]

SPECIAL

AGENT [REDACTED]

[REDACTED]
[REDACTED] (~~S~~) (U)

BT

#0071

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~~SECRET~~

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~~C-9~~
C-9

TEXT:
VZCZCBER0032

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

PP HQ NY WF LON

DE BER 00032 0231315

ZNR UUUUU

R 230700Z JAN 89

FM LEGAT BERN (12A-39) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

FBI WMFO/PRIORITY/

LEGAT, LONDON/PRIORITY/

BT

UNCLAS SECTION ONE OF TWO.

CITE: //5550//

PAGE: CID, DRUG SECTION, SEA [REDACTED]

b6
b7C

~~2-28-01
CLASSIFIED BY: Sp5 JCT/T
REASON: 1.5 (C, D)
DECLASSIFY ON: X 1 6~~

SUBJECT: [REDACTED] DRUGS-IDTO; OO: NEW YORK.

REFERENCE TWO TELS FROM WMFO DATED JANUARY 17, 1989.

REFERENCED WMFO TELETYPES REQUEST LEGAT, BERN'S,

b7D
b7E
b7F

RECOMMENDATIONS CONCERNING [REDACTED]

SOURCE [REDACTED]

SET FORTH BELOW ARE LEGAT'S

COMMENTS AND RECOMMENDATION. (S) (U)

P P 196A-1563-26

b6
b7C

[REDACTED]

My

PAGE TWO DE BER 0032 UNCLAS

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b7F

~~(S)~~ (U)

THUS, LEGALLY AND TECHNICALLY,

AN EXTREME RISK IF ANYTHING SHOULD GO WRONG WHILE SOURCE

b7D
b7E
b7F

~~(S)~~ (U)

PAGE THREE DE BER 0032 UNCLAS

[REDACTED] ~~(S)~~ (U)

FOR INFORMATION OF RECIPIENTS, BERN'S FILE IN THIS MATTER WAS OPENED ON APRIL 12, 1988, AT WHICH TIME IT WAS SEPARATED FROM THE "GENUS-CATTAILS" INVESTIGATION TO BE HANDLED AS A SEPARATE MATTER. [REDACTED] HAVE BEEN ADVISED ONLY OF THE FOLLOWING INFORMATION BY LEGAT, BERN: ~~(S)~~ (U)

b6
b7C
b7D
b7E

1. IN JULY, 1987, WE ADVISED [REDACTED] THAT WE ARE

[REDACTED]

(BASED ON LONDON TELETYPE [REDACTED])

CAPTIONED [REDACTED] LONDON FILE [REDACTED] ~~(S)~~ (U)

b6
b7C
b7D
b7E

2. IN JULY, 1988, LEGAT ADVISED [REDACTED]

[REDACTED]

[REDACTED] BOTH CAPTIONED [REDACTED] ~~(S)~~ (U)

PAGE FOUR DE BER 0032 UNCLAS

3. ON AUGUST 29, 1988, LEGAT REQUESTED THAT [REDACTED]

b6
b7C
b7D
b7E

[REDACTED]
[REDACTED] NO RESPONSE HAS BEEN RECEIVED TO THIS REQUEST TO
DATE, IN SPITE OF A FOLLOW-UP IN NOVEMBER, 1988. ~~(S)~~ (U)

AS IS APPARENT FROM THE ABOVE, LEGAT HAS PROVIDED MINIMAL
INFORMATION TO [REDACTED] RESPONSE HAS NOT BEEN
OVERWHELMING. THERE IS NO INDICATION THAT [REDACTED]

[REDACTED] HAVE TAKEN ANY PARTICULAR INTEREST IN
[REDACTED] THE POINT IS, THEREFORE, THAT LEGAT
BELIEVES THE CHANCE OF SOURCE [REDACTED]

b6
b7C
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b7F

[REDACTED] LEGAT IS NOT AWARE
OF ANY INDEPENDENT [REDACTED] INVESTIGATION OF [REDACTED]

[REDACTED] ~~(S)~~ (U)
THE BOTTOM LINE IS THEN THAT, YES, [REDACTED]

MUST ~~(S)~~ (U)

~~CONFIDENTIAL~~

PAGE FIVE DE BER 0032 UNCLAS

LEGALLY BE ADVISED OF SOURCE'S [REDACTED]
BEHALF OF THE FBI. IT IS LEGAT'S EXPERIENCE THAT [REDACTED] WOULD
NOT AGREE TO APPROVING SOURCE'S [REDACTED]
ADVISED OF SOURCE'S IDENTITY AND [REDACTED]

b7D
b7E
b7F

[REDACTED] AS A PRACTICAL MATTER, LEGAT HAS ABSOLUTELY NO REASON TO
BELIEVE THERE IS ANY GREAT DEGREE OF RISK IN [REDACTED]
[REDACTED] AND/OR SOURCE DURING [REDACTED]
HOWEVER, AS POINTED OUT ABOVE, [REDACTED]

~~(S)~~ (U)

LEGAT BERN'S RECOMMENDATIONS ARE AS FOLLOWS: ~~(S)~~ (U)

1. LEGAT WOULD NOT RECOMMEND SOURCE'S [REDACTED]
[REDACTED] ~~(S)~~ (U)

2. GIVEN SENSITIVITY OF THE SOURCE, LEGAT WOULD NOT
RECOMMEND ADVISING [REDACTED] OF SOURCE'S IDENTITY [REDACTED]

b7D
b7E
b7F

[REDACTED] (AS NOTED, BOTH THE [REDACTED] WOULD
HAVE TO BE ADVISED AND LEGAT FEELS THE RISK OF COMPROMISING
SOURCE'S IDENTITY [REDACTED] IS SIMPLY TOO GREAT.) ~~(S)~~ (U)

3. BASED ON THE ABOVE TWO RECOMMENDATIONS, LEGAT, BERN, CAN ~~(S)~~ (U)

PAGE SIX DE BER 0032 UNCLAS

THEREFORE, NOT RECOMMEND [REDACTED] ~~(S)~~ (U)

AS NOTED ABOVE AND IN PREVIOUS COMMUNICATIONS, TO LEGAT'S
KNOWLEDGE, [REDACTED]

b7D
b7E
b7F

REGARD TO THIS MATTER, PRIMARILY BECAUSE [REDACTED]

[REDACTED] REGARDING [REDACTED]

THE REASON [REDACTED]

IS ESSENTIALLY THE SENSITIVITY OF THE SOURCE. LEGAT FULLY
APPRECIATES THE SENSITIVITY OF THE SOURCE AND THE RESTRICTIONS ~~(S)~~ (U)

BT

#0085

NNNN

INBOX.8 (#3205)

TEXT:

VZCZCH000084

00 HQ NY WF LON

DE BER #0033 0231317

ZNR UUUU

P 230915Z JAN 69

FM LEGAT BERN (12A-39) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

FBI WMFO/PRIORITY/

LEGAT LONDON/PRIORITY/

BT

UNCLAS SECTION TWO OF TWO

CITE: //5550//

PASS: CID, DRUG SECTION, SSA [REDACTED]

b6
b7C

SUBJECT: [REDACTED] DRUGS-IDTO; OO: NEW YORK.

THAT THEREFORE APPLY, HOWEVER, LEGAT BELIEVES THAT IT WOULD BE
VERY MUCH TO OUR ADVANTAGE IN PURSUING THIS INVESTIGATION THAT AT
SOME POINT IN THE NEAR FUTURE [REDACTED] BE ADVISED OF ANY AND ALL
INFORMATION [REDACTED] THAT IS CONSISTENT WITH SOURCE'S SECURITY.
THERE ARE NUMEROUS POSSIBILITIES TO OBTAIN EXTENSIVE INFORMATION

b7D
b7E
b7F

(S) (U)

PAGE TWO DE BER 0033 UNCLAS

[REDACTED]
[REDACTED] HOWEVER. TO DO SO

NECESSARILY REQUIRES [REDACTED]

[REDACTED] LEGAT REQUESTS THAT RECIPIENTS KEEP THIS IN MIND

AND ENDEAVOR [REDACTED]

INTO THIS INVESTIGATION AS SOON ~~(S)~~

AS POSSIBLE. ~~(S)~~ (U)

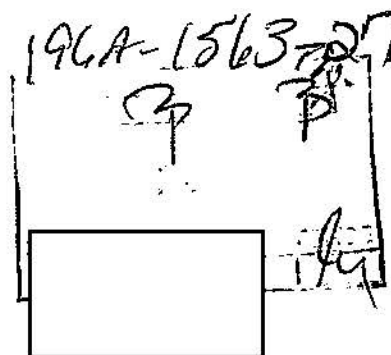
BT

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1/1 received 2/25/89
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-->NEXT TXST

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FORMS.TEXT HAS 1 DOCUMENT
NEXT DOCUMENT (9785) MOVED FROM INBOX.1 TO DESK.27

TEXT:

VZCZCH20013

RR HQ NY AF LON

DE BER #0033 0231703

ZNR UUUUU

R 280900Z JAN 89

FM LEGAT BERN (12A-39)(RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

FBI WMFO/ROUTINE/

LEGAT LONDON/ROUTINE/

BT

UNCLAS

CITE: //5350//

PASS: CID, DRUG SECTION, SSA [REDACTED]

b6
b7C

SUBJECT: [REDACTED], DRUGS-IDTG; OO: NEW YORK.

REBERNTEL DATED JANUARY 23, 1989.

[REDACTED] REPORTED THE FOLLOWING CONCERNING [REDACTED]

REPORTED [REDACTED]

[REDACTED] IS DESCRIBED AS FOLLOWS: [REDACTED]

b6
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196A-1563

Interfss
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fy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-01 BY Sp5 JCT/AC

PAGE TWO DE BER 0053 UNCLAS

[REDACTED] BORN [REDACTED]

[REDACTED]
RESIDING AT [REDACTED]

TELEPHONE [REDACTED]

b6
b7C
b7D

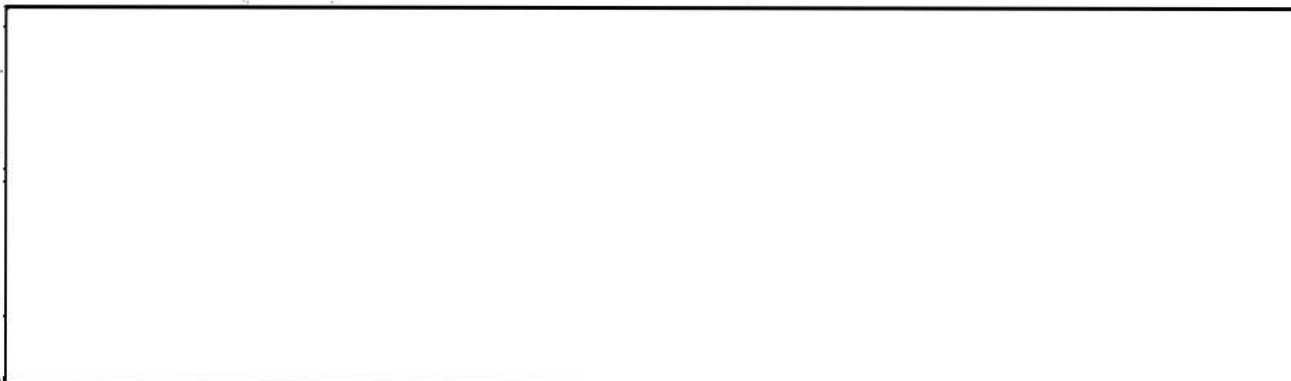
[REDACTED] DOB [REDACTED]

THEY HAVE [REDACTED]

AS TO [REDACTED]

b6
b7C
b7D

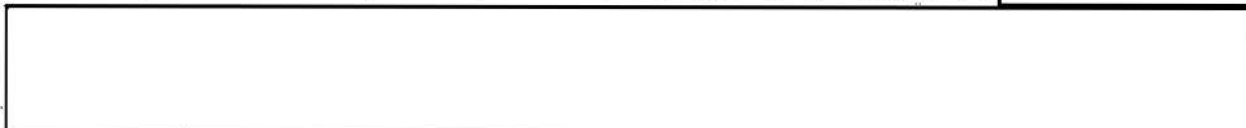
PAGE THREE DE BER 0053 UNCLAS



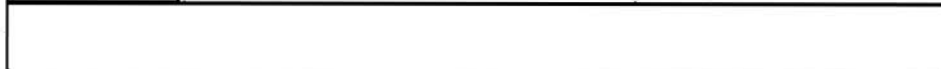
ON JANUARY 27, 1989, LEGAT PLACED A TELCALL TO



b6
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AT WHICH TIME LEGAT HUNG UP, THUS CONFIRMING



AS NO LEADS ARE CURRENTLY OUTSTANDING AT BERN, THIS MATTER
BEING CONSIDERED RUC.

BT

#0053

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

-->NEXT TEXT
FORMS.TEXT HAS 1 DOCUMENT
NEXT DOCUMENT (#1550) MOVED FROM INBOX.1 TO DESK.1

TEXT:
VZCZCH00213

PP WMFO NY BER LON
DE HQ #0213 0320111

ZNY EEEEE

P 012122Z FEB 89

FM DIRECTOR FBI

TO FBI WMFO (196A-1563) PRIORITY

PERSONAL ATTN: SAC

FBI NEW YORK (12A-1470) PRIORITY

PERSONAL ATTN: SAC

LEGAL ATTACHE BERN (12A-39) PRIORITY

LEGAL ATTACHE LONDON (196A-417) PRIORITY

BT

UNCLAS E F T O

[REDACTED] DRUG MATTER; IDTO; OO: NEW YORK. b7E

REWMFOTEL TO FBIHQ DATED JANUARY 17, 1989; LONTEL TO
FBIHQ DATED JANUARY 20, 1989 AND BERTEL TO FBIHQ DATED JANUARY
23, 1989.

FBIHQ AUTHORITY IS GRANTED FOR [REDACTED] SOURCE AND CASE AGENT

[REDACTED] FORTH IN REFERENCED TELETYPE DATED JANUARY 17, 1989. SOURCE IS

2-28-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C, D)
DECLASSIFY ON: X 1 6

196-1563 28

SET [REDACTED] b6
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~~SECRET~~

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b7C

~~SECRET~~

PAGE TWO DE HQ 0213 UNCLAS E F T O

TO BE SPECIFICALLY INSTRUCTED THAT NO CRIMINAL ACTIVITY CAN BE
ENGAGED IN [REDACTED] AND IT SHOULD BE NOTED THAT

INFORMATION DERIVED [REDACTED]

b6
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[REDACTED] THROUGH LEGAT LONDON. [REDACTED]

BY THE SOURCE OR SA [REDACTED]

(S) (U)

FOR INFORMATION OF THOSE IN RECEIPT OF THIS COMMUNICATION,

[REDACTED] THE SOURCE AND SA [REDACTED]

[REDACTED] AT THIS STAGE OF THE INVESTIGATION AND
BASED UPON OUR POSITION [REDACTED]

[REDACTED] REGARDING THIS CASE, [REDACTED]

THE POSITION OF LEGAT BERN. (S) (U)

SA [REDACTED]

b6
b7C
b7D
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LEGAT LONDON. LEGAT LONDON WILL ENSURE APPROPRIATE

NOTIFICATION TO THE STATE DEPARTMENT, AMERICAN EMBASSY, [REDACTED]

(S) (U)

BT

#0213

NNNN

~~SECRET~~

SECRET

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

VZCZCWM0038

PP HQ NY LONDON

DE WM #0038 0452132

ZNR UUUUU

P 142123Z FEB 89

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

ADIC NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON (196A-417)/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [REDACTED] DIVISION 6; NEW YORK, SA [REDACTED]

[REDACTED] SQUAD C-7; LONDON, ASSISTANT LEGAL [REDACTED]

SUBJECT: [REDACTED] DRUG MATTER; IDTO; OO:NEW YORK.

RE WMFO TEL TO FBIHQ, JANUARY 17, 1989; LONDON TEL TO FBIHQ,
JANUARY 20, 1989; BUREAU TEL TO WMFO, FEBRUARY 1, 1989; AND
VARIOUS WMFO TELCALLS TO NEW YORK AND LONDON, JANUARY 30 -
FEBRUARY 9, 1989.

FOR INFORMATION OF RECEIVING OFFICES, ON [REDACTED]

2-28-01
CLASSIFIED BY: Sp5 JCTNF
REASON: (C)
DECLASSIFY ON: X 1
01-081

mailed at

4:45

92c

[Handwritten signature]

KLA 1989-29

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196A-1563-29

SECRET

~~SECRET~~

PAGE TWO DE WM 0038 UNCLAS

		VERY SENSITIVE AND RELIABLE
	SOURCE THAT	

--	--

	(S) (U)	
	REQUESTED SOURCE	

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		(S) (U)	
ON		SOURCE ADVISED	

--	--

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	(S) (U)
--	---------

--	--

	(S) (U)
--	---------

~~SECRET~~

1964-4-17
274-22
P P
DS

~~SECRET~~

PAGE THREE DE WM 0038 UNCLAS

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] (S) (U)

SOURCE AND

FAMILY WILL BE IN PHYSICAL DANGER FROM [REDACTED]

[REDACTED] SOURCE'S IDENTITY IS DISCLOSED.

WMFO WILL KEEP RECEIVING OFFICES ADVISED OF DEVELOPMENTS.

BT

#0038

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FILEA-1363-289

FILED 4/17
FILED 4/22
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 12/30/88:

b6
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Source learned [redacted]

~~(S)~~ (U)

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~~(S)~~ (U)

(U)

~~(S)~~

~~(S)~~ (U)

b7D
b7F

~~(S)~~ (U)

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/3/89 and confirmed
by teletype.

b6
b7C

2-28-01
CLASSIFIED BY: Sp5 JCR
REASON: 1.5 (C)
DECLASSIFY ON: X1

b6
b7C

196A-1563-30

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 19 1989	
FIELD OFFICE	

[redacted]

~~SECRET~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI)
Washington Field Office, on 1/23/89:

b6
b7C
b7D
b7E

Source learned [redacted]

[redacted]

[redacted] (S) [redacted]

ADMINISTRATIVE:

The above information should be considered as SINGULAR
INFORMATION and treated with care.

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/24/89.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

2-28-01
CLASSIFIED BY: Sp5 JCN
REASON: 1.5 (C)
DECLASSIFY ON: X1

b6
b7C

196A-1563-31

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 24 1989	
FIELD OFFICE	

[redacted]

~~SECRET~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 1/22/89:

b6
b7C
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b7F

Source learned [redacted]
[redacted]
[redacted]

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/23/89.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

2-28-01
CLASSIFIED BY: Sp5 JCT/ME
REASON: 1.5 (C)
DECLASSIFY ON: X
01-081

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196A-1563-32

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 24 1989	
FBI OFFICE	

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 1/20/89:

b6
b7C
b7D
b7F

Source learned [redacted]

~~(S)~~ (U)

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/23/89.

b6
b7C

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~2-28-01
CLASSIFIED BY: Sp5 JCT/DF
REASON: 1.5 (C)
DECLASSIFY ON: X 1~~

196A-1563-33

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 24 1989	
FIELD OFFICE	

[redacted]

b6
b7C

~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted], Federal Bureau of Investigation (FBI),
Washington Field Office, on 1/16/89:

b6
b7C
b7D
b7F

Source identified [redacted]

[redacted] (S) (U)

[redacted] (S) (U)

b6
b7C
b7D
b7F

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/16/89.

b6
b7C

2-28-01
CLASSIFIED BY: Sp5 JCT/NE
REASON: 1.5 (c)
DECLASSIFY ON: X 1

196A-1563-34

SEARCHED	INDEXED
SERIALIZED 43	FILED 43
FEB 24 1989	
FIELD OFFICE	

[redacted]

b6
b7C

WF196A-1563

The following information was provided by [redacted] to [redacted] (S) (U)
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 1/14/89:

b6
b7C
b7D
b7F

Source learned [redacted]
[redacted]
[redacted] (S) (U)

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/16/89.

b6
b7C

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

2-28-01
CLASSIFIED BY: Sp5 JCT/MF
REASON: 1.5 (C)
DECLASSIFICATION: X 1

b6
b7C

196A-1563-35

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 24 1989	
FIELD OFFICE	

[redacted] 1/14/89

~~SECRET~~

~~SECRET~~

WF196A-1563

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

The following information was provided by [redacted] ~~(S)~~ (U) to [redacted] Special Agent [redacted] Federal Bureau of Investigation (FBI), Washington Field Office, on 1/5/89:

Source learned [redacted]

b6
b7C
b7D
b7E

~~(S)~~ (U)
ADMINISTRATIVE:

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 1/5/89.

b6
b7C

2-280
CLASSIFIED BY: ~~Sp5 JCT/NE~~
REASON: 1.5 (C)
DECLASSIFY ON: X 1

196A-1563-36

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 24 1989	
FBI OFFICE	

[redacted]

b6
b7C

~~SECRET~~

WF196A-1563

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

The following information was provided by [redacted] (S) (U) to
Special Agent [redacted] Federal Bureau of Investigation (FBI), b6
Washington Field Office, on 1/5/89: b7C
b7D
b7F

Source learned [redacted]

[redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7F

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/5/89 and confirmed
by teletype.

b6
b7C

Attached is [redacted] mentioned
by source.

b7D
b7F

2-28-01
CLASSIFIED BY: Sp5 JCT/TNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1

194A-1563-37

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 24 1989	
FIELD OFFICE	

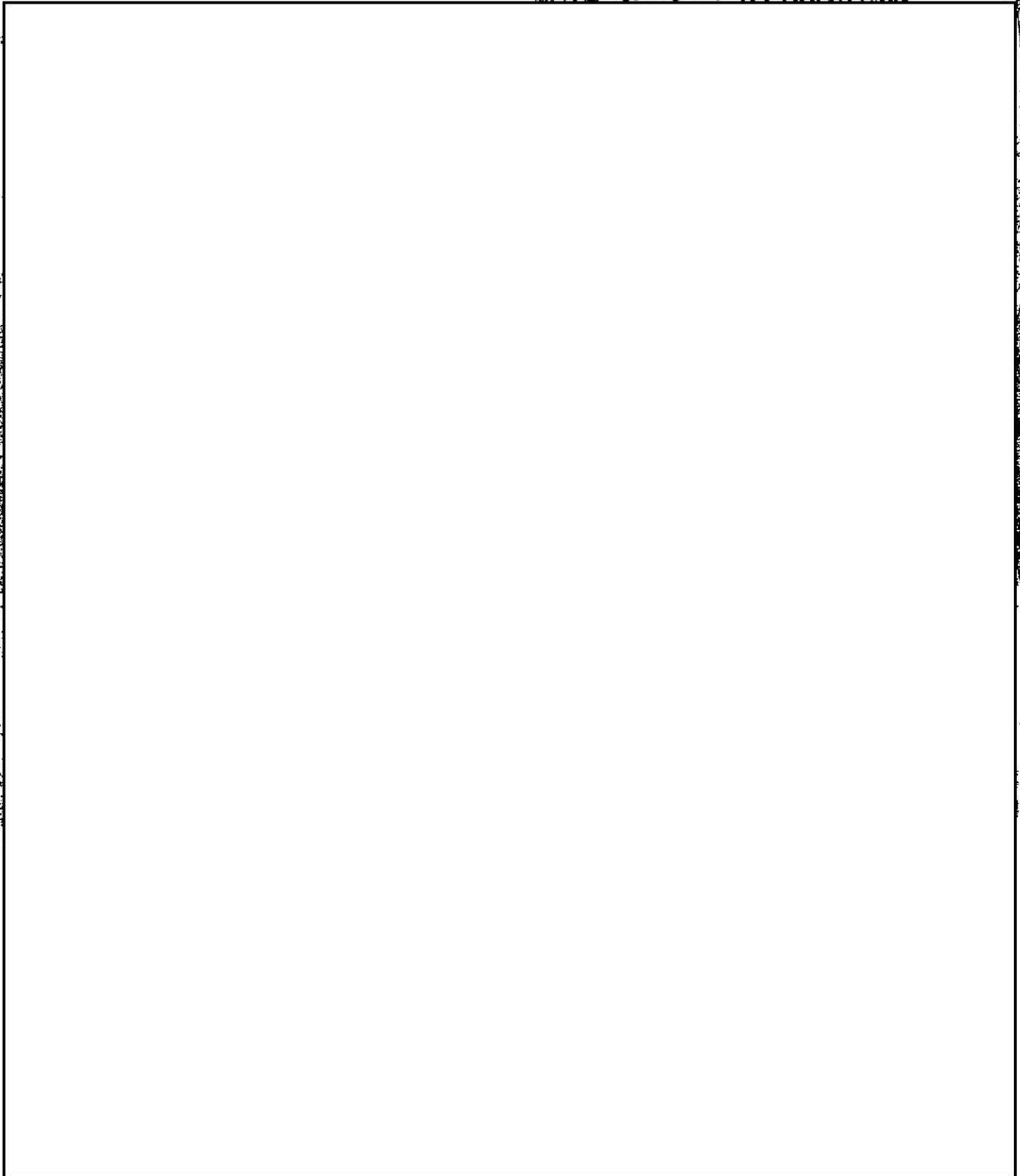
22

b6
b7C

~~SECRET~~

b7D
b7F

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-01 BY SP5JCM



196A-1563-38

SEARCHED	INDEXED
SERIALIZED <i>ya</i>	FILED <i>ya</i>
JUN 14 1989	
FBI - WASH. METRO FIELD OFFICE	

423

115
2-15-89

~~INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF196A-1563

The following information was provided by [redacted] to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 2/21/89:

Source learned [redacted]

b6
b7C
b7D
b7F

[redacted]
[redacted] (S) (U)
[redacted] (S) (U)

ADMINISTRATIVE:

The above information should be considered as SINGULAR
INFORMATION and treated with care.

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 2/21/89.

b6
b7C

2-28-01
CLASSIFIED BY: Sp5 JCN/NE
REASON: 1.5 (C)
DECLASSIFY ON: X 1

~~SECRET~~

196A-1563-39

SEARCHED	INDEXED
SERIALIZED <i>mp</i>	FILED <i>mp</i>
APR 24 1969	
FBI - WASH. METRO FIELD OFFICE	

~~196A-1563-39~~
~~APR 24 1969~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF196A-1563

The following information was provided by [redacted] ~~(S)~~ (U) to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 2/22/89:

b6
b7C
b7D
b7F

Source learned [redacted]

[redacted]

[redacted] ~~(S)~~ (U)

[redacted] ~~(S)~~ (U)

b7D
b7F

ADMINISTRATIVE:

The above information should be considered as SINGULAR
INFORMATION and treated with care.

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 2/23/89.

b6
b7C

~~2-28-01
CLASSIFIED BY: Sp5 JCTNE
REASON: 1.5 (C)
DECLASSIFY ON: X 1
01-081~~

~~SECRET~~

196A-1563-40

SEARCHED	INDEXED
SERIALIZED <i>df</i>	FILED <i>df</i>
JUN 22 1964	
FBI - WASH. METRO FIELD OFFICE	

83

RECEIVED
11/10/64

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF196A-1563

The following information was provided by [redacted] to ~~(S)~~ (U)
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 2/23/89:

Source advised [redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)
ADMINISTRATIVE:

The above information should be considered as SINGULAR
INFORMATION and treated with care.

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 2/23/89.

b6
b7C

~~2-28-01~~
CLASSIFIED BY: ~~Sp5 JCT/AF~~
REASON: 1.5 (C)
DECLASSIFY ON: ~~X-1~~
01-081

~~SECRET~~

196A-1563-41

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 14 1980	
FBI - WASH. METRO FIELD OFFICE	

~~196A-1563-41~~

~~15110510~~

~~SECRET~~

C-5

WF196A-1563

The following information was provided by [redacted] to [redacted] Special Agent [redacted], Federal Bureau of Investigation (FBI), Washington Field Office, and New York FBI Special Agents [redacted] on 2/24/89:

b6
b7C
b7D
b7F

Source advised [redacted]

[redacted]

[redacted] (S) (U)

[redacted]

b7D
b7F

[redacted] (S) (U)

ADMINISTRATIVE:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 2/28/89.

b6
b7C

2-28-01
CLASSIFIED BY: Sp5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1
01-081

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

~~SECRET~~

196A-1563-42

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 14 1980	
FBI - WASH. METRO FIELD OFFICE	

~~196A-1563-42~~

~~196A-1563-42~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to [redacted] (U)
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 2/25/89:

b6
b7C
b7D
b7F

Source advised [redacted]

[redacted]

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 2/28/89.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

2-28-01
CLASSIFIED BY: Sp5 JETNE
CON: 1.5 (C)
DECLASSIFY ON: X
01-081

~~SECRET~~

~~SECRET~~

196A-1563-4B

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 12 1969	
FBI — WASH. METRO FIELD OFFICE	

[Signature]

~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to [redacted] (S) (U)
Special Agent [redacted] Federal Bureau of Investigation (FBI), b6
Washington Field Office, on 3/9/89: b7C
b7D
b7F

Source advised [redacted]

[redacted]

[redacted] (S) (U)

[redacted]

b7D
b7F

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 3/10/89.

b6
b7C

2-28-01
CLASSIFIED BY: Sp5 JCTMF
REASON: 1.5 (C)
DECLASSIFY ON: X1
01-081

~~SECRET~~

196A-1563-44

SEARCHED	INDEXED
SERIALIZED	FILED
APR 4 1989	
FBI - WASH. METRO FIELD OFFICE	

473

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF196A-1563

The following information was provided by [redacted] to [redacted] (S) (U) b6
Special Agent [redacted] Federal Bureau of Investigation (FBI), b7C
Washington Field Office, on 3/15/89: b7D
b7F

Source learned [redacted]

(S) (U)

b7D
b7F

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO.

b6
b7C

~~2-28-01
CLASSIFIED BY: Sp5 JCT NF
REASON: 1.5 (C)
DECLASSIFY ON: X 1
01-081~~

~~SECRET~~

~~SECRET~~

196A-1563-45

SEARCHED	INDEXED
SERIALIZED <i>ng</i>	FILED <i>ng</i>
JUN 4 1969	
FBI — WASH. METRO FIELD OFFICE	

DS

~~SECRET~~

~~SECRET~~

WF196A-1563

The following information was provided by [redacted] to [redacted] (S) (U)
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 3/17/89:

b6
b7C
b7D
b7E

Source learned [redacted]
[redacted]
[redacted] (S) (U)

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO.

b6
b7C

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

2-28-01
CLASSIFIED BY: Sp5 JCT/TJ
REASON: 1.5 (C)
DECLASSIFY ON: X 1

~~SECRET~~

196A-1563-46

SEARCHED	INDEXED
SERIALIZED <i>na</i>	FILED <i>na</i>
JUN 14 1969	
FBI — WASH. METRO FIELD OFFICE	

7518

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

WF196A-1563

The following information was provided by [redacted] ~~(S)~~ (U) to
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 3/19/89:

Source learned [redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

[redacted]

[redacted] ~~(S)~~ (U)

[redacted]

[redacted] ~~(S)~~ (U)

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

[redacted]

b6
b7C
b7D
b7F

[redacted] ~~(S)~~ (U)

ADMINISTRATIVE:

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 3/28/89.

b6
b7C

23801
CLASSIFIED BY: Sp5 JCT/TNF
REASON: 1.5 (C)
DECLASSIFIED BY: [redacted]
01-081

~~SECRET~~

~~SECRET~~

196A-1563-47

SEARCHED	INDEXED
SERIALIZED <i>mp</i>	FILED <i>mp</i>
JAN 14 1963	
FBI - WASH. METRO FIELD OFFICE	
<i>98</i>	

~~SECRET~~

~~CONFIDENTIAL~~

WF196A-1563

The following information was provided by [REDACTED] ~~(S)~~ (U)
Special Agent [REDACTED], Federal Bureau of Investigation (FBI),
Washington Field Office, on 3/24/89:

b6
b7C
b7D
b7F

Source learned [REDACTED]

[REDACTED]

~~(S)~~ (U)

[REDACTED]

[REDACTED]

~~(S)~~ (U)

ADMINISTRATIVE:

b6
b7C
b7D
b7F

The above information was telephonically provided to
SA [REDACTED] Squad C-7, NYO, on 3/28/89.

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

2-28-01
CLASSIFIED BY: Sp5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X
01-081

~~SECRET~~

~~SECRET~~

WF 196A-1563

The following information was provided by [redacted] to [redacted]
Special Agent [redacted] Federal Bureau of Investigation
(FBI), Washington Metropolitan Field Office, on 3/27/89:

b6
b7C
b7D
b7F

Source learned [redacted]

[redacted]

[redacted] (S) (U)

[redacted]
[redacted] (S) (U)

b6
b7C
b7D
b7F

ADMINISTRATIVE:

The above INFORMATION should be considered as SINGULAR
in nature. [redacted] Improper handling will
disclose source's identity and will jeopardize [redacted]
[redacted] IF SOURCE'S IDENTITY IS
DISCLOSED, SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER.

b6
b7C
b7D
b7E
b7F

~~2-28-01~~
CLASSIFIED BY: Sp5 JCTM
REASON: 1.5 (C)
DECLASSIFY ON: X 1
01-081 (CDRU)

~~SECRET~~

b6
b7C

196A-1563-48

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 30 1989	
FBI FIELD OFFICE	

[redacted] /h/ [redacted]

WF 196A-1563

The following information was provided by [redacted] to [redacted]
Special Agent [redacted] Federal Bureau of Investigation
(FBI), Washington Metropolitan Field Office, on 3/31/89:

Source learned [redacted]
[redacted]
[redacted]

b6
b7C
b7D
b7E

~~(S)~~ (U)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

2-28-01
CLASSIFIED BY: Sp5 JCT/NE
REASON: 1.5 (C)
DECLASSIFY ON: X 1

b6
b7C

WF 196A-1563-49

SEARCHED	INDEXED
SERIALIZED	FILED
APR 1 1989	
FBI - WASHINGTON METRO FIELD OFFICE	

RCB

~~SECRET~~

92a 4.19

VZCZCWM0016

PP HQ NY LONDON

DE WM #0016 1472134

ZNR UUUUU

P 272123Z MAY 89

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

ADIC NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON (196A-417)/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: FBIHQ. SSA [REDACTED] DIVISION 6.

b6
b7C

NEW YORK. SA [REDACTED] SQUAD C-7.

b6
b7C

LONDON. ASSISTANT LEGAL ATTACHE [REDACTED]

b6
b7C

SUBJECT: [REDACTED] DRUGS-IDTO; CO:NEW YORK.

b7E

RE WMFO TELETYPE, 2/14/89, AND TELCALLS BETWEEN NEW YORK AND
WMFO DURING PERIOD OF 2/89-5/89.

FOR INFORMATION OF RECEIVING OFFICES, THE FOLLOWING IS A
SUMMARY OF INFORMATION PROVIDED BY A VERY RELIABLE AND SENSITIVE (S) (U)

2-28-01
CLASSIFIED BY: Sp5 JC TNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1

b6
b7C

196A-1563-50

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 19 1989	
FBI FIELD OFFICE	

[REDACTED]

49

PAGE TWO DE WM 0016 UNCLAS

[REDACTED] SOURCE CONCERNING [REDACTED]

[REDACTED] (~~S~~)(U)

b6
b7C
b7D
b7F

[REDACTED] (~~S~~)(U)

[REDACTED] (~~S~~)(U)

b6
b7C
b7D
b7F

[REDACTED] (~~S~~)(U)

PAGE THREE DE WM 0016 UNCLAS

[REDACTED]

~~(S)~~ (U)

b7D
b7F

[REDACTED]

~~(S)~~ (U)

b7D
b7F

[REDACTED]

~~(S)~~ (U)

PAGE FOUR DE WM 0016 UNCLAS

[REDACTED]

(S) (U)

b7D
b7E

[REDACTED]

[REDACTED]

ADMINISTRATIVE: (S) (U)

SOURCE PROVIDING THE ABOVE INFORMATION IS

[REDACTED] (S) (U)

[REDACTED]

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER

[REDACTED]

b7D
b7E
b7F

[REDACTED] IF SOURCE'S IDENTITY IS DISCLOSED.

SOURCE AND WMFO ARE CONCERNED THAT

[REDACTED]

[REDACTED]

(S) (U)

~~SECRET~~

PAGE FIVE DE WM 0016 UNCLAS

THE SOURCE. SOURCE HAS [REDACTED]

[REDACTED]
[REDACTED] SOURCE ADVISED IT

b7D
b7E
b7F

[REDACTED] (~~S~~) (U)

DECISION CONCERNING CONTACT OF THE [REDACTED] IS
BEING LEFT TO THE DISCRETION OF NEW YORK AND FBIHQ. (~~S~~) (U)

THE ABOVE IS FURNISHED FOR INFORMATION TO RECEIVING OFFICES.

BT

#0016

NNNN

0027

Sent at

12:26 pm

b6
b7C

~~SECRET~~

Index Pgs 243
in

VZCZCWM0027

PP HQ NY LONDON

DE WM #0027 1871716

ZNY EEEEE

P 071706Z JUL 89

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)

TO DIRECTOR FBI/PRIORITY/

ADIC NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON (196A-417)/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ: SSA [REDACTED] DIVISION 6; NEW YORK: SA [REDACTED]
[REDACTED] SQUAD C-7; LONDON: ASSISTANT LEGAL ATTACHE [REDACTED]

b6
b7C

SUBJECT: [REDACTED] DRUGS-IDTO; OO: NY.

b7E

RE WMFO TELETYPE TO DIRECTOR, MAY 26, 1989, AND WMFO
TELCALLS TO RECEIVING OFFICES, JUNE 29, 1989 THROUGH JULY 5,
1989.

FOR INFORMATION OF RECEIVING OFFICES, THE FOLLOWING IS A (S) -51

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

2-28-01
CLASSIFIED BY: SP5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X 1

~~SECRET~~

196A-1563 ✓

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 0 1989	
[REDACTED] OFFICE	

b6
b7C

~~SECRET~~

PAGE TWO DE WM 0027 UNCLAS E F T O

SUMMARY OF INFORMATION PROVIDED BY A VERY RELIABLE AND SENSITIVE

[REDACTED] SOURCE CONCERNING [REDACTED]

THE

INFORMATION WAS DEVELOPED BY SOURCE [REDACTED]

AND IS

BEING TREATED AS "SINGULAR" INFORMATION. (S) (U)

REFERENCED TELETYPE NOTED [REDACTED]

b6
b7C
b7D
b7E

(S) (U)

b6
b7C
b7D
b7E

(S) (U)

(S) (U)

~~SECRET~~

~~SECRET~~

PAGE THREE DE WM 0027 UNCLAS E F T O

[REDACTED]

b6
b7C
b7D
b7F

(S) [REDACTED]

[REDACTED]

[REDACTED]

(S) (U) [REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

(S) (U) [REDACTED]

[REDACTED]

(S) [REDACTED]

~~SECRET~~

~~SECRET~~

PAGE FOUR DE WM 0027 UNCLAS E F T O

[REDACTED]

(S) (U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

(S) (U)

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

(S) (U)

[REDACTED]

[REDACTED]

(S) (U)

~~SECRET~~

~~SECRET~~

PAGE FIVE DE WM 0027 UNCLAS E F T O

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] (S) (U)

[REDACTED] (S) (U)
SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER FROM [REDACTED]

[REDACTED] IF SOURCE'S IDENTITY IS DISCLOSED.

ALTHOUGH SOURCE'S [REDACTED]

[REDACTED]

[REDACTED] WMFO BELIEVES THAT IN VIEW OF

[REDACTED] INFORMATION PROVIDED TO SOURCE [REDACTED]

PREVIOUSLY CONSIDERED "SINGULAR" SHOULD BE RE-EXAMINED FOR

POSSIBLE USE IN FURTHERING THE INVESTIGATION. (S) (U)

LEADS ARE BEING LEFT TO THE DISCRETION OF NEW YORK.

BT

#0027

NNNN

~~SECRET~~

/READ/REF 1

0023 MRI 01960

PP FBIWMFO

DE FBIWMFO #0055 0032352

ZNR UUUUU

P 032312Z JAN 90

FM FBI WMFO (196A-1563) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //3923//

PASS: SSA [REDACTED] DIVISION SIX. SA [REDACTED] SQUAD

b6
b7C

C-7.

SUBJECT: [REDACTED] DRUGS-IDTC; OO:NEW YORK.

RE TELCAL OF WMFO ASAC [REDACTED] TO SDNY AUSA

[REDACTED] JANUARY 2, 1990; AND NEW YORK TELETYPE TO DIRECTOR

AND NEW YORK, JULY 5, 1989.

b6
b7C
b7E
b7D
b7F

FOR INFORMATION OF BUREAU AND NEW YORK, DURING PERIOD OF

[REDACTED] A VERY SENSITIVE AND

RELIABLE [REDACTED] SOURCE DEVELOPED THE FOLLOWING INFORMATION FROM (S) (U)

2-28-01
CLASSIFIED BY: Sp5 JCTNF
REASON: 1.5 (C)
DECLASSIFY ON: X1

Draft Copy to [REDACTED] file

b6
b7C
b7D

196A-1563-52

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 08 1990	
FBI NEW YORK	

~~CONFIDENTIAL~~

SOURCE IN THE PAST:

~~(S)~~ (U)

b7D
b7F

~~(S)~~ (U)

~~(S)~~ (U)

b6
b7C
b7D
b7F

~~(S)~~ (U)

~~(S)~~ (U)

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED]

OR

IF THE INFORMATION CAME FROM OTHER SOURCES. ~~(S)~~ (U)

[REDACTED]

b6
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b7E
b7F

[REDACTED] SOURCE HEARD [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ (U)

THE CREDIBILITY OF INFORMATION FURNISHED TO SOURCE IN THIS
MATTER IS UNKNOWN. AS A PRECAUTION, WMFO ASAC [REDACTED]
TELEPHONICALLY PROVIDED THE ABOVE INFORMATION TO AUSA [REDACTED]

b6
b7C

[REDACTED] SDNY, [REDACTED] THE "PIZZA
CONNECTION" CASE.

PAGE FOUR DE FBIWMFO 0055 UNCLAS

THE ABOVE IS PROVIDED TO NEW YORK FOR WHATEVER ACTION DEEMED
APPROPRIATE.

ADMINISTRATIVE.

SOURCE PROVIDING ABOVE INFORMATION IS



~~(S)~~ (U)

b7D
b7F

INFORMATION FROM SOURCE MUST BE HANDLED IN A DISCRETE MANNER.
IMPROPER HANDLING OF INFORMATION MAY DISCLOSE SOURCE'S IDENTITY
WHICH WOULD PLACE SOURCE AND FAMILY IN PHYSICAL DANGER AND
JEOPARDIZE ONGOING FBI INVESTIGATIONS.

BT

*0055

NNNN

SECRET

/READ
0126 MRI 02067
PP FBIWMFO
DE FBIWMFO #0049 0042201
ZNY EEEEE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

P 042147Z JAN 90
FM FBI WMFO (196A-1563) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

LEGAT ROME/PRIORITY/

FBI NEW YORK/PRIORITY/

FBI NEW HAVEN/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ. SSA [REDACTED] DIVISION SIX. NEW YORK. SA

b6
b7C

[REDACTED] SQUAD C-7. NEW HAVEN SSA [REDACTED]

BRIDGEPORT RA:

b6
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SUBJECT: [REDACTED] IDTO; OO:NEW YORK.

RE WMFO TELETYPE, [REDACTED] TELCALLS OF WMFO SA 196A-1563-53

[REDACTED] TO FBIHQ SSA [REDACTED] AND NEW YORK [REDACTED] INDEXED

[REDACTED] JANUARY 3, 1990; AND WMFO TELCALLS TO NEW HAVEN

SERIALIZED [REDACTED] FILED [REDACTED]

JAN 4 5 09 PM '90

2-28-01
CLASSIFIED BY: Sp5 JCTAC
REASON: 1.5 (C)
DECLASSIFY ON: X

SECRET

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~~SECRET~~

FBIWMFO 0049 UNCLAS E F T O

AND NEW YORK, JANUARY '4, 1990.

FOR INFORMATION OF FBIHQ AND RECEIVING OFFICES, ON [REDACTED]

[REDACTED] WMFO CASE AGENTS MET WITH A VERY RELIABLE AND SENSITIVE

[REDACTED] CONCERNING [REDACTED]

[REDACTED] SOURCE PROVIDED THE FOLLOWING SINGULAR INFORMATION: (S) (U)

b6
b7C
b7D
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[REDACTED] (S) (U)
WHEN QUESTIONED BY [REDACTED]

b6
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b7D
b7F

~~SECRET~~

~~SECRET~~

PAGE THREE DE FBIWMFO 0049 UNCLAS E F T O

[REDACTED]

[REDACTED]

~~(S)~~

(U)

[REDACTED]

[REDACTED]

[REDACTED]

~~(S)~~

(U)

SOURCE COULD NOT PROVIDE ANY ADDITIONAL INFORMATION.

WMFO NOTES AUSA

[REDACTED]

SDNY, (FORMER SA),

[REDACTED]

[REDACTED]

THE "GENUS CATTAILS" (PIZZA

CONNECTION) CASE AND WAS ASSISTED BY DEPARTMENT OF JUSTICE

ATTORNEY

[REDACTED]

WHO IS CURRENTLY ASSIGNED LEGAT ROME AND

FORMER AUSA

[REDACTED]

IN THE NEW

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~~SECRET~~

SECRET

PAGE FOUR DE FBIWMFO 0049 UNCLAS E F T O

YORK CITY AREA. FBIHQ SSA [REDACTED] NEW YORK SA'S

[REDACTED] AND NEW HAVEN SSA [REDACTED]

WERE THE KEY FBI AGENTS INVOLVED IN THE CASE, WITH THE EXCEPTION

OF [REDACTED] ALL HAVE BEEN TELEPHONICALLY ADVISED OF THE ABOVE

INFORMATION. WMFO WILL ATTEMPT TO TELEPHONICALLY CONTACT [REDACTED]

ADMINISTRATIVE.

SOURCE PROVIDING ABOVE INFORMATION IS [REDACTED] (S) (U) SOURCE

IS [REDACTED]

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER [REDACTED]

[REDACTED] IF SOURCE'S IDENTITY IS DISCLOSED.

SOURCE HAS BEEN UNABLE TO VERIFY [REDACTED]

THE ABOVE IS FURNISHED FOR INFORMATION.

BT

#0049

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G-9

~~SECRET~~

/READ
0035 MRI 01496
PP RUEHFB ROM FBINH FBIWMFO
DE FBINY #0044 0520051
ZNR UUUUU
P 202251Z FEB 90
FM FBI NEW YORK (12A-1470) (P)

TO DIRECTOR FBI/PRIORITY/
LEGAT ROME/PRIORITY/
FBI NEW HAVEN/PRIORITY/
FBI WMFO (126A-1563)/PRIORITY/
BT

UNCLAS

CITE: 77354077

PASS: HQ FOR SSA [REDACTED] EADTU, ROOM 3015; NH FOR SSA

[REDACTED] BRIDGEPORT; WMFO FOR SA [REDACTED]

SUBJECT: [REDACTED] DRUGS; IDTO; OO:NY.

REWMFO TEL TO FBIHQ, DATED JULY 6, 1989; WMFOAT TO FBIHQ, DATED
OCT 23, 1989; WMFOTELS TO FBIHQ, DATED JAN 3, 1990 AND JAN 4, 1990;
AND TELECALLS BETWEEN SA [REDACTED] NYO, AND SA [REDACTED]
[REDACTED] ON JAN 3, 1990 AND JAN 25, 1990.

CLASSIFIED BY: SP5JC/BW
REASON: 1.5 (C)
DECLASSIFY ON: X1
3/21/01 01-081

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-01 BY SP5JCTNF~~

b6
b7C

b6
b7C
b7E

*We addtional info from source.
Source has been tasked to
get addtional info.*

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

126A-1563-54
SEARCHED INDEXED
SERIALIZED FILED
FEB 20 7 55 PM '90

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~~SECRET~~

PAGE TWO DE FBINY 0044 UNCLAS

IN VIEW OF [REDACTED] IN THIS
INVESTIGATION AND THE EXTREME SENSITIVITY OF THE [REDACTED] WHO HAS
PROVIDED INFORMATION IN THIS INVESTIGATION, NYO IS CLOSING THE
SUBSTANTIVE PORTION OF THIS MATTER (S) (U)

b6
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HOWEVER, NYO IN ORDER TO RESOLVE, AS BEST IT CAN, RECENT
INFORMATION THAT THE SOURCE PROVIDED, THE NYO FEELS THE SOURCE

[REDACTED]
[REDACTED] AS THE BUREAU IS AWARE,
[REDACTED]
[REDACTED] (S) (U)

DUE TO THE OBVIOUS SERIOUSNESS AND SENSITIVITY OF THESE
AFOREMENTIONED [REDACTED] THIS MATTER SHOULD RECEIVE
PRIORITY ATTENTION (S) (U)

WMFO IS REQUESTED TO RECONTACT [REDACTED] AND DETERMINE IF
SOURCE HAS DEVELOPED ANY ADDITIONAL INFORMATION [REDACTED]

b6
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b7E

[REDACTED] WMFO SHOULD BE ALERT TO THE FACT THAT THE
INDIVIDUALS REFERENCED IN THE TELETYPES NAMELY [REDACTED]

[REDACTED]
PROSECUTED IN THE "PIZZA CONNECTION" CASE. FURTHERMORE, [REDACTED] (S) (U)

~~SECRET~~

~~SECRET~~

PAGE THREE DE FBINY 0044 UNCLASSIFIED

b7D
b7F

[REDACTED]
[REDACTED] (S) (U)
AFTER THE RECONTACT WITH THE [REDACTED] SOURCE, WMFO IS REQUESTED TO
CONTACT LEGAT BERN, BASED UPON INFORMATION PROVIDED BY SOURCE, TO
ATTEMPT TO [REDACTED]

[REDACTED] (S) (U)
BT

#0044

NNNN

~~SECRET~~

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/13/91

b6
b7C

TO : DIRECTOR, FBI
 FROM : ADIC, NEW YORK (12A-1470) (C) (C-7)
 SUBJECT: [REDACTED] b7E
 DRUG-IDTO
 OO:NY

ReNYtel to BU, dated 2/20/90: telcal between SA
 [REDACTED] NYO, and SA [REDACTED] on 2/12/91.

b6
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As no further investigation remains in this matter,
 captioned case being placed in closed status.

If [REDACTED] source were to develop any additional information

[REDACTED] advise NYO.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2-28-01 BY SP5 JCT/NF
 CDRU# 01-081

2 - Bureau
 (2) - WMFO (196A-1563) (C-9)
 1 - New York (12A-1470)

PTL:ng
 (6)

UCPN ☐ Pos ☒ Neg

GENERAL INDICES:

Approved: ☐ Automated Search Transmitted

WF: ☐ Pos ☐ Neg AX: ☐ Pos ☐ Neg

☒ Manual Search

WF: ☐ Pos ☐ Neg AX: ☐ Pos ☐ Neg

196A-1563-55

SEARCHED ☒ INDEXED ☒
 SERIALIZED ☒ FILED ☒

Per FEB 21 1991

D OFFICE

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 36

Page 112 ~ Referral/Consult;
Page 113 ~ Referral/Consult;
Page 114 ~ Referral/Consult;
Page 115 ~ Referral/Consult;
Page 116 ~ Referral/Consult;
Page 145 ~ b6; b7C; b7D;
Page 183 ~ b6; b7C;
Page 184 ~ b6; b7C;
Page 188 ~ b6; b7C;
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00021Z 1942339Z

AR Y

DE MO

R 13 2300Z JUL 81

FM HOUSTON (196B-331)

TO NEW YORK ROUTINE

BT

UNCLAS

MARC RICH, SEA MARC RICH AND COMPANY; [REDACTED]

FRAUD BY WIRE -

ENERGY RELATED. OO: HOUSTON.

FOR THE INFORMATION OF NEW YORK, HOUSTON DIVISION HAS BEEN
INTERVIEWING [REDACTED] (PROTECT IDENTITY) AND [REDACTED]
(PROTECT IDENTITY), [REDACTED]

b6
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b6
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ORIGINAL DOCUMENTS ENCLOSED
DO NOT BLOCK STAMP.

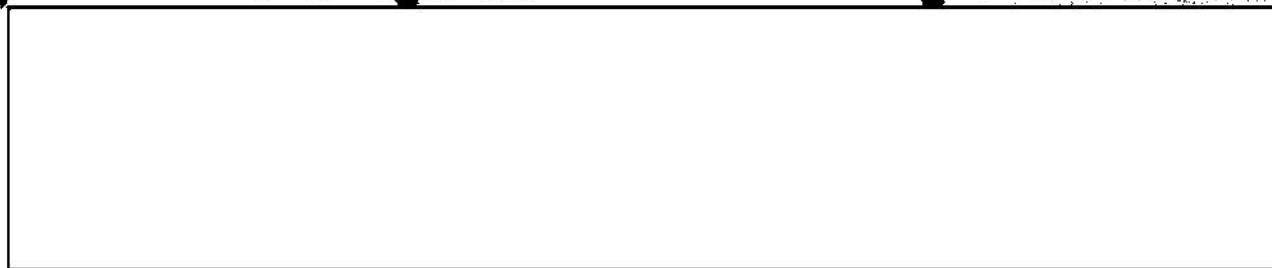
ALL INFORMATION CONTAINED
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DATE 2/20/01 BY SP5TC/EDS
CDRU #01-081

OPEN FOR REOPEN
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196B-172H-1
SEARCHED
SERIALIZED

MIR JUL 1 1981

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U.S. DEPARTMENT OF JUSTICE, FRAUD SECTION ATTORNEY

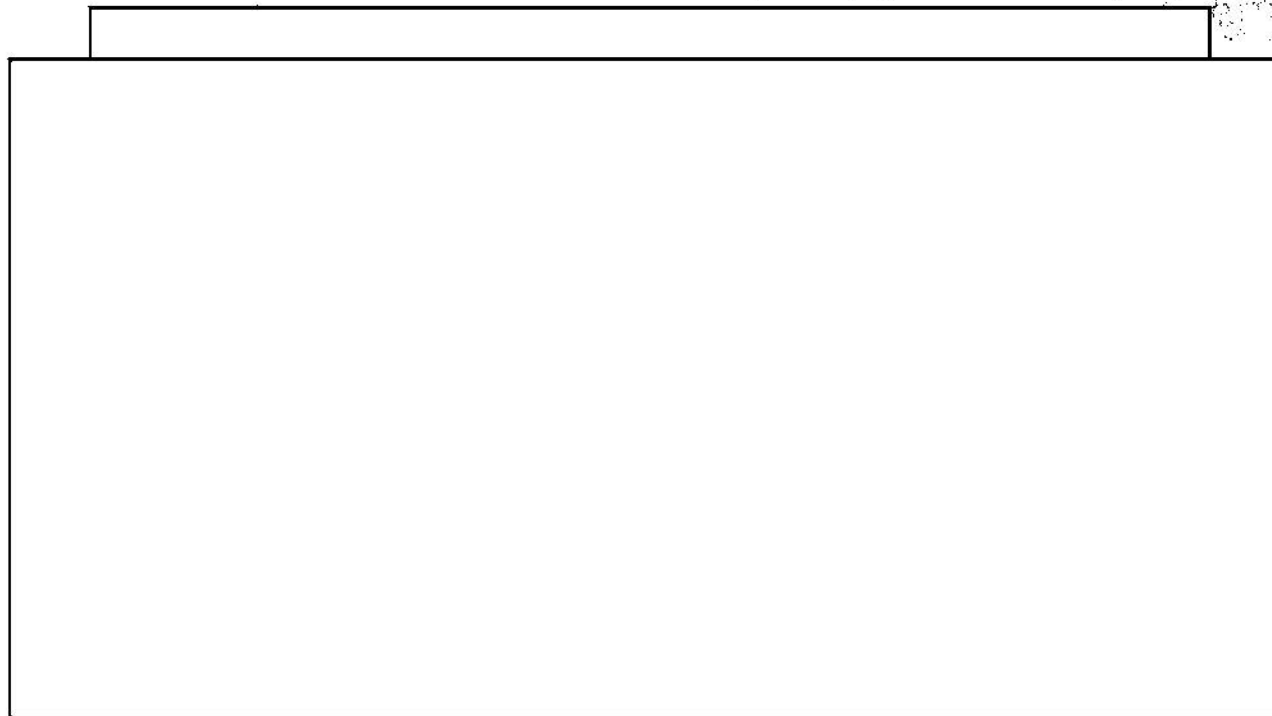
b6
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WASHINGTON, D.C., FTS NUMBER 724-7923,

SUBSEQUENT

HE

HAS ADVISED THAT MAY BE A VIOLATION
OF MAIL FRAUD AND FALSE STATEMENT (1861) STATUTES.



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PAGE THREE NO. 19SB-881 UNCLAS

b6
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NEW YORK AT NEW YORK. INTERVIEW

WILL BE PRESENT TO ADVISE

THIS

INVESTIGATION AND ATTEMPT TO DETERMINE WHETHER OR NOT

MARC RICH. NEW YORK IS REQUESTED TO MAKE CONTACT

HE HAS TENTATIVELY MADE ARRANGEMENTS FOR

THE INTERVIEW ON

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7/15/81 Called

Attempting return call

1 11-0382

13 2001 JUL 11
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FOR THE INFORMATION OF NEW YORK, THE DIVISION HAS BEEN

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP3C/RDS

CDRU # 01-081

196B-1774-1

SEARCHED	SERIALIZED
JUL 13 1981	
FBI-NEW YORK	

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[REDACTED]

U.S. DEPARTMENT OF JUSTICE, FEDERAL BUREAU OF INVESTIGATION

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WASHINGTON, D.C., FILE NUMBER 72-7441

[REDACTED] [REDACTED] [REDACTED]

ADVISED THAT [REDACTED] MAY BE A VIOLATION
OF MAIL FRAUD AND FALSE STATEMENT STATUTES.

[REDACTED]

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196B-1774-1
H H

PAGE THREE NO 1062-231 UNCLAS

[REDACTED]

[REDACTED]

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NEW YORK AT NEW YORK. INTERVIEW

[REDACTED]

WILL BE PRESENT TO ADVISE

[REDACTED]

INVESTIGATION AND ATTEMPT TO DETERMINE WHETHER OR NOT

[REDACTED]

b6
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[REDACTED]

[REDACTED]

MARCH 1964. NEW YORK IS REQUESTED TO MAKE CONTACT

[REDACTED]

HE HAS TENTATIVELY MADE ARRANGEMENTS FOR

INTERVIEW ON [REDACTED]

BT

1062-231
1062-231

Date

3/4/83

Title and Character of Case

MARC RICH
ET AL
FBW-ER

Date Property Acquired

3/4/83

Source From Which Property Acquired

FBI - HOUSTON OFFICE

Location of Property or Bulky Exhibit

VAULT SHELF

Reason for Retention of Property and Efforts Made to Dispose of Same

EVIDENCE PENDING CONCLUSION OF CASE

To Be Returned

☐ Yes ☐ No

See Serial

*

Agent Submitting Property or Exhibit

SA

Agent Assigned Case

SA

M-1

b6

b7C

☐ Yes ☒ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

ONE CELLOPHANE ENVELOPE CONTAINING:

MISCELLANEOUS RECORDS (see airtel for complete description)

Turned over to
AUSA
SA
3/8/83

b6

b7C

*airtel dated 3/2/83 Reg number 063 171 447

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

#01-681

DATE 2/21/01 BY SP6TC/ES

See 1A

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # _____

Signature of Two
Special Agents
Verifying and Sealing
Bag Contents

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

Field File # _____

OO: NY 196B-1774-1B1 M-1

ORIGINAL (FILE COPY)

SEARCHED	INDEXED
SERIALIZED	FILED
3-4-83	
FBI-NEW YORK	

CHAIN OF CUSTODY

[illegible][illegible]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5JC/KDS

Field File No.

OO and File No.

Date Received

From

By

(NAME OF SPECIAL AGENT)

To Be Returned



Yes



No



Yes



No

Receipt Given



Yes



No

Grand Jury Material
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

Copy of

b6
b7C
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b3

PLEASE DO NOT REMOVE
THIS SLIP FROM EXHIBIT
NY 196B-1774-1A

Deletated 11/81

[Redacted]

[Redacted]

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b3

602

1 -

[Redacted]

2 -

[Redacted]

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3 -

[Redacted]

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b7D

4 -

[Redacted]

[Redacted]

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5 -

[Redacted]

[Redacted]

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6 -

[Redacted]

[Redacted]

b3
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5JC/EDS
(CDRU#01-081)

[Redacted]

62 2/4
b3
b7D

7 -

[Redacted]

[Redacted]

8 -

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9 -

[Redacted]

[Redacted]

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10 -

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602

3/4

10 - CONT'D

[Redacted]

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11 -

[Redacted]

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12 -

[Redacted]

[Redacted]

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[Redacted]

652 4/24

12-CONT'D

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[Redacted]

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[redacted]

[redacted]

provided

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b7D
b3

the below described [redacted]
pursuant to a Fed Grand Jury
Subpoena;

On 11/8/81

at

[redacted]

b6
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NY
196B-1774

SA GOR

Field File No. _____

OO and File No. NY 196 B-1774-1A2Date Received 12/28/81From _____

By _____
(NAME OF SPECIAL AGENT)To Be Returned ☐ Yes☒ NoReceipt Given ☐ Yes☒ No☐ Yes☐ NoGrand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure.Description: Original
Notes of Interview

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 2/20/01 BY SP5TC/PSS

(CDRL # 01-081)

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12/28/81

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NY 196A-1224-1A²

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5JC/RDS
(CDRU #01-081)

Field File No. B
 00 and File No. NY-196-1774-1A³
 Date Received 6/7/82
 From [REDACTED]

(ADDRESS OF CONTRIBUTOR)

By [REDACTED]
 (NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes☒ No☐ Yes☒ NoReceipt Given ☐ Yes☒ No

Grand Jury Material
 Disseminate Only
 Pursuant to Rules
 6(e), Federal Rules
 of Criminal Procedure

Description:

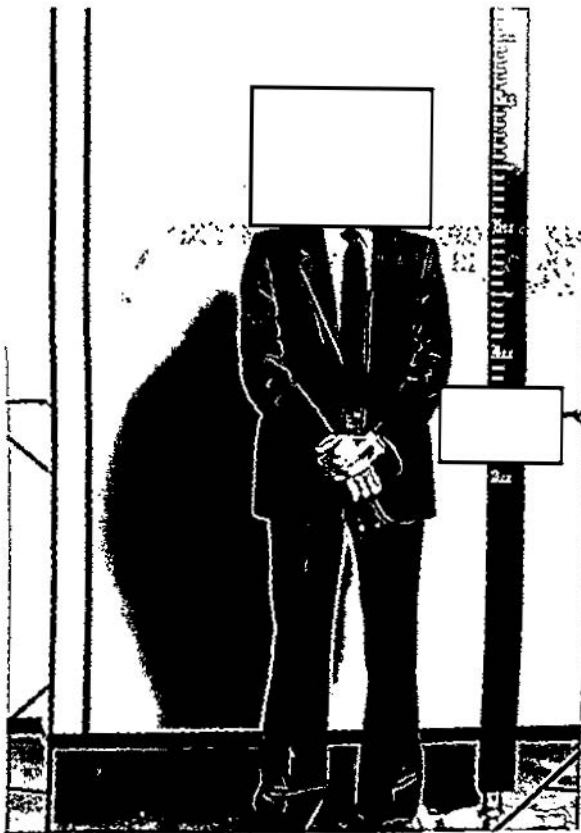
5 - Black and White
 Photos [REDACTED] Standing

5 - Black and White
 Photos [REDACTED] Mug Shot

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/20/01 BY SP5C/EDS
 (CDU# 01-081)

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ny 196B-1774-1A3

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DATE 2/20/01 BY SP5JC/RDS
(CDRU #01-081)



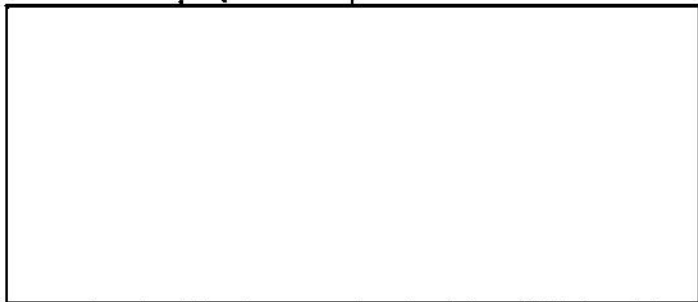
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NY 196B-1774-1A3

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DATE 2/20/01 BY SP5TJ/EDS

(CDRU#01-081)



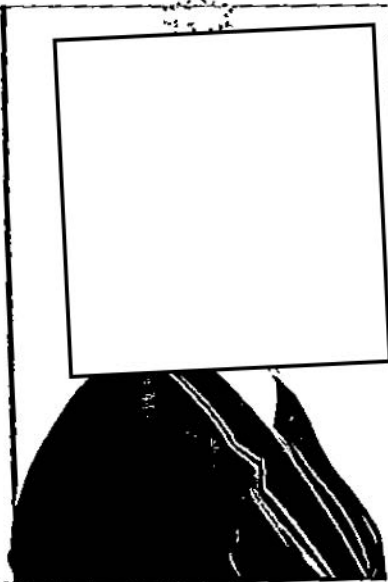
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Ny 196B-1774-1A3

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HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5JC/EDS
(CDRU # 01-081)



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ny 196B- 1774-1A3

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DATE 2/20/01 BY SP-1 C/RS

(CDRU #01-081)

Field File No. _____

OO and File No. NY-196-1774-1A4Date Received 6/29/82From _____
(NAME OF CONTRIBUTOR)

By _____

To Be Returned ☐ YesReceipt Given ☐ Yes☒ No☒ No

Description:

*Original
Notes of Interview
of* _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5UC/eds

(CDRU # 01-081)

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20 6/29/82 NY Telephone

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NY 1963-1974-194

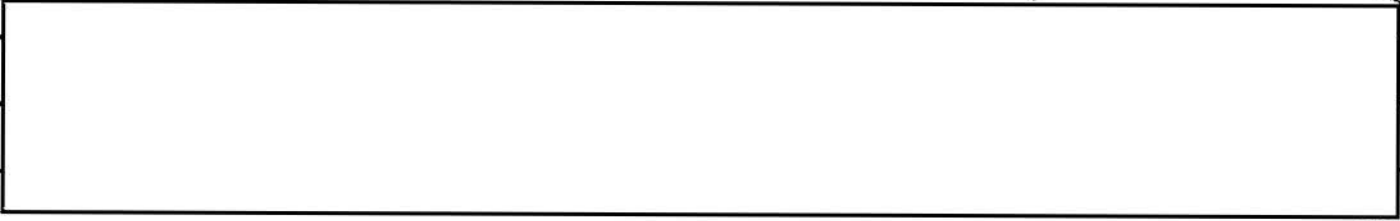
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(over)



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP4/OS
(CPEU#01-081)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY SP5TC/EDS
(CDRU #01-081)

Field File No. _____

OO and File No. NY 196-1774-1A5Date Received 6/7/82

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

By _____

To Be Returned ☐ Yes☒ NoReceipt Given ☐ Yes☒ No☐ Yes☒ No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

b6
b7C

Description:

Three sheets used as
samples for handwriting
Exemplars obtained
from _____

[Redacted]

[Redacted]

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62
6/7/62

b6
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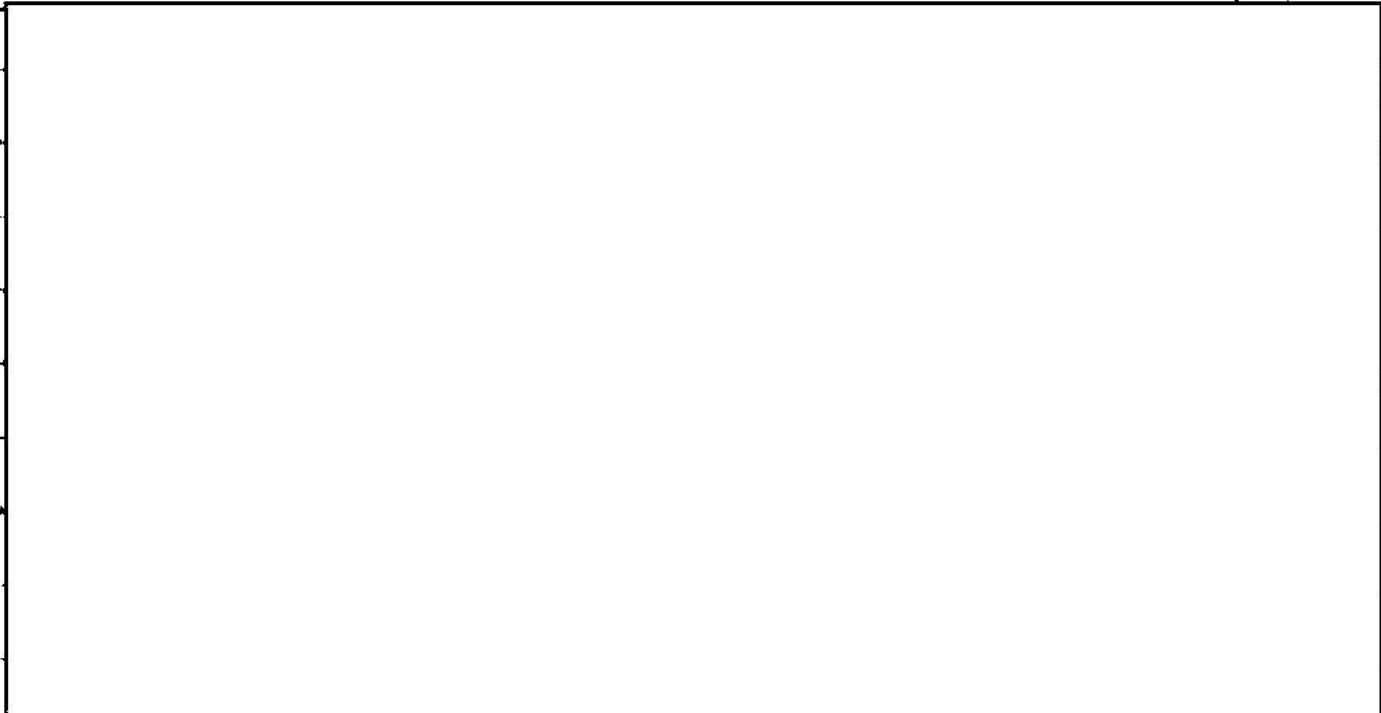
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662
6/7/82

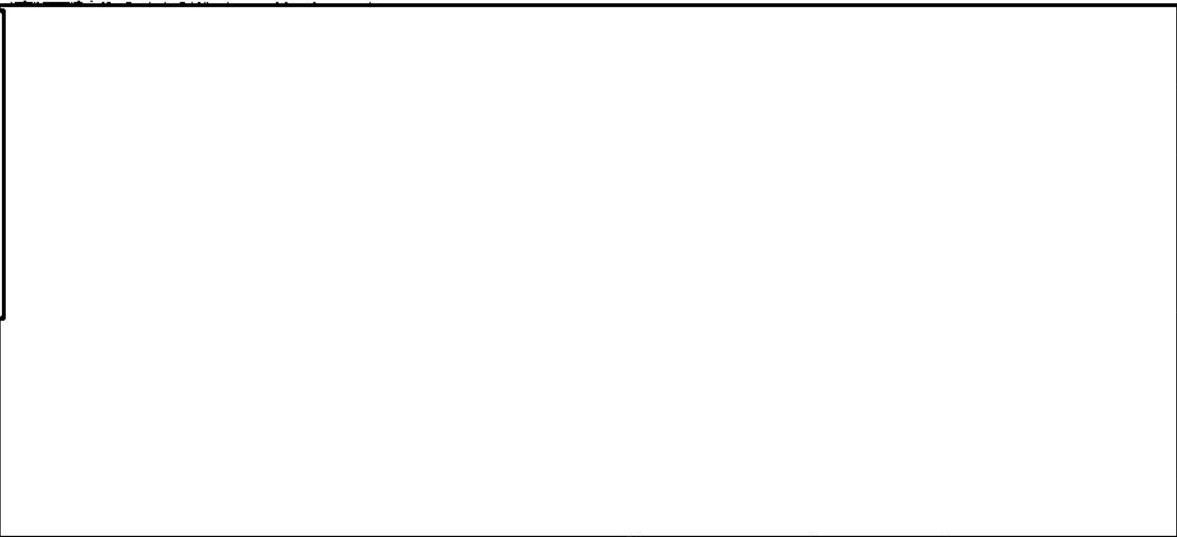
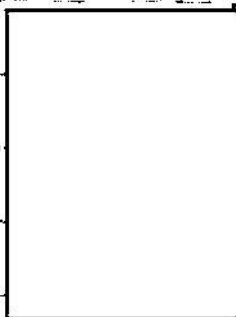
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NY 196 BO 1284/185

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6/7/82



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/20/01 BY SP5IC/05
(CDRU#01-081)



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 2/24/01 BY SP3JC/RDS

(CDRU #01-081)

GOZ
6/7/82

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ALL INFORMATION CONTAINED

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(CDRU #01-081)

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HEREIN IS UNCLASSIFIED
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6/7/82

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ATTORNEY

H/W Samples

10 Signatures 3x5 Cards

3 pages of yellow legal pad, 3 times each

Total 9 sheets

Home address

Business address

DOB

Observation

White/Male

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b7C

hair

To office for photos

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(CDRU # 01-081)

Field File No. _____

OO and File No. NY 196-177418Date Received 6/7/82

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

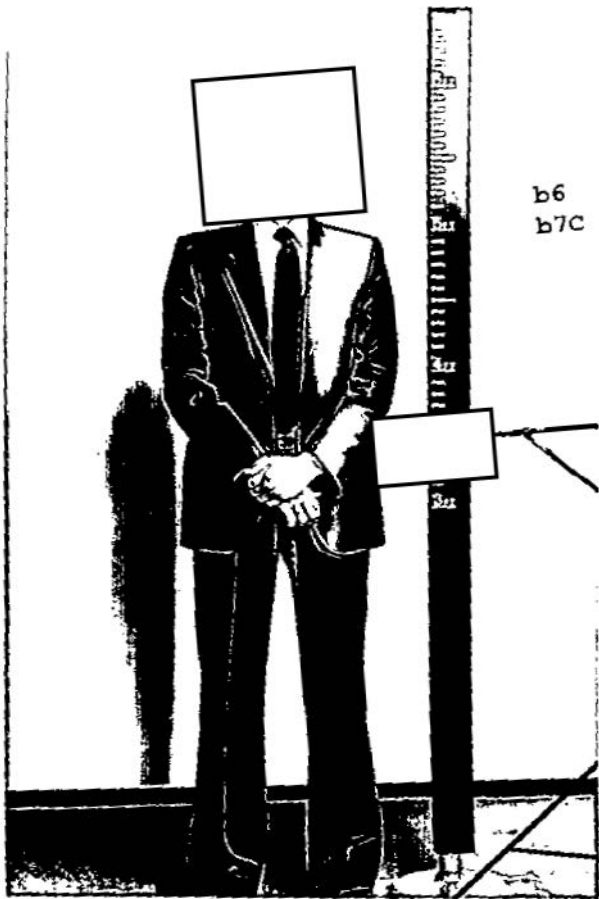
By _____

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b7CTo Be Returned ☐ Yes☒ No☐ Yes☐ NoReceipt Given ☐ Yes☒ NoGrand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

5 color photos each
of _____ standing
and mug shot

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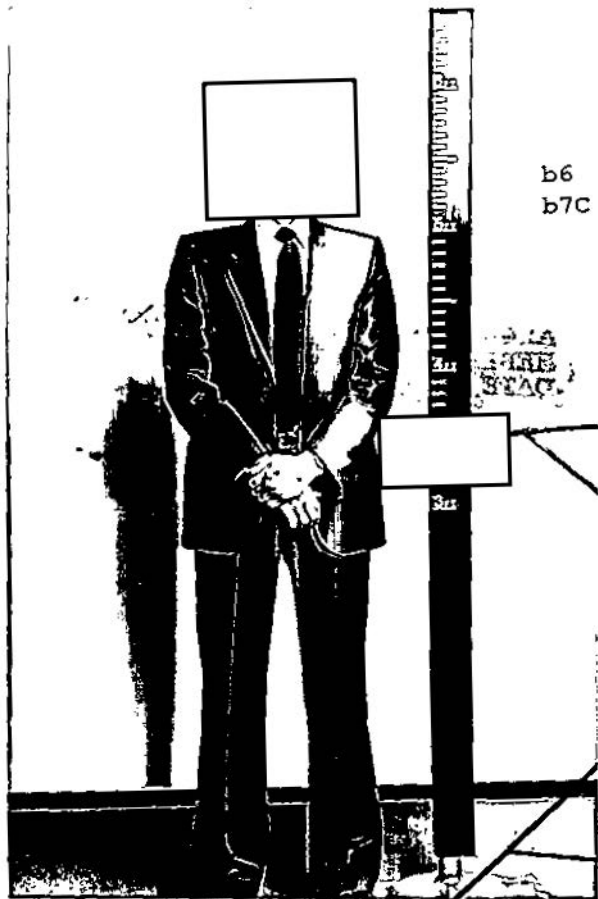
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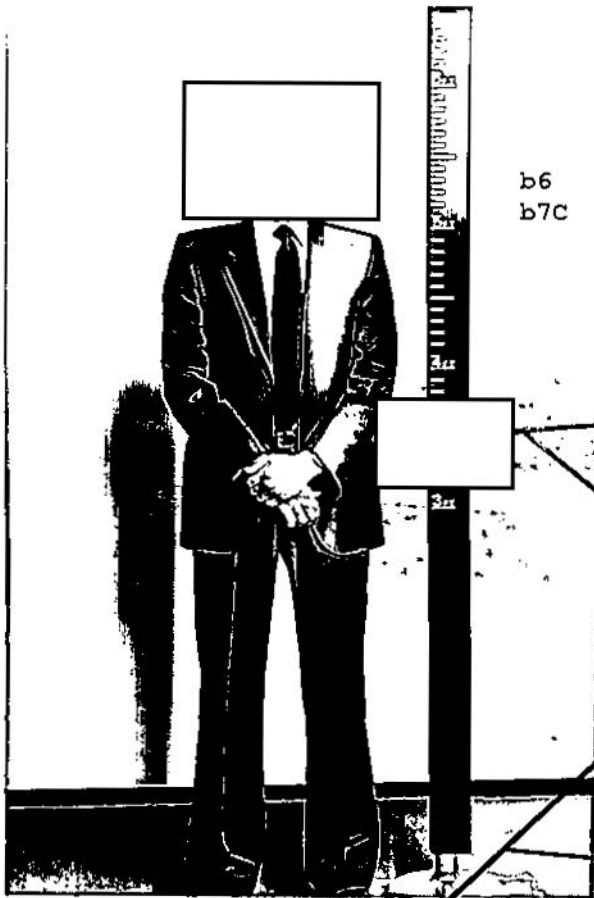
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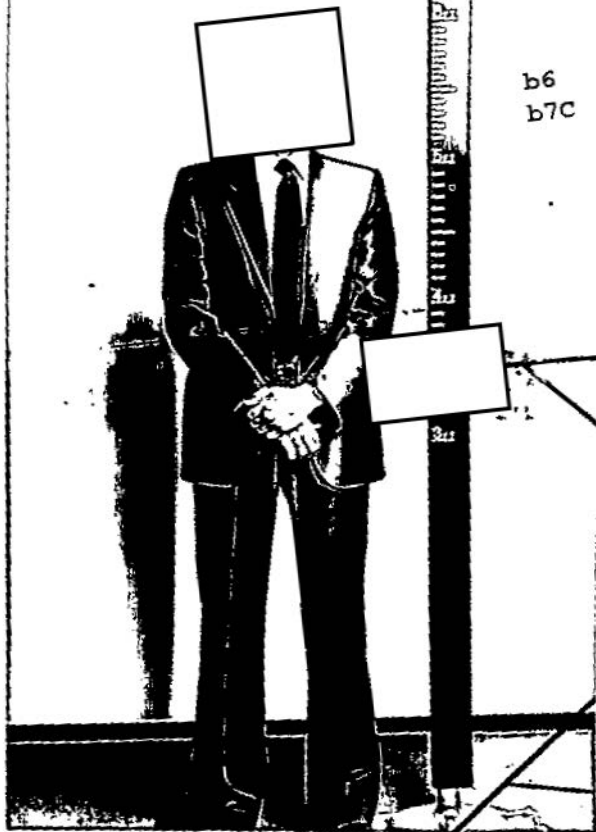
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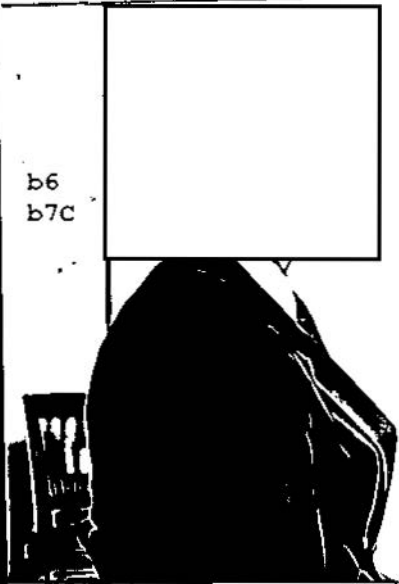
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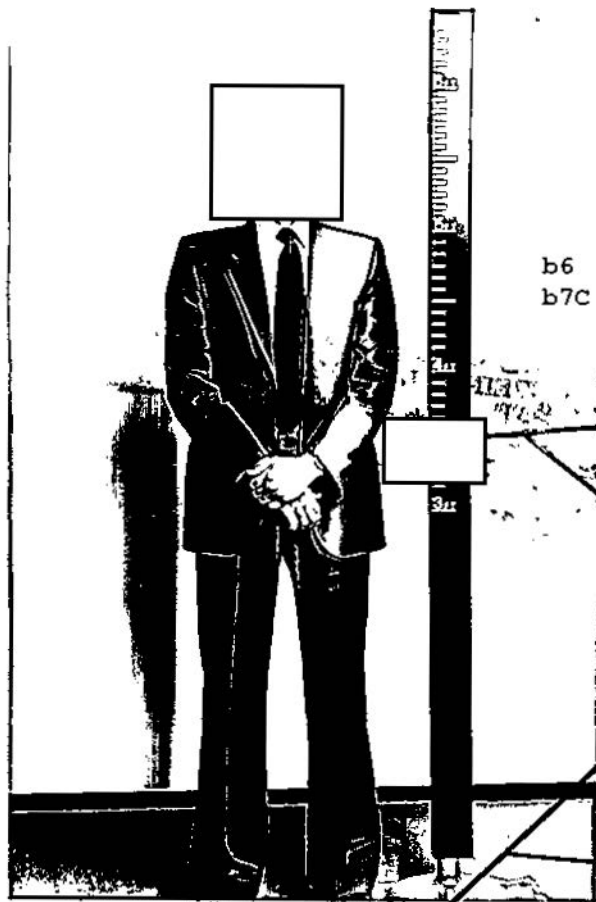
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☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 7/20/81

093

FM NEW YORK (196B-1774) RUC (M-12)

TO HOUSTON (196B-881) ROUTINE

BT

UNCLAS

MARC RICH, DBA MARK RICH AND COMPANY; [REDACTED] FBW-ENERGY
RELATED; OO: HOUSTON.

b6
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FOR THE INFORMATION OF HOUSTON, A MEETING WAS HELD ON

[REDACTED]

[REDACTED] THE FOLLOWING PERSONS WERE IN ATTENDANCE AT THIS
MEETING: DEPARTMENT OF JUSTICE ATTORNEY [REDACTED]
SPECIAL AGENT [REDACTED] FBI, NYO; [REDACTED] AND

[REDACTED] ATTORNEYS [REDACTED]

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[REDACTED] NY, TELEPHONE [REDACTED] THE
AFOREMENTIONED ATTORNEYS WOULD NOT PERMIT [REDACTED] TO ANSWER
ANY QUESTIONS AND THEREFORE NO INTERVIEW WAS CONDUCTED. DOJ
ATTORNEY [REDACTED] ADVISED [REDACTED] AND HIS ATTORNEYS WHAT
THE DOJ WAS INTERESTED IN CONCERNING [REDACTED] ACTIVITY WHILE

1 - NEW YORK
1 - SUPV. M-12

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DATE 2/20/01 BY SP3C/PDS

SMN:tb
(2)

7/21/81 [Signature] 196-1774-2

Approved: [Signature]

Transmitted

(Number) (Time)

U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/8402

rol - NEW

FBI

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CLASSIFICATION:

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Date _____

PAGE TWO

UNCLAS

EMPLOYED BY MARC RICH. THE ATTORNEYS EXPLAINED THAT THEY WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT [REDACTED] IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS MARC RICH. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN DIRECT CONTACT WITH DOJ ATTORNEY [REDACTED] IN THIS MATTER.

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AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE HAS BEEN PLACED IN AN RUC'D STATUS.

BT

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Approved: _____ Transmitted _____ (Number) (Time) Per _____

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NY NEW YORK (1963-1774) RUC (4-12)

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DATE 2/20/01 BY SPSTC/RDS
(CDRU #01-081)

MARY RICH, 13A MARY RICH AND COMPANY; [REDACTED]

FCU-ENERGY

RELATIVE 001 HOUSTON.

FOR THE INFORMATION OF HOUSTON, A MEETING WAS HELD ON

[REDACTED] AT ITAN RESOURCES, 515 MADISON AVENUE, NEW

YORK, NY. THE FOLLOWING PERSONS WERE IN ATTENDANCE AT THIS

MEETING: DEPARTMENT OF JUSTICE ATTORNEY [REDACTED]

SPECIAL AGENT [REDACTED]

FBI, NYC; [REDACTED]

AND

[REDACTED] ATTORNEYS [REDACTED]

[REDACTED] NY, TELEPHONE [REDACTED]

THE

ABOVEMENTIONED ATTORNEYS WOULD NOT PERMIT [REDACTED] TO ANSWER

ANY QUESTIONS AND THEREFORE NO INTERVIEW WAS CONDUCTED. DC 1

ATTORNEY [REDACTED] ADVISED [REDACTED] AND HIS ATTORNEYS WHAT

HE WAS INTERESTED IN CONCERNING [REDACTED] ACTIVITY WHILE

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196-1774-2

[Handwritten signature]

PAGE TWO

UNCLAS

EMPLOYED BY MARG RICH. THE ATTORNEYS EXPLAINED THAT THEY WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT [REDACTED] IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS MARG RICH. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN DIRECT CONTACT WITH COJ ATTORNEY [REDACTED] IN THIS MATTER.

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AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE HAS BEEN PLACED IN AS-RUC'D STATUS.

Memorandum



10/21/81

To : ADIC, New York (196B-1774)

Date 10/20/81

From : SA [redacted]

Subject : MARC RICH,
DBA MARE RICH AND COMPANY ;

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F&W - ENERGY RELATED
OO:NY

AUSA [redacted]

SDNY, [redacted]

Telephonically advised that after meeting with DOT
Attorney [redacted] it was determined that
captioned matter would be prosecuted by the SDNY.
AUSA [redacted] requested that the writer be present
at a meeting on [redacted] with [redacted]
[redacted] and DOT attorney [redacted] to review this
matter.

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Recommend that this matter be reopened as
an office of origin NY case to the writer.

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(CDRU#01-081)

OPEN CASE 196B-1774
DATE 10-21-81
SECT. 1-1

196B-1774-3

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NEW YORK	

OTA → [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/21/81

advised that his residence is at

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Copy
To
AUSA

6/18/81, 7/30/81
Investigation on and 9/30/81

SAs
and
by _____

MMW/rbp

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File #

10/21/81

NY 196B-1774-4
HO-196B-881

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and its contents are not to be distributed outside your agency.

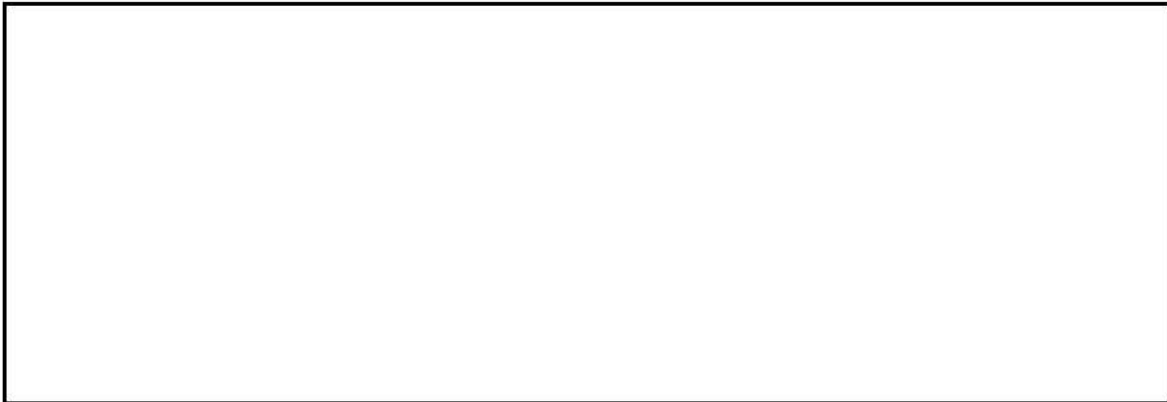
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HO 196B-881

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FBI

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☐ UNCLAS E F T O
☐ UNCLAS

Date 10/21/81

TO: SAC, NEW YORK (196B-1774)
 (ATTN: SA [redacted] SQUAD ML)

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
 Marc Rich and Company;

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[redacted]
 FBW - ENERGY RELATED
 (OO: HO)

Re NY teletype to HO, 7/20/81, and SA [redacted]
 New York, telcall to SA [redacted] Houston,
 10/21/81.

Enclosed for New York are the following.

1. Two copies of FD-302 of [redacted]
(one copy to AUSA [redacted] 10/26/81)
2. Documents provided [redacted]

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(FURNISHED TO AUSA [redacted] 10/26/81)
 In referenced telephone conversation it was determined

For the information of New York, Houston has met with
 [redacted]
 [redacted] Their cooperation
 is based on [redacted]

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2 - New York (Encs. 3) *OK*
 2 - Houston
 MMW/rbp
 (4)

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(CDRU #01-081)

196B-1774-5

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Per [redacted]

Approved: [redacted]

Transmitted: [redacted]

(Number)
 ☆ U.S. G

Per

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602 1986-305-750/5402

HO 196B-881

that captioned matter may best be considered for prosecution
in New York [REDACTED]

Houston has retained copies of the enclosed [REDACTED]

[REDACTED]
copied and enclosed for New York. Houston will continue
to debrief [REDACTED]

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X Airtel

10/21/81

TO: SAC, NEW YORK (196B-1774)
(ATTN: SA [REDACTED] SQUAD ML)

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
Marc Rich and Company;
[REDACTED]

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FBW - ENERGY RELATED
(OO: HO)

Re NY teletype to HO, 7/20/81, and SA [REDACTED]
New York, telcall to SA [REDACTED] Houston,
10/21/81.

Enclosed for New York are the following.

1. Two copies of FD-302 of [REDACTED]

2. Documents provided by [REDACTED]
[REDACTED]

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In referenced telephone conversation it was determined
that [REDACTED] will meet with SA [REDACTED]
Departmental Attorney [REDACTED] and a representative of
the U.S. Attorney's Office, New York, on [REDACTED] in New York
City. This meeting will concern captioned matter.

For the information of New York, Houston has met with
[REDACTED] several times since [REDACTED] regarding
several different investigative matters. Their cooperation
is based on [REDACTED] Departmental
Attorney [REDACTED] Recently, Attorney [REDACTED] has indicated

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2 - New York (Encs.3)
2 - Houston
MMW/rbp
(4)

196B-1774-5

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FBI - NEW YORK	

[Handwritten signature]

ADIC, QUANTICO

(ATTN: SA [REDACTED])

10/28/81

ADIC, NEW YORK (196B-1774) (P) (M-1)

MARC RICH,

dba Marc Rich and Company;

[REDACTED]

FEW-ENERGY RELATED

(OO:NY)

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10/28/81. Retelcall from SA [REDACTED] to SA [REDACTED]

It is requested that a NY Times Search be performed regarding:

- 1) MARC RICH
- 2) Marc Rich and Company, International
280 Park Avenue
New York, New York

W

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(CDR#01-081)

2 - Quantico
① - New York

GJL:gec

(3)

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196B-1774-6

(Mount Clipping in Space Below)

A12

L

PRICE FRAUD LAID TO TULSA OILMAN

Overcharges Are Estimated at
\$2 Billion to \$4 Billion on
Violations of Controls

By ROBERT D. HERSHEY Jr.

Special to The New York Times

WASHINGTON, Oct. 29 — In one of the biggest fraud cases ever brought by the United States Government, a Federal grand jury today indicted a Tulsa, Okla., businessman on charges that he had violated price controls on oil that brought him hundreds of millions of dollars and resulted in consumer overcharges estimated at \$2 billion to \$4 billion.

Robert B. Sutton, owner of BPM Ltd. and the Scurry Oil Company, is charged in the 17-count criminal indictment with falsely certifying that more than 240 million barrels of crude oil qualified for higher prices than it did under the price-control program, thereby making illegal profits ranging from 50 cents to \$4 a barrel.

The indictment was returned by a Federal grand jury in Tulsa and was announced here by Attorney General William French Smith.

Among the charges, mostly involving mail and wire fraud and racketeering, was that Mr. Sutton enlisted Carlos Marcello of New Orleans, a convicted racketeer, to intimidate witnesses in the Government's investigation.

Vesco Case Recalled

One Government lawyer involved in the case said the magnitude of the charges rivaled those against Robert Vesco, a fugitive wanted in connection with the 1972 collapse of Investors Overseas Services Ltd., a mutual-fund empire he is accused of looting of \$224 million. The lawyer added that, despite numerous civil suits charging oil price violations, some of them brought against the multinational giants, "I don't know of any other oil cases that involve as many barrels."

Mr. Sutton was said to be prepared to surrender to authorities on Monday, and it is expected he will be released on \$10,000 bond provided he surrenders his passport. He did not return a telephone call placed to what was believed to be his home in Breau Bridge, La.

The Government charges that the fraud was carried out from June 1976 through February 1981. The price controls system was lifted by President Reagan on Jan. 28, but some parts of the program continued in effect through March.

Racketeering Charged

According to the indictment, Mr. Sutton engaged in a "pattern of racketeering" in which he bought price-controlled "old" oil that he then fraudulently resold to some 40 unidentified other companies as "new" oil or as "stripper" oil, coming from a well with an average daily production of less than 10 barrels.

BPM and Scurry, both of which Mr. Sutton served as chief operating officer, often added large fictitious "gathering and handling" charges to the price. This enabled their customers to profit as well by charging a price for the old oil far in excess of the permitted price.

Mr. Sutton's companies also bought new oil that was subsequently fraudulently resold as stripper.

According to the Department of Energy, old oil averaged \$5 a barrel during the 1976-81 period, while new oil was allowed to sell for an average of about \$13. Stripper oil was exempt from the controls and ranged from \$13 to \$38 a barrel, the world market price.

Over all, BPM and Scurry illegally earned between \$120 million, if the average profit was 50 cents a barrel, and as much \$960 million if Mr. Sutton's companies earned \$4 per barrel traded, according to the grand jury's figures. The size was magnified as Mr. Sutton's oil continued through the marketing chain to consumers.

Mr. Sutton, the indictment charges, did not file required reports with the Department of Energy, attempted to destroy company records to conceal the fraud from investigators and conspired to provide Mr. Marcello with the names and addresses of two witnesses who "had information which Sutton did not wish Federal investigators to possess."

The now-defunct price control and allocation system had as a main purpose the equalization of crude oil costs to refiners. Those that had access to old oil in quantities above the average were required to make cash payments to other refiners that had access mainly to expensive new or stripper oil. All crude-oil costs, including entitlement payments, could be passed on to consumers.

(Indicate page, name of newspaper, city and state.)

Date:
Edition:

Title: MARC RICH
EX A

Character: 196-1774

or
Classification:

Submitting Office:

196B-1774-7

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SERIALIZED FILED

OCT 30 1981

FBI - NEW YORK

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Date 10/30/81

043

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

~~DALLAS~~ (196B-1291) ROUTINE~~HOUSTON~~ (196B-1291) ROUTINE

BT

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CHANGED IO. MARC RICH, DBA MARC RICH AND COMPANY; [REDACTED]

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[REDACTED], FBW-ENERGY RELATED, OO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE
 OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED
 FOR PROSECUTION IN SDNY BY DOJ ATTORNEY [REDACTED] DUE
 TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN
 THIS DISTRICT. AUSA [REDACTED] SDNY, AGREED TO DESIGNATION
 IN MEETING WITH DOJ ATTORNEY [REDACTED] DOJ
 AWARE OF ALL EVENTS, [REDACTED]

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196B-1774-8

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Per [Signature]

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CLASSIFICATION:

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☐ UNCLAS

Date _____

PAGE TWO UNCLAS

[REDACTED] (PROTECT IDENTITY)

AND [REDACTED]

(PROTECT IDENTITY) [REDACTED]

ESSENCE OF CASE IN THE FIRST STAGE INVOLVES [REDACTED]

NEW YORK. THE SECOND STAGE [REDACTED]

ALL [REDACTED]

NECESSARY FOR APPROPRIATE PROSECUTION

[REDACTED] NEW YORK CASE

AGENT AND AUSA, SDNY, AGREE THAT IMMEDIATE ISOLATION AND

PRESENTATION PURSUANT TO GRAND JURY SUBPOENA OF [REDACTED] BE

ACCOMPLISHED. WITNESSES HAVE STATED THAT [REDACTED]

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NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL

TO

THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL
AND AUTO RENTAL CONCURRENT WITH TRIP.

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FM NEW YORK (196B-1774
) (P) (M-1)

TO DIRECTOR ROUTINE

DALLAS (196B-1291) ROUTINE

HOUSTON (196B-881) ROUTINE

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ALL INFORMATION CONTAINED
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DATE 3/21/01 BY SP5TC/PDS
(CDRU #01-081)

CHANGED IO. MARC RICH, DBA MARC RICH AND COMPANY; [REDACTED]

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[REDACTED] FBW-ENERGY RELATED, OO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE
OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED
FOR PROSECUTION IN SDNY BY DOJ ATTORNEY [REDACTED] DUE
TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN
THIS DISTRICT. AUSA [REDACTED] SDNY, AGREED TO DESIGNATION
IN MEETING WITH DOJ ATTORNEY [REDACTED]
AWARE OF ALL EVENTS, INCLUDING DISCUSSIONS WITH COOPERATING
WITNESSES.

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196B-1774-8

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FBI-NEW YORK

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[REDACTED] (PROTECT IDENTITY)

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[REDACTED] (PROTECT IDENTITY) [REDACTED]

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[REDACTED]
[REDACTED] THESE WITNESSES APPEARED

IN NEW YORK

[REDACTED] FOR DEBRIEFING IN CAPTIONED

MATTER.

ESSENCE OF CASE IN THE FIRST STAGE INVOLVES

[REDACTED]
[REDACTED]
NEW YORK. THE SECOND STAGE

[REDACTED]
[REDACTED]
[REDACTED]
ALL [REDACTED] NECESSARY FOR APPROPRIATE PROSECUTION
[REDACTED] WITNESSES' [REDACTED]

[REDACTED] WITNESSES WILL [REDACTED]

[REDACTED] NEW YORK CASE

AGENT AND AUSA, SDNY, AGREE THAT IMMEDIATE ISOLATION AND

PRESENTATION PURSUANT TO GRAND JURY SUBPOENA

[REDACTED] BE

ACCOMPLISHED. WITNESSES HAVE STATED THAT

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MUST BE REVIEWED IN ORDER TO [REDACTED]
FURTHER, [REDACTED] AUTHORITIES MUST BE NOTIFIED IN ADVANCE OF
[REDACTED] WITNESSES FOR [REDACTED] REVIEW.

NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL
TO [REDACTED] TO CONDUCT SUPERVISED
AND ORGANIZED [REDACTED] REVIEW WITH WITNESSES ON [REDACTED]
[REDACTED] SAC, DALLAS, AND SAC, NEW YORK, CONCUR
THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL
AND AUTO RENTAL CONCURRENT WITH TRIP.

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FM DIRECTOR FBI

TO FBI NEW YORK (ROUTINE)

FBI DALLAS (196B-1291) (ROUTINE)

FBI HOUSTON (196B-881) (ROUTINE)

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UNCLAS

MARC RICH, DBA MARC RICH AND COMPANY; [REDACTED] FBW - ENERGY
RELATED, OO: NEW YORK.

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TRAVEL REQUESTED BY NEW YORK IN REFERENCED COMMUNICATION
IS APPROVED. THE USE OF A RENTAL VEHICLE DURING THE TRIP IS
AUTHORIZED, HOWEVER, ITS USE MUST BE JUSTIFIED ON THE EMPLOYEE'S
VOUCHER.

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TO DIRECTOR FBI

TO FBI NEW YORK (ROUTINE)

FBI DALLAS (103-1291) (ROUTINE)

FBI HOUSTON (19-8-821) (ROUTINE)

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UNCLAS

MARC RICH, DBA MARC RICH AND COMPANY; [REDACTED]

REGISTERED, NEW YORK.

REPORTS RECEIVED FROM NEW YORK IN REFERENCED COMMUNICATIONS
IS APPROXIMATELY THE USE OF CREDIT CARDS DURING THE PERIOD
MAY 1981. HOWEVER, ITS USE MUST BE JUSTIFIED ON THE EMPLOYEE'S
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1981

No 210-81

huge American trading company that markets more than 150 different commodities and last year posted revenues of \$23.7 billion.

Following an internal dispute, Mr. Rich left Philipp Brothers to set up his own firm, Marc Rich & Company, in New York in 1974. Mr. Greene, too, is a former Philipp Brothers trader, and he departed with Mr. Rich.

Mr. Rich started the spot oil trading unit at Philipp Brothers. He reportedly left Philipp Brothers because of a salary dispute. After the 1973 Arab oil em-

has been turning the company upside down since he became its owner on June 12.

Changes at Fox

He immediately spun off the television operations. He merged Fox film into TCF Acquisition Inc., a unit of TCF Holdings Inc., which is owned by Mr. Davis and a trust for his five children.

He made a precedent-setting deal with Home Box Office that will give HBO exclusive rights to first showings of Fox films to its 8 million subscri-

ers. He plans to develop the property where Fox now has its studios on 63 acres. And yesterday, Fox announced that it would move its film and television production facilities to a new location some time in the next two years.

Fox's management has received its share of surprises too. Dennis C. Stanfill, whom some insiders considered to be a favorite of Mr. Davis, lasted less than three weeks before resigning. The 54-year-old executive, who maintained that his contract had been "materially breached," walked away with a healthy cash settlement, together with the \$2.5 million he received when he cashed in his options at the time of the Davis purchase. Last week, Mr. Davis named Alan J. Hirschfeld, who had been publicly feuding with Mr. Stanfill, to replace Mr. Stanfill as chairman.

JUL 29 IV 4 15

Chevron Pact On Oil Prices

SAN FRANCISCO (AP) — An \$22.5 million settlement, the second-largest in a Federal oil pricing complaint, has been signed between Chevron U.S.A. Inc. and the Department of Energy, both sides said today.

In agreeing to make restitution, Chevron said the pact would settle all outstanding regulatory issues.

Included in the settlement is an agreement by Chevron to place \$33 million worth of crude oil in the Government's strategic oil reserve deposits.

Only the department's \$100 million agreement with Amoco, a unit of the Standard Oil Company (Indiana), was larger, said Pat O'Hern, Pacific district director for the office of the special counsel for the Energy Department, who added that the department had accused Chevron of violations totaling \$417 million.

Chevron did not admit any wrongdoing and the Government did not allege it in the settlement.

The company also agreed to pay \$23 million to states affected by the alleged pricing irregularities and to settle two lawsuits.

Continued on Page D4
Continued From First Business Page

bargo, prices jumped and trading accelerated in the spot oil market — where oil not under long-term contract is bought and sold. Thus, under the Philipp Brothers incentive program, Mr. Rich would have received a salary of more than \$1 million in 1973. Philipp Brothers refused to pay one man so much more than its other traders, and Mr. Rich resigned, taking a few top-notch traders with him.

Today, Mr. Rich's firm trades several commodities, but its principal business is oil trading. Presumably, Mr. Rich knows Mr. Davis because both are in the oil business.

A Long Association

Mr. Davis has had a long association with Mr. Rich, who has been his partner in several oil-related ventures. Described as very private and "almost invisible," Marc Rich & Company was cited two years ago by a national weekly publication as one of the firms that stood to profit in the oil spot market as a result of the Iranian revolution.

At the time of the Fox purchase, Mr. Davis had declined to name his partner in the \$722 million deal. It was assumed that he would be using some of the cash he had gained from the sale in January of an oil and gas venture valued at about \$600 million.

Mr. Davis, who gives no interviews and keeps his transactions private, had declined to say how he intended to raise the money for Fox, except to say that he would have partners. Even after Fox was acquired last month, the partner remained anonymous.

Equal Ownership

In a June 22 filing with the Securities and Exchange Commission, however, Mr. Davis did say that he and his unidentified partner owned equal shares in Fox. His shares are voting shares. His partner's are nonvoting shares. He also reported that to purchase Fox, he and his partner jointly invested \$172 million. The filing also said that together, the Davis Oil Company and his partner were guarantors of bank financing totaling \$550 million of the \$722 million.

The disclosure of Mr. Davis' partner, however, sheds no light on the direction of Fox under its unpredictable new owner. Mr. Davis twice backed out of a deal to buy the Oakland A's baseball team, changed his mind about a \$73 million deal to buy The Denver Post and walked out on the Fox acquisition before reconsidering. He

However, he said, that stimulative effect and the strong dollar's "adverse effects on exports create a rough trade climate for the months to come. Therefore, we have to increase our efforts to encourage participation in export markets."

Exports Outpace Imports

In June, the new report said, overall imports rose \$579 million to a seasonally adjusted total of \$23 billion as declining imports in many areas were overwhelmed by a \$1.3 billion increase in the value of imported oil.

But exports rose even faster than imports, increasing \$1 billion to a total of \$19.9 billion, led by overseas sales of aircraft and a wide variety of machinery.

The overall deficit was about \$320 million less than May's \$3.44 billion. The deficit in April was \$3.46 billion.

Imported oil, which has been on a monthly roller-coaster so far this year, climbed from 5.2 million barrels per day in May to 6.5 million per day in June, the report said. In total volume, the increase was 20.7 percent to a total of 104.3 million barrels.

Mr. Lund said as much as 500,000 barrels per day of that increase was due to oil being purchased for the Strategic Petroleum Reserve. But he noted that imported oil still would have been up substantially for the month.

Among the biggest trade categories, the report said agricultural commodities showed its usual big surplus — \$1.9 billion for the month — while petroleum and related products had a \$7 billion deficit and manufactured goods showed a \$1.5 billion surplus.

Partner in Fox Deal Identified

JUL 29 IV 7 15

Special to The New York Times

LOS ANGELES, July 29 — A Netherlands Antilles company headed by Marc Rich, an oil trader and financier, and two others was identified yesterday as the silent partner of Marvin Davis, the Denver oil millionaire, in his recent \$722 million purchase of the 20th Century-Fox Film Corporation.

The minutes from a May 11 Fox director's meeting, made public today, indicate that Mr. Davis's heretofore unnamed partner in the Fox purchase of Fox is Richco, a corporation based in the Netherlands Antilles. Richco was identified as a subsidiary of a Netherlands corporation "which is one of the largest international trading organizations in the world." Principals of the parent firm are Marc Rich, Pinus Green and Alex Hackel.

Mr. Rich, 47 years old, is an attorney of the Philipp Brothers Corporation, a

(Indicate page, name of newspaper, city and state.)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 7/24/01 BY SP5/PLD/S
(CDEA #01-051)

Date: NY Times

Edition: 7/29/81

pg 1-1

Title:

Character:

196B-1774

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Classification:

Submitting Office:

196B-1774-10

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1 OF 3 NYT/JNL 1981- 7-29.. 4: 1: 6 4/WGT 6/LIN
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MINUTES FROM TWENTIETH CENTURY-FOX FILM CORP DIRECTOR'S MEETING
INDICATE THAT OIL MILLIONAIRE MARVIN DAVIS SILENT PARTNER IN
\$722 MILLION PURCHASE OF FOX IS NETHERLANDS ANTILLES COMPANY
RICHCO, WHICH IS SUBSIDIARY OF NETHERLANDS TRADING FIRM HEADED
BY OIL TRADER MARC RICH, PINCUS GREEN AND ALEX HACKEL; PROFILE
OF RICH AND DETAILS OF FOX TRANSACTION CITED, (M)
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ABOUT 300 INTERNATIONAL PROFITEERS ARE BENEFICIARIES OF SOARING
OIL PRICE INCREASES THAT OCCUR DAILY ON SPOT MARKET; SPOT
MARKET, SOMETIMES CALLED ROTTERDAM MARKET BECAUSE MOST OF
WORLD'S SPOT OIL GOES THROUGH ROTTERDAM PORT. IS MARKET IN
WHICH TRADER WITH SHIPOAD OF OIL UNDER NO CONTRACT CAN CONNECT
WITH RICH BUYER; SPOT PRICE FOR OIL SPURTS TO WHATEVER MARKET
WILL BEAR WHEN SUPPLIES FALL SHORT OF DEMAND; DESPERATE,
CUSTOMERS RECENTLY PAID \$28 PER BARREL OF OIL, THOUGH CPEC
PRICE REMAINS AT \$13.34 PER BARREL; OIL CARGOES HAVE BEEN SOLD
FOUR OR FIVE TIMES WHILE TANKER WAS STILL OUT TO SEA, AND EACH
SUBSEQUENT OWNER HAS POCKETED HIGH PROFITS; PROFITEERS HAVE
BEEN OFFERED DEALS ON AS MUCH AS HALF OF INDONESIA'S TOTAL
PRODUCTION WHICH LOCAL POLITICIANS HAVE LOCKED UP; SPOT TRADING
IS DONE BY SMALL OIL COMPANIES SUCH AS ESSEX OIL, NEDOL AND
VANOL; CONSULTING FIRMS MARC RICH & CO DO SOME TOP SECRET
BUSINESS ON OIL SPOT TRADING (M)

B~~* *NEXT=B OR B//# END=C DEFERRED PRINT: ALL=D SELECTIVE=A//# * *
(C)NYTIMES.SEE ABSTRACT FOR YEAR.NONTIMES MATERIAL BY PERMISSION
3 OF 3 WSJ/JNL 1978- 8- 9 : 11: 1 4/WGT 2/LIN
NO FICHE 1536107/IDN

MARC RICH & CO RECEIVES A \$46.7 MILLION DEFENSE DEPT CONTRACT
FOR FUEL (S)

*** END OF DISPLAY ***

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(CDRU #01-081)

196B-1224-11

SEARCHED	INDEXED
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FBI-NEW YORK	

Memorandum



To : ADIC, NY (196B-1774) (P)

Date 11/6/81

From : SA [REDACTED]

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b7C

Subject : MARC RICH,
ET AL
• FBW(B)
OO: NY

*Results of NY Times
Search*

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 11/6/81

038

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

BT

U N C L A S

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MARC RICH, DBA MARC RICH AND COMPANY; FBW-ENERGY
 RELATED, OO: NEW YORK.

REBUREAUTEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERNS, CAPTIONED MATTER INVOLVES
 FRAUDULENT OIL TRANSACTIONS IN THE UNITED STATES WITH
 MOVEMENT OF PROFITS BY MARC RICH AND COMPANY TO FOREIGN
 COMPANIES BELIEVED OWNED BY MARC RICH. THE FOREIGN COMPANIES
 IDENTIFIED TO DATE ARE RESCOR, INC., PANAMA, ZEUGHAUSGASSE 2,
6300 ZUG, GENEVA, SWITZERLAND, AND MARC RICH AND COMPANY, AG,
3 GOTTHARDSTRASSE, POST OFFICE BOX 1135, 6301 ZUG, SWITZERLAND.

LEGAT, BERNS, REQUESTED TO ASCERTAIN WHETHER COMPANIES
 NAMED ARE REGISTERED TO OPERATE IN SWITZERLAND AND IF SO,
 OBTAIN ANY PERTINENT DETAILS REGARDING COMPANIES, SUCH AS

①-NEW YORK
 1-SUPERVISOR #M-1

GJL:mr
 (2)

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FBI .

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☐ Priority
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Date _____

PAGE TWO U N C L A S

PRINCIPALS, FINANCIAL DATA, ETC.

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FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

BT

U N C L A S

MARC RICH, DBA MARC RICH AND COMPANY; [REDACTED] FBW-ENERGY
RELATED, OO: NEW YORK.

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REBUREAUTEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERNS, CAPTIONED MATTER INVOLVES
FRAUDULENT OIL TRANSACTIONS IN THE UNITED STATES WITH
MOVEMENT OF PROFITS BY MARC RICH AND COMPANY TO FOREIGN
COMPANIES BELIEVED OWNED BY MARC RICH. THE FOREIGN COMPANIES
IDENTIFIED TO DATE ARE RESCOR, INC., PANAMA, ZEUGHAUSGASSE 2,
6300 ZUG, GENEVA, SWITZERLAND, AND MARC RICH AND COMPANY, AG,
3 GOTTHARDSTRASSE, POST OFFICE BOX 1135, 6301 ZUG, SWITZERLAND.

LEGAT, BERNS, REQUESTED TO ASCERTAIN WHETHER COMPANIES
NAMED ARE REGISTERED TO OPERATE IN SWITZERLAND AND IF SO,
OBTAIN ANY PERTINENT DETAILS REGARDING COMPANIES, SUCH AS

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PAGE TWO U N C L A S

PRINCIPALS, FINANCIAL DATA, ETC.

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BT

TO: SAC:

Attn:

Squad M1

TO: LEGAT:

☐ Albany
☐ Albuquerque
☐ Alexandria
☐ Anchorage
☐ Atlanta
☐ Baltimore
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☐ Boston
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10/30/81


(Date)

RE: NYTIB SEARCH

☐ For information ☐ Retention optional ☐ For appropriate action ☐ Surep, by _____
☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.
☐ Enclosed are corrected pages from report of SA _____ dated _____

Remarks: Enclosed are the results of the New York Times Information Bank search which you requested on Marc Rich and Marc Rich and Company.

If we can be of any further assistance, please do not hesitate to contact us.



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Quantico, Virginia 22135

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(01-081)

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Transmit by *Postcard*

SAC New York, Attn: SA [Redacted]
 NY File # 196B-1774

Sy. M. 1

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From SAC Houston - 196B 277

Date: 12/2/81

Class: Unclassified

Subject: *MARTIN LUTHER KING, JR.
 OO: NY*

Initials: /

☐ Information ☐ Fingerprint Record ☐ Map ☐ Newspaper Clipping ☐ Photograph

☐ Other Enclosures

*cc: T.T. From Dia to Ho
 12/5/81*

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TO FBI-HOUSTON (1968-879) ROUTINE

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[REDACTED] TEXAS ENERGY RESERVE CORPORATION, TEXAS ENERGY

FBI - ENERGY RELATED, 000 HOUSTON.

REURTEL TO DIRECTOR DATED DECEMBER 3, 1981.

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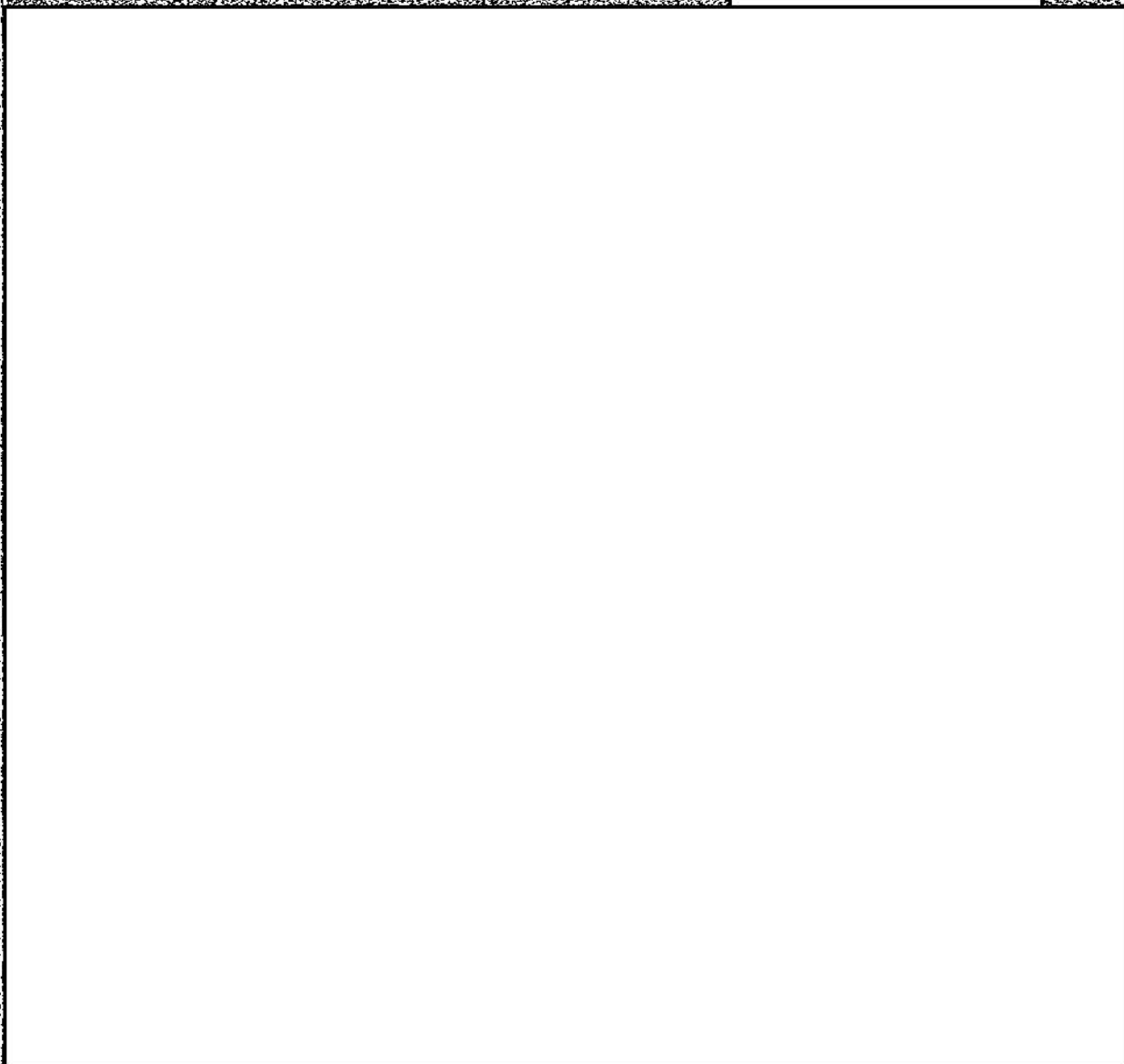
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- ☒ Teletype
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- ☐ Immediate
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- ☒ Routine

CLASSIFICATION:

- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☒ UNCLAS

Date 12/11/81

029

FM NEW YORK (196B-1774) (P) (M-1)

~~DIRECTOR (196B-2548) ROUTINE~~

~~HOUSTON (196B-379) (INFO)~~

BT

UNCLAS

MARC RICH; DBA MARC RICH AND COMPANY; [REDACTED] FBW-ENERGY
RELATED, OO: NEW YORK.

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REBUTELETYPE TO HOUSTON, DECEMBER 4, 1981, ENTITLED [REDACTED]

[REDACTED] ET AL, FBW-ENERGY RELATED, OO: HOUSTON AND BUTLETYPE
TO NEW YORK, NOVEMBER 2, 1981.

NYO REQUESTS THAT FBIHQ FORWARD THROUGH [REDACTED]

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1. [REDACTED]

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2. NYO INVESTIGATION INVOLVES SUBJECTS IN A [REDACTED]

3-NEW YORK
1-SUPERVISOR M-1
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5/JCS
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Approved: *L/R*

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- ☐ TOP SECRET
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Date _____

PAGE TWO UNCLAS

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[Redacted]

[Redacted]

[Redacted]

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[Redacted]

FBI

TRANSMIT VIA:

- ☐ Teletype
- ☐ Facsimile
- ☐ _____

PRECEDENCE:

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date _____

PAGE THREE UNCLAS

[Redacted content block]

BT

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NYC-74 34522222

NY 113, RO

DE NY 129

R 112122Z DEC 81

FM NEW YORK (1962-1774) (P) (M-1)

TO DIRECTOR (1962-2843) ROUTINE

HOUSTON (1962-373) (INFO)

BT

UNCLAS

MARC RICH; DEA MARC RICH AND COMPANY; [REDACTED] FEW-ENERGY
RELATED, OO: NEW YORK.

REBUTELTYPE TO HOUSTON, DECEMBER 4, 1981, ENTITLED [REDACTED]
[REDACTED] ET AL, FEW-ENERGY RELATED, OO: HOUSTON AND BUTELTYPE
TO NEWYORK, NOVEMBER 2, 1981.

b6
b7C

NYO REQUESTS THAT FBINE FORWARD THROUGH OFFICE OF ENFORCEMENT
OPERATIONS (OEO) TO BUREAU OF PRISONS (BPO) FURLOUGH REQUEST BASED
ON THE BELOW DETAILS AS PRESCRIBED IN REFERENCED TELETYPE TO HOUSTON:

1. [REDACTED]

TEXAS.

2. NYC INVESTIGATION INVOLVES [REDACTED]

101-2-1774-15

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/EDS
(CDRU #01-081)

b6
b7C

SEARCHED	INDEXED	FILED
[REDACTED]		
[REDACTED]		

THE

LOCATED TO DATE EXCEEDS

THESE

b6
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REVIEW WAS NOT COMPLETED AT THAT

TIME AND THIS REQUEST IS TO HAVE THEIR ASSISTANCE IN COMPLETING THAT
REVIEW.

3.

4. NEW YORK CASE ASLMI DOES NOT CONTEMPLATE INVESTIGATIVE
ACTIVITY WHICH WOULD REQUIRE SECURITY MEASURES.

b6
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5. REQUEST

TO REMAIN IN

FROM

IN THAT CITY.

TO ARRIVE IN

NEW YORK, BY

AM

WHERE REVIEW OF

LOCATED THERE WILL PROVIDE

WITH

TO BE FURNISHED.

TO LEAVE

NEW YORK

PM. THESE PLANS BASED ON

PAGE THREE UNCLAS

AVAILABILITY ACCOMMODATIONS.

6. [REDACTED] WILL BE CALLED AS WITNESSES AND WILL BE NEEDED FOR OTHER INVESTIGATIVE PURPOSES IN THE FUTURE.

7. PRESENTLY THERE APPEARS NO NEED FOR EITHER [REDACTED] TO BE RELOCATED IN THE FUTURE.

b6
b7C
b7D

8. [REDACTED] ATTORNEY FOR [REDACTED]

BY

FEDERAL BUREAU OF INVESTIGATION

Date of transcription
12/11/81

1

[redacted]
[redacted]
provided the below described [redacted]b6
b7C
b7D
b31. [redacted]
[redacted]2. [redacted]
[redacted]3. [redacted]
[redacted]b7D
b34. [redacted]
[redacted]5. [redacted]
[redacted]6. [redacted]
[redacted]7. [redacted]
[redacted]b7D
b38. [redacted]
[redacted]9. [redacted]
[redacted]

See 1A#1

Interviewed on 11/8/81

at [redacted]

File # NY 196B-1774-16

By SA [redacted]

kvk

b6
b7C
b7D

Date Dictated 11/13/81.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SP3TC/EDS
(CDER #01-081)

NY 196B-1774

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10.

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21.

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b3

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b3

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/81

[redacted]
[redacted]
[redacted] provided the following information:

b6
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b7D

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b7D

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/eps
(CDEU #01-081)

b6
b7C
b7D

Investigation on 12/28/81 at New York, New York File # NY 196B-177479
by 602 SA [redacted] ras Date dictated 12/28/81

b6
b7C

NY 196B-1774



b6
b7C
b7D

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/81

1.

[redacted]
[redacted]
Texas, provided the following information:

b6
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b7D

b6
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b6
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b6
b7C
b7D

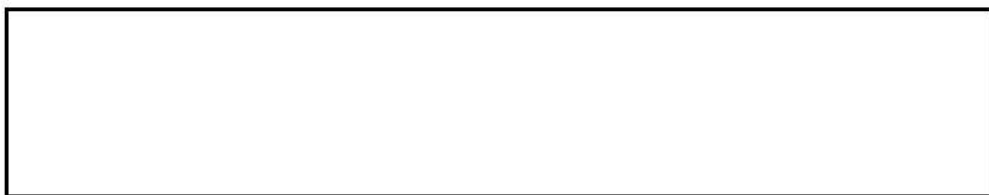
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/pds
(CDRU #01-081)

see 1A²Investigation on 12/28/81 at New York, New York File # NY 196B-1774-12by SA [redacted] /ras Date dictated 12/28/81

b6
b7C

NY 196B-1774

2.



b6
b7C
b7D

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 1/21/82

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR (196B-2848) ROUTINE

BT

U N C L A S

MARC RICH; ET AL, FBW-ENERGY RELATED, OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981, AND NYTELCA
 TO THE BUREAU, ON JANUARY 22, 1982.

FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED
 IN WASHINGTON, D.C., ON [REDACTED] BETWEEN AUSA [REDACTED]

[REDACTED] SDNY, DOJ ATTORNEY [REDACTED]

[REDACTED] PURPOSE OF MEETING IS TO [REDACTED]

[REDACTED] REGARDING

CAPTIONED MATTER. AUSA [REDACTED] HAS REQUESTED THAT NEW YORK CASE
 AGENT [REDACTED] BE PRESENT AT THIS MEETING.

SAC, NEW YORK, CONCURS WITH NECESSITY OF TRAVEL. BUREAU
 APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON,
 D.C., ON [REDACTED] WITH RETURN SAME DAY.

BT

 ①-NEW YORK
 1-SUPERVISOR M-1

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/31/01 BY SP5TIC/EDS
 (CDEU #01-081)

b6
b7C

196-1774-18

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 22 1982	
FBI-NEW YORK	

62

GJL:mp
 (2)

Approved: c/2Transmitted Ha-1745

(Number)

(Time)

Per Kd 1

NYDESS 0211745

RR HQ

DE NY DEO

R 0211738 JAN 82

FM NEW YORK (1968-1774) (P) (M-1)

TO DIRECTOR (1968-2848) ROUTINE

BT

U W C L A S

WARG RICH; ET AL, FBI-ENERGY RELATED, OC: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981, AND NYTELCA
TO THE BUREAU, ON JANUARY 22, 1982.

FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED
IN WASHINGTON, D.C., ON [REDACTED] BETWEEN AUSA [REDACTED]

[REDACTED], SENY, DOJ ATTORNEY [REDACTED]

PURPOSE OF MEETING IS TO [REDACTED]

b6
b7C
b7E

[REDACTED] REGARDING

CAPTIONED MATTER. AUSA [REDACTED] HAS REQUESTED THAT NEW YORK CASE
AGENT [REDACTED] BE PRESENT AT THIS MEETING.

SAC, NEW YORK, CONCURS WITH NECESSITY OF TRAVEL. BUREAU
APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON,
D.C., ON [REDACTED] WITH RETURN SAME DAY.

BT

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/01 BY SP5TC/RS
(CDRU #01-081)

196-1774-18

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 22 1982	
FBI-NEW YORK	

Memorandum



To : ADIC, NY (196-1774) (P)

Date 1/25/82

From : SA [redacted]

b6
b7C

Subject : MARC RICH;

E-AL

FBW

OO: NY

b6
b7C
b7E

On 1/22/82 writer met with [redacted]

[redacted] of his staff,
DOT attorneys [redacted] and AUSA [redacted]

SDNY in Washington, D.C., [redacted] made
a presentation regarding an investigation [redacted]

[redacted] involving MARC RICH and his companies.

The result of the meeting was that another possible
way for changing of oil certification may have
been perpetrated by RICH and his companies.

[redacted] stated that all their records
would be available to the Bureau upon
appropriate request.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/KDS
(CDRU #01-081)

196B-1774-19

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 25 1982	
FBI-NEW YORK	

TH

Memorandum



To : ADIC, NY (196-1774) (P)

Date 1/25/82

From : SA

b6
b7C

Subject : MARC RICH

ET AL

FBW

OO: NY

MARC RICH & Co, Inc is located at 280 Park Ave, NY, NY with telephone number 867-3300. (Per Telephone Directory)

MARC RICH lives at 625 Park Ave, NY with telephone number 734-0997. At this time, positive identifying information has not been obtained to assure that Park Ave individual is in fact owner of Marc Rich & Co.

A & B sent to Baltimore, credit check sent to BQ.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/EDS
(CDR #01-081)

196B-1774-20

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 27 1982	
FBI - NY	

[Signature]

Date 1/25/82

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage* ☒ Motor Vehicle ☐ Other
☒ Driver's License

To: RADIO ROOM Bided
Return to: [Redacted] (M-1) b6 b7C File number 196-1774

Name and aliases of subject, applicant, or employee, and spouse

MARC RICH

Addresses

Residence 675 PARK AVE, NY, NY

Business 280 PARK AVE, NY, NY

Former _____

* Date and place of marriage
(if applicable)

Race	Sex	Age	Height	Weight	Hair	Eyes
<u>W</u>	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	<u>35</u>				

Birth date	Birthplace
<u>XX/XX/1947</u>	

Arrest Number	Fingerprint classification	Criminal specialty

Specific information desired	Social Security Number

Results of check

1/25/81
km
no hit
2

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5C/eds
(CDU #01-081)

196-1774-21
SEARCHED ff INDEXED ff
602

Memorandum



To : SAC, NY (196-1774) (P)

Date 1/25/82

From : SA [redacted]

b6
b7C

Subject : MARC RICH;
ETAZ
FBW
OO:NY

For INFO OF FILE, Indices negative
on Pincus GREEN and [redacted]

b6
b7C

Indices on MARC RICH show reference
to 100-169963-11 which when reviewed
showed file to be non-ident.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/eds
(CDRU # 01-081)

196-1774-22

[Handwritten signature]
JAN 26 1982
[Handwritten signature]

Date 4/25/82

☐ Birth ☒ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage ☐ Motor Vehicle ☐ Other ☐ Driver's License

To BA Buded

Return to (M-1) b6 b7C File number 196-1774

Name and aliases of subject, applicant, or employee, and spouse

MARC RICH

Addresses 625 PARK AVE, NY, NY

Residence 280 PARK AVE, NY, NY

Business Former

Former

Date and place of marriage (if applicable)

Race	Sex	Age	Height	Weight	Hair	Eyes
<u>W</u>	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female					

Birth date	Birthplace

Arrest Number	Fingerprint classification	Criminal specialty

Specific information desired Social Security Number

Results of check

RECORDS FURNISH

b6
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SC

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/EDS
(CDR# 01-081)

JAN 28 1982

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 26 1982	
FBI - DC	

196-1774-23

GO

SUBJP 1 RICH, MARC*2 625, PARK, AV, NYC, NY**



Trans Union Credit Information Co.

New York Division

330 West 34th Street • New York, N.Y. 10001

212/239-2000

IF YOU OPEN AN ACCOUNT WITH THIS INDIVIDUAL, PLEASE COMPLETE THE FOLLOWING, DETACH, AND RETURN TO THE ABOVE ADDRESS.

ECOA DESIGNATOR	SUBSCRIBER CODE NO.	HIGH CREDIT	TYPE ACCOUNT	TERMS MOS. AMOUNT	DATE OPENED	ACCOUNT NO.
-----------------	---------------------	-------------	--------------	----------------------	-------------	-------------

FOR (P) ZNY1116

IN FILE SINCE 3/81

REPORT ON (NAME)

RICH, MARC

SOCIAL SECURITY NUMBER

SPOUSE'S NAME

b6

b7C

CURRENT ADDRESS

MKT AREA

SPOUSE SOCIAL SECURITY NO.

625 PARK AVE, NEW YORK NY, 10000

17 NY

PRESENT EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

MARC RICH &

8/81R

230 PARK AV., NEW YORK NY.

BIRTH DATE

NUMBER OF DEPENDENTS INCLUDING SPOUSE

OWNS/RENTS/BOARDS

TEL NO.

FORMER ADDRESSES

FROM

TO

FORMER EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

SPOUSE'S EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS

SUBSCRIBER NAME	SUBSCRIBER CODE	DATE OPENED	ECOA	HIGH CREDIT	DATE CLOSED/VERIFIED	PRESENT STATUS			PAYMENT PATTERN	TYPE OF ACCOUNT & MOP	
						BALANCE OWING	AMOUNT PAST DUE	NPPD			
ACCOUNT NUMBER	COLLATERAL	TYPE LOAN	CREDIT LIMIT	TERMS	MAXIMUM DELINQUENCY			HISTORICAL STATUS			REMARKS
					DATE	AMOUNT	MOP	NO. OF MONTHS	30-59	60-89	

(CDRU # 01-081)

ECOA INQUIRY AND ACCOUNT DESIGNATORS

I Individual account for sole use of applicant
C Joint contractual liability
A Authorized user of shared account

P Participant in shared account which cannot be distinguished as C or A
S Co-signer, no spousal relationship
M Co-signer primarily liable for account

T Relationship with account terminated
U Undesignated
N Non-applicant spouse inquiry

REMARKS AND FCBA DISPUTE CODES

AJP Adjustment pending.
BKL Bankruptcy loss.
CCA Consumer counseling account.
CD Disputed after Resolution Process
CLA Placed for collection.
DIS Dispute following resolution.
DP In Dispute, resolution pending.
DRP Dispute resolution pending.
JUD Judgement obtained.
MOV Moved. Left no forwarding address.
ND No dispute.
PRL Profit and loss write-off.

RLO Repossession. Paid by dealer.
RLP Repossession. Proceeds applied to debt.
RPO Repossession.
RRE Repossession, redeemed.
RS Dispute resolved.
RVD Returned voluntarily. Paid by dealer.
RVN Returned voluntarily.
RVP Returned voluntarily. Proceeds applied to debt.
RVR Returned voluntarily, redeemed.
STL Plate stolen or lost.
WEP Wage Earner Plan Account (Chapter XIII)

PUBLIC RECORD TYPES

AG Annulment Granted
AN Annulment
AT Chapter 13 Adjudicated
AQ Acquired
BD Bankruptcy Dismissed
BR Bankruptcy
CD Civil Judgment Disputed.
CH Chattel Mortgage
CJ Civil Judgment
CN Change of Name
CS Civil Suit Filed
DB Dismissed Bankruptcy
DC Discharged Bankruptcy
DE Debt and Mortgage
DF Dismissed Foreclosure
DG Divorce Granted
DJ Deficiency Judgment
DM Divorced Dismissed
DS Dismissal of Court Suit
DT Chapter 13 Dismissed
DV Divorce
FC Foreclosure
FD Forcible Detainer
FR Foreclosure Real Estate Sold
FS Financing Statement
FT Federal Tax Lien
GN Garnishment
HL Hospital Lien
HS Homestead
JC Judgment by Confession
JD Judgment by Default
JM Dismissed Judgment
LP Us Pendens
LT Landlord Tenant
MC Miscellaneous
ML Mechanics Lien
ND Notice of Default
NR Non-Responsible

TERMS OF SALE

Open Account (30 days or 90 days) _____
Revolving or Option (Open-end) _____
Installment (fixed number of payments) _____
Mortgage (fixed number of payments) _____
Check credit (line of credit) _____

USUAL MANNER OF PAYMENT

Too new to rate; approved but not used or not rated	00
Pays (or paid) within 30 days of billing pays accounts as agreed	01
Pays (or paid) in more than 30 days but not more than 60 days, or not more than one payment past due	02
Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due	03
Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	04
Pays (or paid) in 120 days or more	05
Making regular payments under	

KINDS OF BUSINESS CLASSIFICATION

Code Kind of Business
A Automotive
B Banks
C Clothing
D Department and Variety
F Finance
G Groceries
H Home Furnishings
I Insurance
J Jewelry and Cameras
K Contractors
L Lumber, Building Material, Hardware
M Medical and Related Health
N National Credit Card
O Oil and National Credit Card Companies
P Personal Services Other Than Medical
Q Mail Order Houses
R Real Estate and Public Accommodations
S Sporting Goods
T Farm and Garden Supplies
U Utilities and Fuel
V Government
W Wholesale
X Advertising
Y Collection Services
Z Miscellaneous

SUBJP 1 RICH, MARC*2 230., PARK, AV, NYC, NY**



Trans Union Credit Information Co.

New York Division

330 West 34th Street • New York, N.Y. 10001

212/239-2000

IF YOU OPEN AN ACCOUNT WITH THIS INDIVIDUAL, PLEASE COMPLETE THE FOLLOWING, DETACH, AND RETURN TO THE ABOVE ADDRESS.

ECOA DESIGNATOR	SUBSCRIBER CODE NO.	HIGH CREDIT	TYPE ACCOUNT	TERMS MOS. AMOUNT		DATE OPENED	ACCOUNT NO.
-----------------	---------------------	-------------	--------------	----------------------	--	-------------	-------------

FOR (P) 2NY1116

IN FILE SINCE 4/77

REPORT ON (NAME)

SOCIAL SECURITY NUMBER

SPOUSE'S NAME

RICH, MARC

CURRENT ADDRESS

MKT AREA

SPOUSE SOCIAL SECURITY NO.

230 PARK AV., NEW YORK NY. 10000

17 NY

PRESENT EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

MARC RICH & CO

6/79R

230 PARK AV., NEW YORK NY.

BIRTH DATE

NUMBER OF DEPENDENTS INCLUDING SPOUSE

OWNS/RENTS/BOARDS

TEL. NO.

FORMER ADDRESSES

FROM

TO

FORMER EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

SPOUSE'S EMPLOYER AND ADDRESS

CLOCK # POSITION DATE VERIFIED SINCE

INCOME BASIS

SUBSCRIBER NAME	SUBSCRIBER CODE	DATE OPENED	ECOA	HIGH CREDIT	DATE CLOSED/VERIFIED	PRESENT STATUS			PAYMENT PATTERN				TYPE OF ACCOUNT & MOP
						BALANCE OWING	AMOUNT PAST DUE	NPPD					
ACCOUNT NUMBER	COLLATERAL	TYPE LOAN	CREDIT LIMIT	TERMS	MAXIMUM DELINQUENCY				HISTORICAL STATUS				REMARKS
					DATE	AMOUNT	MOP	NO. OF MONTHS	30-59	60-89	90+		

ECOA INQUIRY AND ACCOUNT DESIGNATORS

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 C Joint contractual liability
 A Authorized user of shared account

P Participant in shared account which cannot be distinguished as C or A
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 M Co-signer primarily liable for account

T Relationship with account terminated
 U Undesignated
 N Non-applicant spouse inquiry

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 CCA Consumer counseling account.
 CD Disputed after Resolution Process
 CLA Placed for collection.
 DIS Dispute following resolution.
 DP In Dispute, resolution pending.
 DRP Dispute resolution pending.
 JUD Judgement obtained.
 MOV Moved. Left no forwarding address.
 ND No dispute.
 PRL Profit and loss write-off.

RLD Repossession. Paid by dealer.
 RLP Repossession. Proceeds applied to debt.
 RPO Repossession.
 RRE Repossession, redeemed.
 RS Dispute resolved.
 RVD Returned voluntarily. Paid by dealer.
 RVN Returned voluntarily.
 RVP Returned voluntarily. Proceeds applied to debt.
 RVR Returned voluntarily, redeemed.
 STL Plate stolen or lost.
 WEP Wage Earner Plan Account (Chapter XIII)

PUBLIC RECORD TYPES

AG Annulment Granted
 AN Annulment
 AT Chapter 13 Adjudicated
 AQ Acquitted
 BD Bankruptcy Dismissed
 BR Bankruptcy
 CD Civil Judgment Disputed
 CH Chattel Mortgage
 CJ Civil Judgment
 CN Change of Name
 CS Civil Suit Filed
 DB Dismissed Bankruptcy
 DG Discharged Bankruptcy
 DE Debt and Mortgage
 DF Dismissed Foreclosure
 DJ Divorce Granted
 DJL Divorce Judgment
 DM Divorced Dismissed
 DS Dismissal of Court Suit
 DT Chapter 13 Dismissed
 DV Divorce
 FC Foreclosure
 FD Forcible Detainer
 FR Foreclosure Real Estate Sold
 FS Financing Statement
 FT Federal Tax Lien
 GN Garnishment
 HL Hospital Lien
 HS Homestead
 JC Judgment by Confession
 JD Judgment by Default
 JM Dismissed Judgment
 LP Lis Pendens
 LT Landlord Tenant
 MC Miscellaneous
 ML Mechanics Lien
 ND Notice of Default

TERMS OF SALE

Open Account (30 days or 90 days) _____
 Revolving or Option (Open-end) _____
 Installment (fixed number of payments) _____
 Mortgage (fixed number of payments) _____
 Check credit (line of credit) _____

USUAL MANNER OF PAYMENT

Too new to rate; approved but not used or not rated	00
Pays (or paid) within 30 days of billing pays accounts as agreed	01
Pays (or paid) in more than 30 days but not more than 60 days, or not more than one payment past due	02
Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due	03
Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	04
Pays (or paid) in 120 days or more	05

KINDS OF BUSINESS CLASSIFICATION

Code Kind of Business
 A Automotive
 B Banks
 C Clothing
 D Department and Variety
 F Finance
 G Groceries
 H Home Furnishings
 I Insurance
 J Jewelry and Cameras
 K Contractors
 L Lumber, Building Material, Hardware
 M Medical and Related Health
 N National Credit Card
 O Oil and National Credit Card Companies
 P Personal Services Other Than Medical
 Q Mail Order Houses
 R Real Estate and Public Accommodations
 S Sporting Goods
 T Farm and Garden Supplies
 U Utilities and Fuel
 V Government
 W Wholesale
 X Advertising
 Y Collection Services

Date

1/25/82

☐ Birth

☐ Credit

☐ Criminal

☐ Death

☐ INS

☐ Marriage

☐ Motor Vehicle

☐ Driver's License

☒ Other D+B

To

SPECIAL CLERKS

Buded

File number

196-1774

b6

b7C

Name and aliases of subject, applicant, or employee, and spouse

MARC RICH and Co, Inc

Addresses

Residence

Business

280 PARK AVE NY, N.Y. 10017
TEL # 867-3300

Date and place of marriage
(if applicable)

Race

Sex

☐ Male
☐ Female

Age

Height

Weight

Hair

Eyes

Birth date

Birthplace

Arrest Number

Fingerprint classification

Criminal specialty

Specific information desired

D+B Check

Social Security Number

Results of check

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5T0/PDS
(CDRU #01-081)

196-1774-24

SEARCHED	SERIALIZED
JAN 2 1982	FBI-NEW YORK

b6
b7C

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 1/28/82

038

FM NEW YORK (196B-1774) (P) (M-1)

TO: ~~DIRECTOR~~ (196B-2848) ROUTINE

BT

U N C L A S

MARC RICH, DBA MARC RICH AND COMPANY, ET AL, FBW-ENERGY RELATED,

OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981.

REFERENCED NEW YORK TELETYPE REQUESTED

b6
b7C
b7D

NYO REQUESTS FBIHQ FORWARD ABOVE

BT

#

1-NEW YORK
1-SUPERVISOR M-1

GJL:mp
(2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SP5TC/EDS
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U R G E N T

MARC RICH, DBA MARC RICH AND COMPANY, ET AL, PSW-ENERGY RELATED,

OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981.

REFERENCES NEW YORK TELETYPE REQUESTED [REDACTED]

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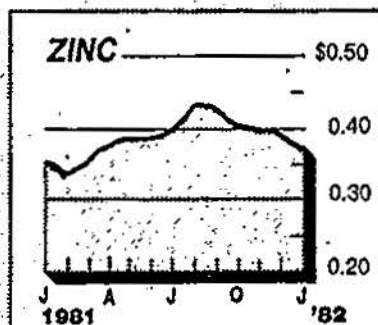
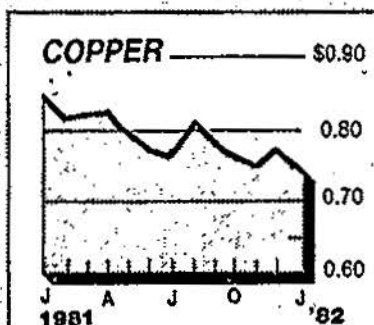
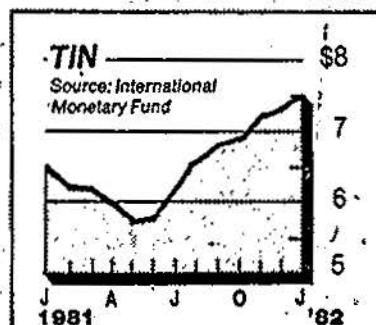
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How Tin Prices Have Gone Against the Trend

Monthly average of daily prices on London Metals Exchange, in U.S. dollars a pound



WORLD'S LEADING PRODUCERS

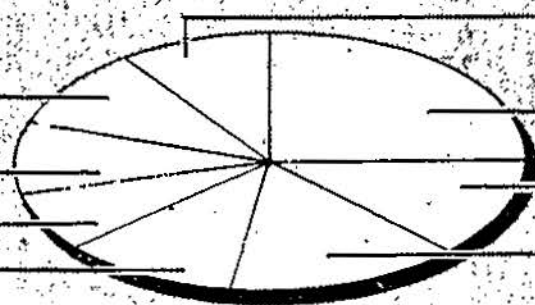
Estimated 1980 production of tin in thousands of metric tons. World total: 246.3 thousand metric tons

OTHERS 29.4

AUSTRALIA 11.4

CHINA 14.6

INDONESIA 32.5



BOLIVIA 27.3

MALAYSIA 61.4

U.S.S.R. 36.0

THAILAND 33.7

Source: Bureau of Mines

The New York Times / Feb. 9, 1982

Who's Trying to Corner Tin?

Rumors Rife With Price Climbing

By COLIN CAMPBELL

Since last July, a shadowy group of speculators has spent as much as half a billion dollars buying up huge quantities of tin. Their evident attempt to corner the market has since raised the price of this normally plentiful metal more than 25 percent — to as much as \$7.60 a pound in London yesterday — while the prices of other commodities have sagged under world recession.

Commodity traders have been startled by such high prices at this time. Governments, manufacturers and the governors of world exchanges have been investigating the scheme, pooh-poohing it, taking steps to undercut it, asking how much more the specula-

tors can spend and, generally, filling the narrow world of big-time tin with the shrewd and wild gossip that attends every such manipulation.

On the floor of the London Metal Exchange, where much of the world's tin is traded, the prevailing rumor is that the Malaysian Government lies behind the gigantic purchases, which have amounted so far to 20,000 and perhaps even 30,000 tons of soft, shiny, useful, unglamorous tin.

Since Malaysia is the world's largest producer of tin, that country, its accusers say, has the most to gain from higher prices. The recent buying binge, moreover, began right after the world's tin-consuming nations killed a proposal last July to raise tin prices under the current International Tin Agreement.

No Threat to U.S.

The squeeze, which appears to be legal, is not considered threatening to any vital American interest. Roy Markon, chief tin salesman for the General Services Administration in Washington, which stockpiles dozens of strategic materials, said that the Government agency's tin stockpile stands at almost 200,000 tons. It has been estimated, he said, that only 42,000 tons

would be needed in a war lasting three years.

Nor do world supplies appear threatened; tin is mined in Bolivia, Indonesia, Thailand and elsewhere in addition to Malaysia. Although world production has been dropping from a normal 200,000 tons and more, most analysts expect the economic slump to produce an even bigger surplus this year than last.

Nor has the unexpected price rise yet devastated dealers caught on the wrong side of the market. The main reason, tin traders say, is that the London Metal Exchange last week eased its rules on how much a trader in tin futures would be permitted to lose when he must deliver tin when his contract to do so comes due at the end of this month.

Still, attempts to corner basic commodities are hardly common. There seems to be a plot afoot and there are certainly fortunes at stake. Memories of the Hunt brothers' attempt in 1980 to corner silver, and of another group to send up coffee prices have been revived together with the lessons of their failures. The jealousies of nations are

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Business Day

The New York Times

TUESDAY, FEBRUARY 9, 1982

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THE NEW YORK TIMES, TUESDAY, FEBRUARY 9, 1982

with Stuart E. Eizenstat, a Carter Aide

gated to say, "Yes, of course, we mind." But that wasn't the prime reason for our decision.

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Who's Trying to Corner Tin?

Continued From First Business Page

also at work — of tin producers, in this case, versus industrialized consumers. Rumors of an OPEC-style tin cartel are being heard more and more.

"Buyers don't know what to do because traders don't know what to do," said an irritated trader in New York City who buys tin on the international market and sells it to manufacturers.

"It's costing us a hell of a lot of money," said a spokesman for United States Steel in Pittsburgh. Calling the squeeze "galling," he estimated that U.S. Steel alone bought 4,000 tons of tin a year, nearly all of it for tinplate.

"It's probably the Malaysians," runs the private opinion of more than one tin expert in the United States Government — although a diplomatic official at the State Department insisted, "We have not seen anything that constitutes proof" that the Malaysian Government itself is involved.

Malaysia Denies It

Perhaps the buyers are "private individuals," said George Kam, who heads the Malaysian Tin Bureau in Washington. "Perhaps Malaysian," he said, "perhaps Indonesian, perhaps some Arabs, perhaps OPEC money — all that jazz. It's certainly not the Malaysian Government that's doing it." High officials in Kuala Lumpur, the capital of Malaysia, have likewise denied that their Government is involved.

One curious rumor, an American official reported, is that someone wants to monetize tin. But why anyone would choose a metal whose uses have been shrinking is an enigma, he said. "Consumption has been going down, not up," a New York tin dealer observed.

"Also there's a rumor that it's a West Coast American company," a

broker in London said. Still another theory is that the buyers just want to close the London market.

"Whoever's doing this is playing with fire," warned the State Department official, who, like many others, chose to speak only privately about the issue.

Mr. Kam predicted: "Either A, they'll get burned, like the Hunt brothers in silver, or B — I don't know what."

'A One-Way Bet'

Most analysts predict that the scheme will collapse. "This thing can't stay up there for long," said a tin purchaser in industry. But no one is sure. "Up to a point," an American official noted, "the speculator has a one-way bet."

A key figure in the smelter of speculation is said to be a broker named Marc Rich. Mr. Rich, who made a fortune in oil during the early 1970's and whose Marc Rich & Company operates in Switzerland, New York and elsewhere, reportedly began proposing a world-scale plan to raise tin prices early last year.

A spokesman for his company in New York said yesterday that it had a policy against discussing business, and that Mr. Rich was "almost impossible" to reach.

According to The Economist, the respected London news weekly, Mr. Rich also sells tin in the United States for the world's largest tin producer, the Malaysian Mining Company — 55 percent of which is owned by the Malaysian Government.

Three Malaysian directors of that company are reported to have set up a tin-buying company last June named Maminco, with a capital of \$90 million. Where the money came from, or where much more tin-buying money has

come from, is uncertain. The Economist has reported that the Government-owned Bank Bumiputra of Malaysia is intimately involved.

Abdul Rahim Aki, who is head of Maminco and also deputy chairman of the Malaysian Mining Company, has denied that the private company is connected with the Government company. But interlocking directorates and other coincidences have persuaded many tin traders in Penang — Malaysia's spot market for tin — and in London and elsewhere that the Government backs the squeeze, and the Kuala Lumpur stock exchange is said to be investigating.

The Heavy Buying Begins

After last July's failed attempt by tin-producing nations to raise the official floor under the metal, prices on the Penang market shot up more than 20 percent in 10 days, one American official said. Soon afterward, metals dealers in London noticed that traders from MacLaine Watson & Company Ltd. — part of the Drexel Burnham Lambert group — were paying premium prices for three-month tin futures.

"They started buying massively," a London metals trader said. "They bought and bought and bought. I don't know how much they bought before that in Penang."

In October a meeting of the International Tin Council, which regulates prices on behalf of most producing and consuming nations, raised the price, but only slightly. Then suddenly, a few weeks later, the buyers changed tactics.

On Nov. 26 they sold 10,000 tons worth of futures and bought 10,000 tons of metal. The switch depressed futures prices and sharply raised the price of physical tin. It made the metal costli-

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Rumors Rife as the Price Climbs

er, in fact, than futures contracts for it — a phenomenon known in London as "backwardation," since the costs of inventories normally keep tin prices the other way around.

"Backwardation" had startling results. The speculators were able to sell some of their physical hoard and buy up further contracts for future delivery. As for those who remained in the market in the hope that the speculation would collapse, some must now deliver physical tin on Feb. 25 and 26. Prices are exceptionally high, and much of the tin they will need is controlled by the shadowy buyers.

Some Nervous Traders

The market has thus remained tight and traders jumpy, and some relatively amateur speculators — in a tin market usually played by a few senior traders — are said to be in trouble.

"The situation," one trader in London said, "has been getting steadily worse."

"Forward business," added a New York tin buyer, "has slowed down despite backwardation."

Citing "abnormalities" in the market, the London Metal Exchange announced last week that a "gentlemen's agreement" had been reached to insure that the market remained "orderly."

Traditionally, contractual default on the exchange has been penalized by what one trader called "horrible" fines and other sanctions. "Now," the trader said, sounding disappointed, "if you default on the tin market you're only going to be fined £120 per ton per day."

"I didn't think the London market would ever do that," the trader added, saying that quicker-witted dealers had already escaped undamaged. Despite the rule change, he said, "The sparks

will fly" in London late this month.

The United States has no domestic market in tin futures, but some Americans are obviously riveted on world developments.

Will the mystery buyers run out of money? It has been noted that they have been selling as well as buying tin, and an American official said that the price has not risen greatly since Jan. 22. The price has hovered, in fact, just below the point at which the manager of the International Tin Council's buffer stock must start selling tin to ease the price. This stockpile is small by American standards — only a few thousand tons — but analysts wonder if the buyers would rather not be forced to buy it.

From another office in Washington, Mr. Markon at the General Services Administration, when asked if he planned to flood the market with the 22,000 tons of tin that Congress has authorized him to sell, said with a laugh, "I'm a salesman!" He added, "We've made \$125 million since we started selling" a year and a half ago. His earnings have come from the sale of more than 8,000 tons of tin, most of it offered since the buying spree began. The tin is now being offered to foreign as well as domestic buyers, a power granted by Congress in December.

It is against the agency's legislated policy, Mr. Markon said, to buy and sell commodities in a way designed to manipulate their prices. But he is empowered to sell off surplus stocks "in a prudent manner."

The metal that Mr. Markon has been selling has been stored, he said, in "unwanted" depots in Mechanicsburg, Pa., and elsewhere. Some of the surplus tin is three decades old, and some traders have wondered about its quality.

Next June 30, the current International Tin Agreement will expire. The United States has announced that it will not enter the next agreement, and Government officials believe that an agreement without this country and perhaps some other consumers might vitiate the concept of a regulated market in tin. Large American tin buyers, such as the steel companies, believe with the Reagan Administration that a freer market in a plentiful commodity would help keep consumers' prices down.

The mystery buyers may be fighting a long-term drop in prices as well as an immediate one, and the drama's main audience is trying to figure out how the squeeze might possibly succeed.

"Maybe they're holding on until we're out of this recession," said a tin purchaser for a large American steel

company. "Then demand would shoot up and they'd be home free."

United States officials, trying to determine what might happen if the mystery buyers have \$1 billion to spend, note a possible chink in America's armor: an inability to sell huge amounts of tin quickly.

If, they say, the mystery buyer is rich enough to purchase the 22,000 tons of tin that Congress has already authorized, and if the buyer could afford also to buy the International Tin Council's much smaller buffer stock, and if the buyer could also pick up enough of the world's surplus production to keep that source tight — then (assuming some other if's including an economic upturn) the tin king might somehow dispose of his hoard at a tremendous profit before Congress can authorize the sale of more tin. For in the past, officials note, a complexity of interests has kept Congress from acting swiftly on stockpiled commodities.

In any case, one Government official warned, if recent history repeats itself even huge sales might not lower prices greatly. During the rapid rise in commodity prices around the time of the oil price increases of 1973-74, the General Services Administration sold nearly 44,000 tons of tin over two years. Yet world tin prices did not collapse. If something similar happens this time, the tin-producing countries would make a killing on the heels of the mystery buyer.

If present prices drop, and if the next International Tin Agreement fails to raise the floor, tin producers are widely expected to revive an old idea of forming a cartel.

The prospect elicits skepticism and distaste from tin consumers and American officials.

"I think the Malaysians are talking a little too strongly now," said a major buyer and seller of tin in New York City. "I don't know who they think they are. Tin is not oil." He meant that the commodity was not as irreplaceable as oil. Others, however, have noted that tin production is concentrated in a handful of countries, and that three-quarters of the non-Communist world's tin comes from Malaysia, Indonesia and Thailand — all members of the Association of Southeast Asian Nations.

Critics have also noted also, however, that although tin consumption is rising in both Communist and developing countries, important substitutes have been found in the industrialized West, which uses three-quarters of the world's tin and devotes most of that to tinplate and solder.

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FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 3/1/82

[redacted]
[redacted] telephone number [redacted] was contacted
at his place of employment.

He was informed of the official identities of the
interviewing Agents. SA [redacted] served Mr. [redacted] a Grand
Jury subpoena duces tecum, issued by the Southern District of
New York, directed to [redacted] The subpoena
commands an [redacted]
[redacted] U.S. Courthouse,
Foley Square, in the Borough of Manhattan, City of New York.

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Investigation on 2/18/82 at [redacted] File # Houston 196B-881
by SAs [redacted] and [redacted] dls Date dictated 2/22/82

FEDERAL BUREAU OF INVESTIGATION

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Foley Square, in the Borough of Manhattan, City of New York.

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b3Investigation on 2/18/82

at [redacted]

File #

NY 196B-1774-28
Houston 196B-881

by

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and [redacted]W
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Date dictated

2/22/82

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 3/1/82

[redacted]
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[redacted] telephone number [redacted]
was contacted at his place of employment.

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[redacted] The subpoena commands an appearance and the production of certain [redacted]
[redacted] U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

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Investigation on 2/18/82 at [redacted] File # Houston 196B-881
by SAs [redacted] and [redacted] DAS/dls Date dictated 2/22/82

FEDERAL BUREAU OF INVESTIGATION

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File # Houston 196B-881

by SAs [redacted] and [redacted] dls Date dictated 2/22/82

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2/11/82

TO: SAC, HOUSTON (196B-881)
FROM: ADIC, NEW YORK (196B-1774) (P)
SUBJECT: MARC RICH,
dba MARC RICH AND COMPANY;
[REDACTED]
FBW - ENERGY RELATED
(OO:NY)

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Enclosed for Houston are the originals and one copy each of two subpoenas.

For Info of Houston, AUSA, SDNY has indicated he intends to initiate Grand Jury segment of captioned investigation.

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HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

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Date 3/1/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
 Marc Rich and Company;

FBW - ENERGY RELATED

OO: NEW YORK

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Re New York airtel to Houston, 2/11/82.

Enclosed for New York are two original, executed Grand
 Jury subpoenas, directed to [redacted]

[redacted] Also enclosed for New York is
 the original and one copy each of two FD-302s regarding [redacted]

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*Executed original
 subpoenas returned
 to AUSA
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3/1/82

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FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company;

[REDACTED]
FBW - ENERGY RELATED
OO: NEW YORK

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Re New York airtel to Houston, 2/11/82.

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[REDACTED] Also enclosed for New York is
the original and one copy each of two FD-302s regarding [REDACTED]
[REDACTED]

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Hiram Walker's Unhappy Morning After

by ALEXANDER STUART

Stocks, bonds, pork bellies, or oil—every market has its peak, and it is inevitably the fate of some hapless company to buy in at the top. The latest example of this hoary principle of commerce surfaced in early February: Hiram Walker Resources Ltd., a Canadian outfit best known for its whisky, liqueurs, and other distilled spirits, announced that its 1981 purchase of \$630 million of U.S. oil and gas properties from Denver oilman Marvin Davis had gone sour.

Just how sour will not be known for several months. But largely because it plunged hastily into a superheated market for hydrocarbons, misjudged future oil prices, and stumbled over the tricky task of estimating oil and gas reserves, Hiram Walker reported a possible charge against 1982 earnings of roughly \$145 million after taxes. (All figures are in U.S. dollars.) The debacle is sure to send a chill through the executive suites of other companies who bought oil and gas reserves at a time when they seemed practically riskless investments.

What a difference a year can make. Hiram Walker completed the acquisition of Davis's properties only last March. The barrel-shaped billionaire sold interests that he owned in about 830 wells and 767,000 exploratory acres primarily in Wyoming, Oklahoma, Louisiana, and Texas. At the time, Hiram Walker figured that the package contained proved oil and gas reserves of 8.8 million barrels of oil and 106 billion cubic feet of natural gas. Unproved reserves—a broad category

that essentially covers what the company believes can be recovered with additional drilling or enhanced recovery techniques—were then thought to be 8.6 million barrels of oil and 29 billion cubic feet of gas.

Through its Calgary-based subsidiary Home Oil Co., Hiram Walker was already producing oil and gas in the U.S. But the hydrocarbons purchased from Davis represented a towering increase in the company's modest domestic reserve base, and some observers hoped that production from the Davis acreage would fatten the bottom line by more than \$30 million in the first full year of operations.

Shareholders giddy with the anticipation of such earnings sobered up quickly when William P. Wilder, 59, Hiram Walker's president and chief executive, stepped to the podium three weeks ago at the company's annual meeting in Toronto. An independent petroleum engineer had completed preliminary estimates of the oil and gas reserves acquired from Davis Oil, said Wilder, and the numbers suggested that proved reserves were "somewhat less" than originally anticipated—20% to 25% less, in fact. Moreover, he said, unproved reserves now seemed "sig-

nificantly less" than Hiram Walker had thought. "Significantly" is presumably a lot worse than "somewhat." The company and the independent engineer are still thrashing out the final figures, but that process could take months. Hiram Walker's board decided, reasonably enough, to go public with the bad news before then.

When the story broke in the papers, tensions mounted between buyer and seller, fueled by some press accounts hinting that Davis might have inflated his reserve estimates. Actually, the oilman had provided no estimates to Hiram Walker—only raw data such as electronic well logs, production records, and evidence of Davis's net





William P. Wilder, 59, Hiram Walker's C.E.O., has had less to smile about lately.

interest in each of the wells. "Why should Marvin have given reserve estimates?" asks a source close to the proceedings. "It would only have put a lid on what he could have received." Nevertheless, Davis Oil was free in giving advice to Hiram Walker on how to interpret the data, and Wilder was quoted in the *Wall Street Journal* after the annual meeting as saying: "We'll know in about a month if we have a case for misrepresentation."

Davis was "livid" at the quoted remark, says someone who knows him well, adding: "They lost their ass because they bid too high. Now they want someone to share the heat with them." Through Edward Bennett Williams, a friend and personal attorney, Davis issued an ultimatum to the company demanding a retraction. But according to Williams, Wilder now claims he never said any such thing.

The profits that vanished

The write-down of the Davis properties is a painful blow. Hiram Walker Resources came into being ten months ago, the result of mergers between Hiram Walker-Gooderham & Worts, the liquor company, Home Oil, and the Consumers' Gas Co., a large Ontario gas utility. In the fiscal year that ended last September 30, Hiram Walker earned \$208 million on sales of \$2.4 billion. Most analysts project either no earnings or a loss this year. And in the near future, at least, profits of the kind once envisioned are going to be harder to find than those buried cases of Canadian Club that Hiram Walker used to feature in advertisements. The blame lies almost entirely in the troubles of its U.S. oil and gas business. "The most pressing problem facing the company" is the way Wilder described that acquisition at the annual meeting.

The write-down is also an obvious embarrassment to Hiram Walker, tarnishing the stately escutcheon that adorns the cov-

er of its annual report, to say nothing of the company's image in the Canadian investment community. In the past six months the company has lost almost \$1.2 billion in market value, as the stock dropped from a high of about \$30 per share to a recent price of around \$12. Investor excitement—and

subsequent disappointment—over a wild-cat oil strike by Home in Australia partly explains the decline. But growing concerns about the Davis deal undoubtedly contributed to the slippage. "The Davis acquisition was the first test for this newly formed company, and all along there's been disagreement about the values there," says Robert Munsie, an analyst with Wood Gundy Ltd., a Toronto investment house. "We went on good faith that this thing would work out."

Indeed, a lot of people's faith in the oil industry may be fraying at the edges just now. Soaring interest rates and higher operating costs are putting a crimp in cash flows. Drilling has slowed. And the unthinkable has happened: oil prices have actually declined. Not long ago, price increases were thought to be as certain as death, taxes, and budget deficits. Partly for that reason, companies like Shell and Sun each spent billions of dollars to purchase reserves (or, like Mobil, have *tried* to spend billions). Newcomers to the business—most notably Du Pont and U.S. Steel—bought multibillion-dollar chunks of the oil patch on the floor of the stock exchanges. The news flash from Toronto raised the possibility that companies who bought last year may have drastically overpaid. Could Hiram Walker's experience be a harbinger of things to come?

Probably not, most experts contend—though the debate is by no means resolved. Hiram Walker's galling experience with the Davis properties is a sharp reminder that estimating oil and gas reserves is a fiendishly inexact mixture of art and science. After all, the precise amount

of hydrocarbons a field will ultimately produce is unknowable until the last well has been plugged and abandoned.

Early in the life of a field, petroleum engineers who specialize in calculating the size of reserves can be off by as much as 200% to 300%. The margin of error generally shrinks to perhaps 10% to 15% after a field has been producing for a few years—as were the properties Hiram Walker acquired. As the flow of oil tails off, engineers can plot so-called decline curves that project a field's performance, based on past production.

The data are reevaluated annually. If a change is necessary, and one almost always is, it shows up in the "revisions" section of the reserve reports required by the Securities and Exchange Commission from all public oil companies. The adjustments can be of Hiram Walker proportions, or worse. But in an Arthur Andersen & Co. survey of 160 oil companies in 1980, 83% reported revisions amounting to 10% or less of the reserves on the books at the beginning of the year.

The perils of the unproved

The reports to the SEC disclose information only about proved reserves, generally defined as those economic to recover at current prices with existing technology. An oil reservoir might contain 100 million barrels. But only, say, 30 million barrels might ultimately be recoverable given the prices and technologies current at the time of estimating. Of course, nothing stops a company like Hiram Walker from assuming higher future prices when it decides how much to pay for a field.

Unproved reserves—what an oilman thinks he has, but can't prove to the SEC's satisfaction—are typically the subject of painstaking scrutiny by companies intent upon acquiring them. Petroleum engineers usually divide the category into probable, possible, and potential reserves. Probable reserves are often located near existing production, but have either not flowed oil or gas, or are in geologic formations where hydrocarbons have not been conclusively demonstrated. Probable reserves can also

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be those that a company hopes to extract with enhanced recovery techniques. A savvy Houston engineering consultant defines possible reserves thusly: "If it's not impossible, then it's possible." Potential reserves have the greatest likelihood of being moose pasture.

The value of all three categories lies solely in the eyes of the beholder. "No two engineers will totally agree on them," says Walter Wright, vice president of the Bank of Montreal's petroleum department. But placing a dollar value on proved or unproved reserves is a painstaking, time-consuming process based, among other factors, on subjective judgments about future production levels, inflation, and escalations in price and operating costs. To arrive at a net present-value figure for all future production revenues, each category of proved and unproved reserves is adjusted downward by different percentages to reflect the risk that some of the reserves purchased might never materialize. There's no hard and fast rule, but depending on market conditions, proved reserves are usually multiplied by 70% to 80%; probable reserves by 30% to 60%; and possible reserves by 5% to 25%.

When Marvin Davis and Hiram Walker began talking in the fall of 1980, the market for oil and gas reserves had never been hotter. "People were hysterical to buy," recalls Dwight Moorhead, vice chairman of Petro-Lewis, a Denver outfit that has purchased over \$2 billion of properties since 1970. The war between Iraq and Iran had just broken out, and as Moorhead puts it: "Things got wild, fast, and loose. Everybody thought oil prices would keep increasing at 15% to 20% a year, that you couldn't make a mistake. People thought the only mistake was in not buying."

Hiram Walker had been searching anyway for a major diversification from the slow-growth liquor business, which even after the mergers with Consumers' Gas and Home Oil represented almost 60% of operating income in 1980. Energy seemed the best bet, particularly in the U.S., where oil taxes are far lower than in Canada.

It took just three to four weeks of fierce



Denver oilman Marvin Davis, 56, here with his wife, Barbara, used the proceeds from his \$630-million sale to Hiram Walker as a down payment for the purchase of Twentieth Century-Fox.

activity for Home Oil staffers to work up production and reserve forecasts. Rivals in the competition included Dome Petroleum, Shell Oil of Houston, and, by several informed accounts, Mobil, although Mobil denies bidding. Morgan Stanley, Hiram Walker's investment banker, handled much of the number crunching required to determine a final offer.

Hiram Walker's \$630-million offer, which Davis informally accepted in mid-December, was by far the most cash rich of all the bids. The company won't comment, but the risk of borrowing heavily undoubtedly seemed a small price to pay to gain access to the U.S. market. "It came down to corporate strategy," says a source close to the bid formulation. "And that was a board decision."

The price of romance

What went wrong? Almost everything. Hiram Walker did not have much time to evaluate the properties, and optimism clearly colored the estimates. For example, the company paid a lot for what oilmen call the romance in the Davis holdings—the unproved reserves whose value is now in dispute. In fact, over 40% of the purchase price is carried on Hiram Walker's books as the cost of "unproved properties" in the U.S. Some of that romance may yet bloom, but the acreage must first

continued

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FORTUNE

ILLUSTRATION

be drilled. Hiram Walker's development-drilling program has got off to a slow start because the company had to spend months clearing the titles to many of the leases.

Mainly Hiram Walker guessed wrong on oil prices. Frank Ternan, the company's spokesman, says that the "drop in the price component was a substantial factor in our decision" to write down the properties—which suggests that Hiram Walker had been bullish indeed on prices.

In the past, oil-price hikes almost certainly bailed reserve buyers out of potentially bad deals. Hiram Walker lost that cushion when the market turned, and some other companies are doubtless facing uncomfortable reappraisals of their own. Yet a wave of drastic write-downs seems unlikely, barring a major collapse of oil prices. Unlike other acquirers, Hiram Walker bought the greatest part of its U.S. reserves at peak prices. An unusually high proportion of its reserves, therefore, have now dropped in value far below their acquisition costs.

Hooting in the oil patch

The upshot of Hiram Walker's unhappy experience in the States, President Wilder told shareholders, will be "substantial" cuts in U.S. oil and gas expenditures. The company needs all the cash flow it can squeeze from existing production to reduce its whopping debt burden. To finance the Davis transaction, Hiram Walker took to the capital markets, and its long-term debt exploded to the equivalent of 95% of its equity last year, up from a relatively modest 42% in 1980.

Sympathy for the company's plight was not much in evidence in the days following the annual meeting. The consensus among oilmen, security analysts, and consultants: wily Marvin Davis out-traded Hiram Walker, which in hindsight paid far too rich a price. "They dug their own grave," smiles one oilman. But while the old hands in the oil patch hoot, the chuckles of some of the newcomers may have a nervous edge as they review the investments they once thought risk-free. (F)

Memorandum



To : ADIC, NY (196-1774)(P) Date 3/10/82

From : SA [REDACTED]

b6
b7C

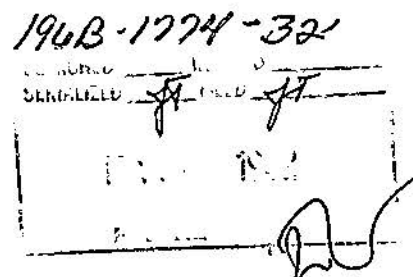
Subject : MARC RICH
E-AL
FBW - ENERGY RELATED
OO:NY

On 3/9/82, subpoenas were served
on [REDACTED]

for [REDACTED]. The
originals were served. Executed
copies returned to AUSA
[REDACTED] SDNY on 3/10/82.

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DATE 3/21/01 BY SP5TC/PDS
(CDRU #01-081)



APR 15 1982

TITLE:

MARC RICH
dba Marc Rich
and Company;
[redacted]

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FBW-ENERGY RELATED
(OO:NY)

FILE: 196B-1774

CASE AGENT: [redacted]

SUPERVISOR: [redacted]

- I. Date Investigation Began: 10/21/81
- II. Type of Investigation: GCI
- III. Date of FBIHQ/Departmental Approval: N/A
- IV. Date Investigative Authority Expires: N/A
- V. Basis of Investigation: [redacted]

provided information that

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- VI. Major Objectives: 1) Identify all participants in scheme that are both actively and passively involved.

b7D 2)
b7E [redacted]

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(CDRU # 01-081)

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196-1774-33

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APR 15 1982	
[redacted] 60	

VII.

Prosecutive Opinion: AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted]

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VIII.

Milestones Completed: 1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation [redacted]

[redacted]
2/25/82.

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IX.

Milestones Anticipated: 1) [redacted]

2) [redacted]

3) Obtain [redacted]

4) Complete [redacted]-type review of [redacted]

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b7C
b7D
b7E

b3

X.

Unusual Investigative Techniques Employed/Anticipated:

None.

XI.

Manpower Anticipated: One Special Agent Accountant full time.

XII.

Accomplishments: None.

(Mount Clipping in Space Below)

Crude Maneuvers**Controls on Oil Prices,
Though Ended, Leave
A Residue of Charges****Some Say Firms Evaded Law
To Ring Up Huge Profits
And Are Going Scot-Free****Vague Rules Impede Cases**By JOHN R. EMSHWILLER
And ANDY PASZTOR

Staff Reporters of THE WALL STREET JOURNAL

Until early last year, a disappearing act that might have daunted a horde of Houdinis took place every day in the U.S.

The magicians may have included major oil companies as well as obscure entrepreneurs, some of whom are said to have become overnight millionaires.

This particular piece of legerdemain involved hundreds of millions of barrels of oil and may have cost consumers billions of dollars. Some investigators call it the biggest white-collar crime in history. And it may end up the biggest law-enforcement failure since Prohibition.

Nevertheless, the Reagan administration is making sharp budget cutbacks that critics contend are undermining enforcement efforts.

The tale begins with the 1973 oil embargo. To cushion consumers from skyrocketing oil prices, the government imposed elaborate controls on domestic crude oil. Essentially, it set up different price classifications for oil, depending on the location and age of a particular well. As world oil prices rose, the lowest-price "old" oil was almost 30¢ a barrel below the categories at the world price.

The Enforcement Team

Until President Reagan abolished oil-price controls in January 1981, the job of ensuring that oil sold at its legal price was entrusted to a large enforcement team at the Department of Energy. At one point, DOE had some 1,300 auditors, lawyers and other staffers keeping tabs on everybody from Exxon Corp. to corner service stations.

But according to records at DOE and elsewhere, some 300 million to 400 million barrels of lower-priced oil produced in the U.S. during the eight years of price controls never reached refineries. Meanwhile, a like amount of uncontrolled crude not shown on production records was refined.

The authorities still haven't figured out what happened. Although DOE's records show that it knew about the problem of disappearing "old" oil more than four years ago, officials haven't made a comprehensive effort to track it down. "I can't really explain why the oil disappeared," says Rayburn Hanslik, who since October has headed DOE's faltering investigation into price-control violations.

Such answers don't satisfy everyone. "Very little of what went on during the price-control period has been brought to justice," says Rep. John Dingell. The Michigan Democrat, long a critic of price-control enforcement, has scheduled a hearing today to examine whether DOE is pushing its investigation hard enough.

Two Theories

Two major theories exist about what happened to all that old oil: One involves breaking the law; the other, at least the spirit of the law.

The latter theory was laid out forcefully in a lawsuit filed last April in a Syracuse, N.Y., federal court by Mobil Corp. against DOE. In the suit, which challenges some aspects of the agency's enforcement efforts, the nation's second-largest oil company calculates that up to nearly one million barrels a day of lower-priced crude—about 30% of the production of such oil—was disappearing prior to decontrol.

Mobil argues that some refiners took advantage of loopholes in the regulations to withhold reports of their purchases of old oil. These refiners, Mobil says, simply held that oil in inventory until decontrol, when its value rose more than fivefold. While holding back the price-controlled oil, these refiners were drawing down stocks of more expensive classifications of crude, Mobil says—thus accounting for the seemingly magical appearance of large amounts of uncontrolled oil.

Refiners that didn't play such a game—and Mobil says it didn't—would be put at a costly disadvantage. That's because the government, trying to equalize the costs of crude among companies, required refiners that processed large amounts of low-cost oil to make periodic payments to refiners that processed mostly expensive oil. If large amounts of lower-cost oil weren't reported, the payment calculations would be badly skewed.

DOE's Response

In its suit, Mobil calculates that the amount of lower-cost oil that disappeared raised crude-oil costs by \$5.6 billion.

Responding to the suit, DOE concedes that it doesn't know whether such manipulation went on. But it asserts that Mobil doesn't know, either. Mobil's arguments are based entirely on "speculative, hypothetical possibilities" and fail to take into account legitimate business transactions that could explain some of the apparent discrepancies, DOE's filings contend.

Whatever the outcome of the case, the dispute may never be fully resolved. Although DOE lawyers believe that any such inventory manipulation would have been illegal, the department admits that it never audited refiner inventories. And DOE officials say they have neither the time nor the resources to try to track down such manipulations now. "It would be a nightmare of a task," one department attorney says.

The other theory about the disappearance of oil—that the law was simply broken—involves a shadowy subworld of the oil industry that sprang up like a mushroom during

Please Turn to Page 21, Column 1

Classification:
Submitting Office:

196-1774-34

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WALL STREET JOURNAL

4/19/82

pg 1

Crude Maneuvers, Oil-Price Controls, Though Ended, Leave a Residue of Charges That Firms Evaded Law

Continued From First Page

price controls and that, with the end of controls, is withering rapidly. It is the world of crude resellers.

Before price controls were imposed, there were perhaps a dozen or so resellers. They distributed oil by acting as middlemen. But by the late 1970s, their number had surged to about 400 to 500 firms. Some were started by former officials of large oil companies.

And some of the resellers showed astonishing growth—for a while. Take the case of Fuel Oil Supply & Terminating Inc., a Houston-based reseller set up in 1978 with an investment of \$150,000. For its first full year of operations, the company reported sales of \$243 million. In the fiscal year ended March 31, 1981, the company, which had expanded into such diverse areas as processing seafood and operating barges, had sales of \$3.2 billion. Six months later, it was in federal bankruptcy court—and it still is.

In a civil action, DOE is seeking \$2.4 million in alleged overcharges from the company, and department officials say their investigation is continuing. The company hasn't been charged with criminal wrongdoing.

Some resellers did grow by breaking the law, federal officials say. They explain that resellers would trade title to the same oil 20 times or more in a dazzling series of paper transactions that, when diagrammed, resemble "the schematic of a DNA molecule," one official says. Along the way, oil certified as old would be quietly and illegally recertified as uncontrolled, thus sharply raising its price and the potential profit, investigators say.

In the biggest such court case to date, a federal grand jury last October indicted Robert B. Sutton of Tulsa, Okla., a former postage-meter salesman who became a major oil reseller, on charges of falsifying certifications on 240 million barrels of oil. He has denied the charges. His trial is set to begin tomorrow in Tulsa.

In addition, DOE has charged more than 80 resellers with civil violations totaling \$633 million. So far, \$22 million has been collected. Of the 250 cases still open, about 35 involve investigations for possible criminal wrongdoing, DOE says. However, those totals may leave out "many incidents of mis-certification that haven't yet been and may never be detected," DOE says in papers filed in the Mobil suit.

Majors' Role

Underlying the suspicions of both inventory manipulation and outright miscertification is the question of how deeply the major oil companies were involved. In its suit, Mobil doesn't name any refiners that might have manipulated inventories. But if the number of barrels in question is correct, major refiners would almost certainly have been involved.

As for miscertification, some DOE investigators believe that the majors had a large role. These officials say DOE audits show that many major refiners regularly gave up huge amounts of price-controlled oil to re-

times, price-controlled oil never even left the pipeline. Its title merely passed through numerous hands until ownership and new certifications returned to the major that had started the chain.

"The majors didn't want to miscertify themselves; so they let a bunch of resellers do it for them," a veteran DOE investigator contends.

A 1980 report prepared by DOE auditors traced a series of transactions among several resellers and three refiners, Mobil, Tesoro Petroleum Corp. and American Petrofina Inc. The report contends that the transactions involved false certifications in which the companies apparently "acted together to defeat the objectives" of price controls, and it recommends that a federal grand jury "conduct a thorough criminal investigation." All three refiners deny miscertifying oil. DOE is still investigating the case.

Two Criminal Cases

Indeed, among the nation's 34 biggest refiners, only two have been formally charged with criminal pricing violations. In 1978, Conoco Inc. pleaded no contest to charges of overpricing oil products in 1973 and agreed to pay up to \$3 million in fines and refunds. In 1980, Coastal Corp. paid \$10 million to settle price-manipulation charges. No official of a major oil company has gone to jail, though Coastal's chairman, Oscar Wyatt Jr., paid a \$40,000 fine. Coastal later reimbursed him.

Oil-industry officials and others cite this paucity of prosecutions as evidence that major companies really were innocent. They say that although DOE auditors may have uncovered seemingly suspicious transactions, further investigations showed that they were legal or that the rules were too vague and the transactions too complex to make a determination.

However, the paucity of indictments may be due to government ineptness. Although President Carter pushed for enforcement of the controls, the effort clearly suffered from a lack of coordination. For instance, J.A. "Tony" Canales, then U.S. Attorney in Houston, says he first learned about possible oil-price manipulation by watching "60 Minutes." The television show prompted him to investigate and bring some major criminal cases against resellers.

A lack of clear-cut rules also hurt. Many audits of resellers were delayed nearly three years because DOE hadn't put out the necessary regulations until late 1980, the General Accounting Office said in a recent report.

Neglected Areas

The Carter investigators also slighted potentially fruitful areas. Under Paul Bloom, the Office of Special Counsel, the DOE branch charged with auditing major refiners, filed civil claims against majors for about \$11 billion, of which about \$600 million has been collected so far. However, Special Counsel rarely tried to assess the legality of transaction between majors and resellers.

Mr. Bloom, now in private law practice, says his office did help other branches of DOE on a handful of criminal investigations

pretations of rules by DOE made it difficult to develop criminal cases against the majors.

In addition, DOE apparently didn't fully use some of its own detection devices. Beginning in 1979, resellers were required to submit monthly reports calculating any overcharges to their customers; under the rules, such overcharges should have been later refunded. But although these reports have been used in some audits, DOE never systematically checked to determine the total amount of overcharges or whether refunds were made. Some DOE investigators estimate these reported overcharges at several hundred million dollars.

Even in successful prosecutions, the penalties imposed may not have been tough enough, some observers think. In one Houston case, Albert Alkek, a Texas oil man, pleaded guilty to a criminal information charge that he had concealed his knowledge of some alleged crude-oil miscertifications. He was put on three years' probation and agreed to refund \$3.2 million. Mr. Canales, the former U.S. Attorney, says he recalls that Mr. Alkek calmly pulled out his checkbook and offered to pay the refund on the spot. "He assured me the check would be good since he owned the bank," Mr. Canales says. (Mr. Alkek couldn't be reached for comment; an attorney for him says he can't remember the incident.)

Signs of Discouragement

All in all, the experience of the past few years seems to have blunted the enthusiasm of some law-enforcement officers. Michael Brown, an Assistant U.S. Attorney who has handled some of the oil cases out of Houston, promises to prosecute fully his remaining cases, but he clearly isn't eager for more. "Between you, me and the lamp-post, I'd be tempted to throw any new ones in the trash," he says.

Reagan administration critics maintain that that is just what DOE wants to do. They note that the enforcement staff has already been slashed about 60% and that in fiscal 1983 the administration is seeking to reduce it to one-fifth its size under President Carter. "Unless the current course is reversed, dozens of brazen crooks are going to get off scot-free," complains Rep. Albert Gore Jr., a Tennessee Democrat.

Even by the administration's own standards, enforcement has been lagging. DOE's Mr. Hanslik concedes the department won't complete audit work on resellers by September as previously planned. So far, DOE has completed only a handful of proposed civil settlements concerning resellers.

But Mr. Hanslik, bristling at charges he is soft on oil companies, notes the Carter administration didn't put out any proposed reseller settlements. He terms staff cutbacks only natural as the program winds down, but he says all cases will be fully pursued anyway. "There won't be any amnesty," he says.

Forest Oil Discovers Gas

DENVER—Forest Oil Corp. said it found

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/5/82

TO : SAC, HOUSTON (196B-881)

FROM : ADIC, NEW YORK (196B-1774) (P)

SUBJECT: MARC RICH,
dba MARC RICH AND COMPANY,
ET AL
FBW-ENERGY RELATED
OO: NY

ReHOairtel to NY, 3/1/82.

Enclosed for HO are three originals and one copy each of five subpoenas. Enclosed for Kansas City is the original and one copy of a subpoena.

For information of Kansas City, investigation at NY has determined that referenced energy related fraud may exceed \$25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will kindly serve enclosed subpoenas.

KANSAS CITY

AT WICHITA, KANSAS. Will kindly serve enclosed subpoena.

2 - Houston (Encls. 10)
2 - Kansas City (Encls. 2)
1 - New York

GJL:jml
(6)

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(CDRU # 01-081)

196B-1774-35

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MAY 10 1982	
FBI - NEW YORK	
16R	

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ E F T O
☐ CLEAR

Date 5/14/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
 dba Marc Rich and Company,
 ET AL
 FBW-ENERGY RELATED
 OO NY

Re New York airtel to Houston, dated 5/5/82, and
 Wichita, Kansas RA telephone call to AUSA [REDACTED]
 Southern District of New York, on 5/14/82.

Enclosed for Denver is the original and one copy of
 a subpoena.

For information of New York and Denver, [REDACTED]

[REDACTED]
 [REDACTED] No records avail-
 able at [REDACTED]

On 5/14/82, [REDACTED]
 [REDACTED] telephonically advised that the proper person
 for whom the subpoena should be served is [REDACTED]

[REDACTED] phone

On the same date AUSA [REDACTED] supra, was
 telephonically contacted by SA Linus C. Issinghoff, [REDACTED]
 [REDACTED] AUSA [REDACTED] advised the subpoena should be
 forwarded to Denver and served on [REDACTED] AUSA [REDACTED]

2-New York
 2-Denver (Encl 2)
 1-Kansas City
 LCI:djm
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196B-1774-36
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MAY 20 1982

Per

NEW YORK

GPO : 1977 O 722-538

Approved: *[Signature]*

Transmitted

(Number)

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b7C
b3b6
b7C
b3b6
b7C

KC 196B-902

stated he would advise New York Case Agent [redacted] and
also would telephonically make contact with Mr. [redacted] in regard
to the subpoena.

b6
b7C
b3

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ E F T O
☐ CLEAR

Date 5/14/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
 dba Marc Rich and Company,
 ET AL
 FBW-ENERGY RELATED
 OO NY

Re New York airtel to Houston, dated 5/5/82, and
 Wichita, Kansas RA telephone call to AUSA [redacted]
 Southern District of New York, on 5/14/82.

b6
 b7C

Enclosed for Denver is the original and one copy of
 a subpoena.

For information of New York and Denver, [redacted]

b6
 b7C
 b3

[redacted] No records avail-
 able at Wichita, Kansas.

On 5/14/82, [redacted]

[redacted] telephonically advised that the proper person
 for whom the subpoena should be served is [redacted]

b6
 b7C
 b3

[redacted] phone

On the same date AUSA [redacted] supra, was
 telephonically contacted by SA Linus C. Issinghoff, Wichita,
 Kansas PA. AUSA [redacted] advised the subpoena should be
 forwarded to Denver and served on [redacted] AUSA [redacted]

②-New York
 2-Denver (Encl 2)
 1-Kansas City
 LCI:djm
 (5)

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SERIALIZED	FILED
MAY 20 1982	

FBI-NEW YORK

Approved: _____

Transmitted _____
 (Number) (Time)

Per _____

KC 196B-902

stated he would advise New York Case Agent [redacted] and
also would telephonically make contact with Mr. [redacted] in regard
to the subpoena.

b6
b7C
b3

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 5/19/82

TO: ADIC, NEW YORK (196B-1774)
 FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
 Marc Rich and Company,
 ET AL
 FBW - ENERGY RELATED
 (00:NY)

ReNYairtel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the
 subpoenas duces tecum (five) which were served on [redacted]
 located in [redacted] as requested by New York.

This case is considered RUC.

b6
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*Five subpoenas
 returned to
 AUSA
 on 6/8/82.*

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 (CDRU #01-081)

② - New York (Enc. 5) *AK*
 1 - Houston

MMW:aik
 (3)

196B-1774-36A

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 24 1982	
FBI-NEW YORK	

Approved: [Signature]Transmitted 90 (Number) (Time)

Per _____

b6
 b7C

x Airtel

5/19/82

TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(00:NY)

ReNYairtel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the
subpoenas duces tecum (five) which were served on [redacted]
located in [redacted] as requested by New York.

b3

This case is considered RUC.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/eds
(CDRU #01-081)

② - New York (Enc.5)
1 - Houston

MMW:aik
(3)

196B-1774-36A

FT- FT

22

CO

INSTRUCTIONS

1. This form may be submitted in legible hand printing.
2. Use separate form for each individual on whom record is requested.
3. Make effort to furnish FBI identification number, law enforcement identification number, or military service number.
4. Furnish descriptive data and fingerprint classification only when FBI number not available.
5. Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.
6. Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.
7. Do not fill in block in lower left corner.

2/6/82

To: ASSISTANT DIRECTOR, IDENTIFICATION DIVISION Date 5/20/82

Re MARC RICH NY
Field File No. 196-1774

Furnish The Known Identification Record of the Following:

Name MARC RICH FBI No. 0
Other No. SSAN 496-34-3075

Aliases: 5-26 same 5-25 65
civil Crim

Sex M Race W Birth Date 12/18/34 Birthplace — Residence NY, NY

Height 5'9" Weight — Build — Hair Brown Eyes Brown Complexion — Age 47

Fingerprint Classification _____ Scars, marks and tattoos _____

Please Search: <input checked="" type="checkbox"/> Criminal Files <input checked="" type="checkbox"/> Civil Files	Also Furnish: <input type="checkbox"/> Photo <input type="checkbox"/> Fingerprints <input type="checkbox"/> Handwriting Specimens
---	--

Identification Division's Reply
☒ On basis of information furnished, unable to identify:
☒ Criminal Files ☐ Civil Files

Return Reply to: SAC, NEW YORK (196-1774)
 ATTN: SA [] (SQM-1)
 Send Copies To:

☐ Record Attached
☐ Photo Attached
☐ Photo Not Available
☐ Fingerprints Attached
☐ Handwriting Specimen Attached

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5 PTC/EDS
 (CDRU #01-081)

196-1774-36B
 SEARCHED _____ INDEXED _____
 SERIALIZED 45 FILED 45
M-1
JUN 8 1982
NEW YORK
1602
24

b6
b7C

MAY 25 1 36 PM '82

REC'D IDENT DIV
F.B.I.

INSTRUCTIONS

1. This form may be submitted in legible hand printing.
2. Use separate form for each individual on whom record is requested.
3. Make effort to furnish FBI identification number, law enforcement identification number, or military service number.
4. Furnish descriptive data and fingerprint classification only when FBI number not available.
5. Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.
6. Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.
7. Do not fill in block in lower left corner.

To: ASSISTANT DIRECTOR, IDENTIFICATION DIVISION

Date 5/20/82

Re

MARC RICH

NY
Field File No. 196-1774

Furnish The Known Identification Record of the Following:

Name

PINCUS GREEN

FBI No.

Other No. SSAN

Aliases

5-26 eme
Crim - 1/2/66
Crim

Sex

M

Race

W

Birth Date

Birthplace

Residence

NY

Height

5'10"

Weight

Build

Hair

Eyes

GREEN

Complexion

Age

Fingerprint Classification

Scars, marks and tattoos

Civil's Tray

Army

Please Search:

☒ Criminal Files

☒ Civil Files

Also Furnish:

☐ Photo

☐ Fingerprints

☐ Handwriting Specimens

* Attached card contains the only fingerprint record located.

Identification Division's Reply 6-1-82

☐ On basis of information furnished, unable to identify:

☐ Criminal Files

☐ Civil Files

☒ Record Attached

☐ Photo Attached

☐ Photo Not Available

☐ Fingerprints Attached

☐ Handwriting Specimen Attached

Return Reply to:

SAC, New York (196-1774)

ATTN: SA

(Sq M-1)

Send Copies To:

b6
b7C

196-1774-360

SEARCHED INDEXED
SERIALIZED FILED

M-1
JUN 8 1982

RK

602

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDR #01-081)

MAY 25 1 36 PM '82

REC'D IDENT. DIV.
FBI

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 5-20-82

TO: ADIC, NEW YORK (196B-1774)
 FROM: SAC, DENVER (196B-1142) (RUC)
 SUBJECT: MARC RICH,
 dba Marc Rich and Company,
 ET AL
 FBW-ENERGY RELATED
 OO: NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena
 served on [redacted]
 [redacted] on May 19,
 1982.

Unless further investigation is required this matter
 is considered RUC.

*Original
 subpoena
 returned to
 AUSA
 on 6/8/82*

② - New York (1 Encl.) OK
 1 - Denver
 RKW:mh
 (3)

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/eds
 (CDRU #01-081)

196B-1774-37
 SEARCHED INDEXED
 SERIALIZED FILED
 JUN 1 1982

Approved: _____

Transmitted _____
(Number)

(Time)

b6
b7C

X AIRTEL

5-20-82

TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, DENVER (196B-1142) (RUC)
SUBJECT: MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO: NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena
served on [REDACTED]
[REDACTED] on May 19,
1982.

b6
b7C
b3

Unless further investigation is required this matter
is considered RUC.

2 - New York (1 Encl.)
1 - Denver
RKW:mh
(3)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/eds
(CDEU #01-081)

196B-1774-37

W

Memorandum



To : ADIC, NY (146-1774) (P)

Date 5/21/82

From : SA [REDACTED]

b6
b7C

Subject : MARC RICH
ETAL
ABW
OO:NY

For indexing purposes:

① Name: MARC RICH
Sex: Male
RACE: WHITE
HT: 5'11
SSAN: 496-34-3075
DOB: 12/18/34

② Name: PINCUS GREEN
Sex: MALE
RACE: WHITE
HT: 5'10
SSAN: [REDACTED]
DOB: [REDACTED]
Address: [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDR#01-081)

b6
b7C

146B-1774-38

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 21 1982	
FBI-NEW YORK	

[REDACTED] 160

Memorandum



To : ADIC, MY (126-1774) (P)

Date 5/21/82

From : SA

b6
b7C

Subject : MARC RICH

$$E_{AC}$$

FBW - Energy Related

00: NY

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDEU #01-081)

DMV results re: RICH & GREEN

ALL3
 1 HEDR R09099 91045 347824-34
 2 RICH, MARC 12/18/34M
 3 80 KENSINGTON ST LIDO BCH NY
 4 NASS 11561 000000 10
 5 LIC *5* 12/31/82 BR 5 9
 6 81130 00057
 7 RICH X 78120
 8 CONV NASS-50 2 PASSED RED LIGHT
 9 01/28/78 03/08/78 0041120530

REG1
HEDR R09099 91045 347921-34
RICH, MARC 12/18/34M
80 KENSINGTON ST LIDO BEACH NY
PLATE 863GWJ 16 NASS 11570 08 00
REG R 09/81 09/12/80 0102451675 00 00 4
INS 344 6D69S7E648007 77 CADIL
4BSD WH G08 004222
REG R 09/80 10/10/79 9101000054 10 10 3
INS 344

SEARCHED INDEXED
SERIALIZED FILED
MAY 21 1982
FBI-NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted]
[redacted] New York appeared at the United States Attorney's
Office, One St. Andrews Plaza, New York, New York, accompanied
by his attorney, [redacted] to provide handwriting exemplars.

b6
b7C

[redacted] furnished ten 3"x5" cards with his name in
script. He then furnished three handwritten copies of three
sheets of paper entitled [redacted] September thru December,
1980".

The following descriptive data was obtained by interview
and observation:

Sex: Male
Race: White
Hair:
Date of Birth:
Business Address:

b6
b7C

After supplying the handwriting exemplars, [redacted] and
his attorney proceeded to the New York office where [redacted] was
photographed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774-40

by SA [redacted] :geg b6
b7C Date dictated 6/7/82

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted]
[redacted] New York, appeared at the United States Attorney's
Office, One St. Andrews Plaza, New York, New York, accompanied
by his attorney, [redacted] to provide handwriting exemplars.

b6
b7C

[redacted] furnished ten 3"x5" cards with his name in
script. He then furnished three handwritten copies of three
sheets of paper entitled [redacted] September thru December,
1980".

The following descriptive data was obtained by interview
and observation:

Sex: Male
Race: White
Hair: [redacted]
Date of Birth: [redacted]
Business Address: [redacted]

b6
b7C

After supplying the handwriting exemplars, [redacted] and
his attorney proceeded to the New York office where [redacted]
photographed. was

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774-40

by SA [redacted] geg Date dictated 6/7/82

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted] provided the following information:

[redacted]

[redacted]

b6
b7C
b7Db6
b7C
b7D

Copy provided
AUSA
6/29/82

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ees
(CDEU#01-081)

Investigation on 6/29/82 at New York, New York Telephonic

by SA [redacted] seg b6 b7C Date dictated 6/29/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

196^B-1774-41

SEARCHED	INDEXED	196 ^B -1774
SERIALIZED	FILED	
JUL 29 1982		
FBI NEW YORK		

1602

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted]
[redacted] Texas, provided the
following information:

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/KDS
(CDRU #01-081)

Investigation on 6/29/82 at Telephonic New York, New York File # NY 196B-1774 -41
by SA 662 [redacted] geg Date dictated 6/29/82

b6
b7C

"GRAND JURY MATERIAL - DISSEMINATE ONLY."
PURSUANT TO RULE 6 (E), FED. R. CRIM. P."

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/PDS
(CDRU #01-081)

196^B-1774-43

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 12 1982	
FBI - NEW YORK	
60	

"GRAND JURY MATERIAL - DIS. MAT. ONLY"
PURSUANT TO RULE 6 (E), F.R. Crim. P.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196B-1774-42

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 12 1982	
FBI-NEW YORK	

60V

FBI
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSJC/EDS
(CDRU #01-081)

196^B-1774-44

SEARCHED	INDEXED
SERIALIZED <i>ff</i>	FILED <i>ff</i>
JUL 12 1982	
FBI-NEW YORK	
602	

I would
use all FBI
signed by
U.S. A.

as shown

TITLE: MARC RICH
dba Marc Rich
and Company:

JUL 15 1982

[REDACTED]
FBW-ENERGY RELATED
(OO: NY)

b6
b7C

FILE: 196B-1774

CASE AGENT:

SUPERVISOR:

[REDACTED]

- I. Date Investigation Began: 10/21/81
- II. Type of Investigation: GCI
- III. Date of FBIHQ/Departmental Approval: N/A
- IV. Date Investigative Authority Expires: N/A
- V. Basis of Investigation:

[REDACTED]

b6
b7C
b7D

[REDACTED]

have provided

These witnesses

[REDACTED]

[REDACTED]

VI. Major Objectives:

1) Identify all participants in scheme that
are both actively and passively involved.

[REDACTED]

b7D
b7E

VII. Prosecutive Opinion:

AUSA [REDACTED], SDNY, has been actively
involved in investigative effort to date. He has [REDACTED]

b6
b7C
b3

[REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRL # 01-081)

196B-1774-45

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 13 1982	
FBI-NEW YORK	

127

VIII.

Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation,
[redacted] Inc., 2/25/82.

3) Affidavits in support of [redacted]
[redacted]

4) Continued [redacted]
[redacted]

b6
b7C
b3

IX.

Milestones Anticipated:

[redacted]

[redacted]

b6
b7C
b3
b7D
b7E

3) Obtain [redacted]
Subject to Judge's decision on [redacted]

4) Complete [redacted] type review of [redacted]
[redacted]

X.

Unusual Investigative Techniques Employed/Anticipated:

None.

XI.

Manpower Anticipated:

[redacted]

b7E

XII.

Accomplishments:

None.

X AIRTEL

8/13/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: HARC RICH
dba Marc Rich
and Company,
ET AL
FBW - ENERGY RELATED
(OO:NY)

ReHOairtel to New York, 5/19/82.

Enclosed for Houston are the original and one copy each of two subpoenas. Enclosed for Jacksonville is the original and one copy of a subpoena.

For information of Jacksonville, investigation at New York has determined that referenced energy-related fraud may exceed \$25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

- 2 - Houston (Encls. 4)
2 - Jacksonville (Encls. 2)
① - New York

6R GJL:geg
(6) ggm-1

- 1 - Supv. M-1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SP5C/EDS
(CDRU #01-081)

196B-1774-46

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 16 1982	
FBI - NEW YORK	

6R

NYfile 196B-1774

JACKSONVILLE

AT JACKSONVILLE, FLORIDA. Will serve enclosed subpoena.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 8-19-82

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: SAC, JACKSONVILLE (196B-748) (RUC)
 SUBJECT: MARC RICH
 dba Marc Rich and
 Company,
 ET AL
 FBW - ENERGY RELATED
 OO: NEW YORK

ReNYairtel to Houston, 8-13-82.

Enclosed for New York, is an executed Federal Grand
 Jury subpoena for the Southern District of New York, dated
 8-9-82, which was served on [redacted]
 [redacted]
 on 8-17-82.

Inasmuch as there is no further investigation for
 Jacksonville Division, this matter is being placed in an RUC
 status.

*Subpoena
 returned to
 AU SA [redacted] CeR*

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP3TC/pjs
 (CDRU #01-081)

b6
 b7C

② - New York (Enc. - 10)
 1 - Jacksonville
 JS/lkr
 (3)

196B-1774-47

m-1 45

Approved: L/jmm

Transmitted

(Number)

(Time)

Per

602

b6
 b7C
 b3

X Airtel

8-19-82

TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, JACKSONVILLE (196B-748) (RUC)
SUBJECT: MARC RICH
dba Marc Rich and
Company,
ET AL
FBW - ENERGY RELATED
OO: NEW YORK

ReNYairtel to Houston, 8-13-82.

Enclosed for New York, is an executed Federal Grand
Jury subpoena for the Southern District of New York, dated
8-9-82, which was served on [REDACTED]

on 8-17-82.

Inasmuch as there is no further investigation for
Jacksonville Division, this matter is being placed in an RUC
status.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 9/24/01 BY SP5JC/EDS
(CDRU#01-081)

② - New York (Enc. - 1)
1 - Jacksonville
JS/lkr
(3)

196B-1774-000

W

b6
b7C
b3

X AIRTEL

8/20/82

TO: SAC, LOS ANGELES

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH
dba Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(OO:NY)

Enclosed for Los Angeles is the original and one copy of a subpoena.

For information of Los Angeles, investigation at New York has determined that referenced energy-related fraud may exceed \$25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEAD

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

2 - Los Angeles (Encls. 2)

① - New York

602 GJL:dap
(4)

DO8 m-1

1 - Supervisor M-1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 9/21/01 BY SP5TC/KDS

(CDRU #01-081)

196B-1774-48

602

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was
 furnished a copy of a subpoena duces tecum commanding that any
 [redacted]
 Federal Grand Jury, U.S. District Court, Southern District
 of New York, New York City, New York on [redacted] at
 [redacted] It commands [redacted]
 [redacted]

b6
b7C
b3Exhibit 1

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

5. [redacted]

b3

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SPSTC/EDS
 (CDEU #01-081)

Investigation on 8/18/82 at [redacted] File # NY 196B-1774-49
HO 196B-881
 by SA Helen I. Bachor/rbp Date dictated 8/19/82

HO 196B-881

2



b3

Exhibit 2



1.

2.

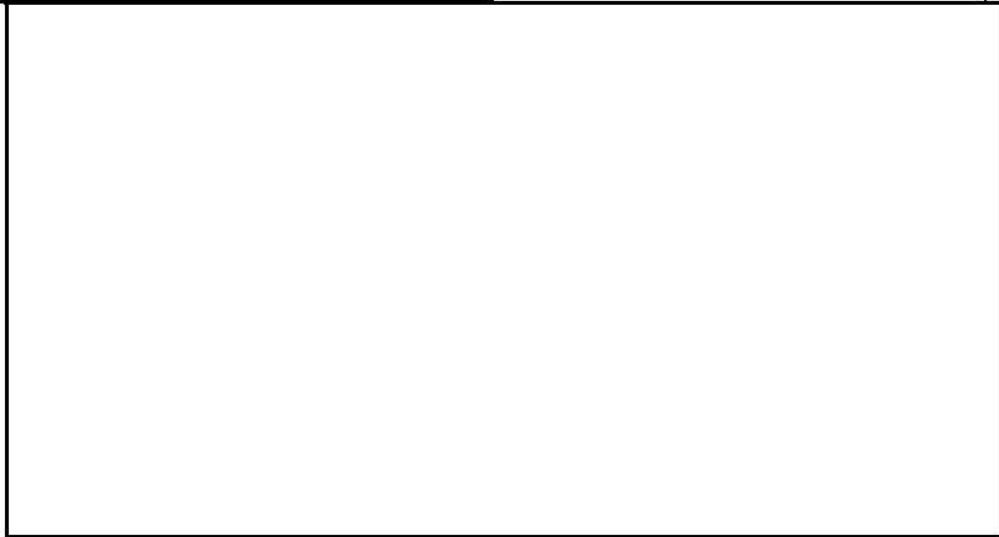
3.

4.

5.

6.

7.



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted]
[redacted] telephone [redacted] was
furnished a copy of a subpoena duces tecum commanding that any
[redacted]
Federal Grand Jury, U.S. District Court, Southern District
of New York, New York City, New York on [redacted]
[redacted] It commands [redacted]
[redacted]

b6
b7C
b3Exhibit 1

1.

2.

3.

4.

5.

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/ERS
(CDRU #01-081)

Investigation on 8/18/82

at

File #

NY 196B-1774-19
HQ 196B-881

by SA Helen I. Bachor/rbpDate dictated 8/19/82

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted]
[redacted] telephone [redacted] was
advised of the identity of the interviewing agent and was
furnished a copy of a subpoena duces tecum. The subpoena
commanded that [redacted]

b6
b7C
b3

[redacted] before the Federal Grand Jury, U.S. District Court,
Southern District of New York, New York City, New York on
[redacted] at [redacted] It commands that [redacted]
[redacted]

Exhibit 1

1.

2.

3.

4.

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3ste/eds
(CDR # 01-081)

Investigation on 8/19/82

at

File #

NY 196B-1774-50
HO 196B-881

by SA Helen I. Bachor/rbpDate dictated 8/19/82

5.



b3

Exhibit 2



1.

2.

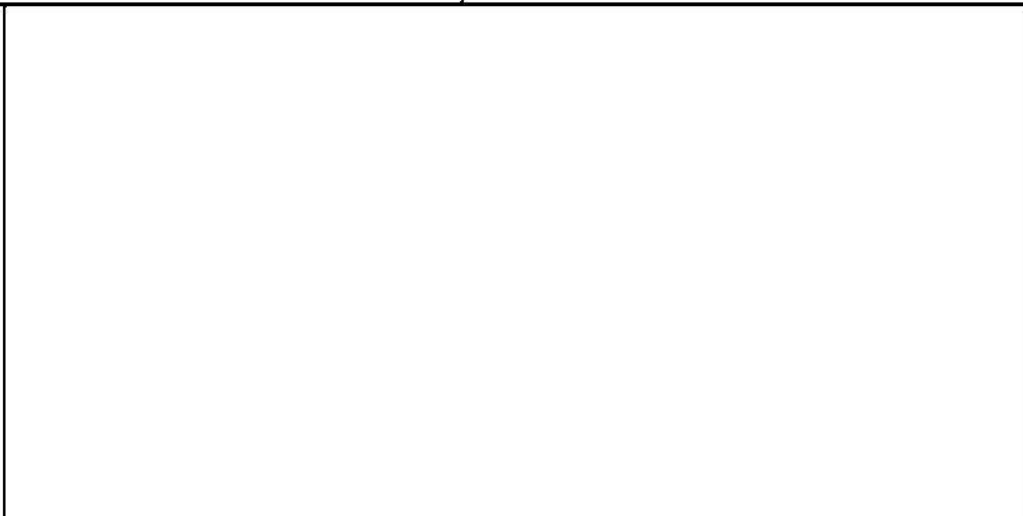
3.

4.

5.

6.

7.



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted]
[redacted] telephone [redacted] was
advised of the identity of the interviewing agent and was
furnished a copy of a subpoena duces tecum. The subpoena
commanded that [redacted]

b6
b7C
b3

[redacted] before the Federal Grand Jury, U.S. District Court,
Southern District of New York, New York City, New York on
[redacted] at [redacted] It commands that [redacted]
[redacted]

[redacted]

[redacted]

Exhibit 1

[redacted]
[redacted]

1.

2.

3.

4.

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/pds
(CDR #01-081)

Investigation on 8/19/82 at [redacted] File # NY 196B-1774-50
HO 196B-881
by SA Helen L. Bachor/rbp Date dictated 8/19/82

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 8/23/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
 dba Marc Rich and Company;
 ET AL
 FBW - ENERGY RELATED
 (OO: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy
 each of two FD-302s and two original subpoenas served on

*Original subpoenas
 furnished ACISA*

SDNY.

b6
 b7C
 b3

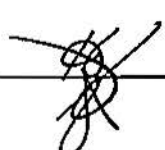
ALL INFORMATION CONTAINED
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 DATE 2/21/01 BY SP5TC/DS
 (CDRU #01-081)

OK - New York (Enc. 6) *OK*
 1 - Houston
 HIB/rbp
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ORIGINAL DOCUMENTS ATTACHED

96B-1774-51

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SERIALIZED	FILED
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662	

Approved: Transmitted *92*

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X Airtel

8/23/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company;
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy
each of two FD-302s and two original subpoenas served on

b3

ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP8C/eds
(CDRU #01-081)

② - New York (Enc. 6)
1 - Houston
HIB/rbp
(3)

ORIGINAL DOCUMENTS ATTACHED DO NOT BLOCK STAMP

196B-1774-51

WTS

D4, Asbury Park Press/Sun., Sept. 5, 1982

Many of U.S. 400 richest don't know their worth

The Washington Post

ready qualifies for his own richest list.

WHEN TEXAS billionaire Nelson Bunker Hunt was testifying at a congressional hearing two years ago, he startled the committee by saying he didn't know how much money he had.

"A fellow asked me that once and I said I don't know, but I do know people who know how much they are worth generally aren't worth much," said Hunt.

Billionaires may not bother to count their money, but Forbes magazine has done it for them, compiling for the first time a list of the 400 richest people in America.

According to Forbes, the richest man in America is Daniel Keith Ludwig, the 85-year-old New York shipping and real estate magnate, with a personal fortune estimated at more than \$2 billion.

Ludwig has so much money, Forbes reckons, that he remains the richest American even after losing \$1 billion trying to start a paper making empire in the Amazon jungle of Brazil.

THERE ARE a dozen other U.S. billionaires, the magazine reports in its Sept. 13 issue. They include Bunker Hunt and four of his brothers and sisters, Perry Richardson Bass and his son Sid, two other Texas oilmen worth \$1 billion apiece; Gordon Peter Getty, son of the founder of Getty Oil Co.; David Packard, founder of Hewlett-Packard Co.; Marvin Davis, the Denver oil man who owns 20th Century Fox; Philip Anschutz, another Denver oil and real estate investor and Forrest Mars Sr., former head of Mars Inc., the world's largest candy company.

Most of the mega millionaires on the Forbes 400 list refused to cooperate with the magazine's survey. It took a year to glean the list of the really rich from stockholdings and real estate records, wills, biographies and business experts. The magazine plans to update the list annually, which should sell enough extra issues to enrich publisher Malcolm Forbes, who al-

FLAMBOYANT publisher Forbes would not reveal the size of his personal fortune to his own publication. The editors included him anyway, gave no estimate of his wealth.

The poorest person on the list of 400 fabulous fortunes is Armas C. Markkula, Jr., 40, of San Francisco, who helped start Apple computer in 1976 and now owns stock worth \$91 million. Apple co-founder Steve Jobs, 27, is the youngest person on the list with \$100 million worth of Apple stock.

From young self-made microprocessor millionaires the census of the really rich stretches to the scions of America's old money aristocrats, a dozen du Ponts, a rook of Rockefellers, a carload of Fords, the Kennedy family plus assorted Mellons.

At least one of the 400 richest people in America is in a mental hospital—H. L. (Hassie) Hunt III, 65, whose share of his father's estate is worth \$600 million, has been hospitalized for some 20 years. Another is in exile—fugitive financier Robert Vesco had \$235 million when he fled fraud charges.

CRIME SOMETIMES does pay, the compilation suggests, for the list includes Meyer Lansky, 80, of Miami, listed as "mob money man" with \$100 million to his name, and Morris (Mo) Dalitz, 83 of Las Vegas, identified by the Kefauver crime commission in 1951 as a founder of a national crime syndicate.

So does entertainment: Bob "Thanks for the Memories" Hope is listed with \$230 million. The late Beatle John Lennon left \$150 million to Yoko Ono. Walt Disney's brother Roy is worth \$150 million, Forbes figures.

Not surprisingly, 50 of the 400 richest people in the country are Texans, or at least live in the Lone Star State part of the

year. Mere millionaires are so common in Texas that a \$10 million fortune has become the standard "unit" by which wealth is measured.

In big bucks Texas talk, a \$100 million fortune is "10 units" and half a billion dollars is just "50 units."

Runners-up to the \$1 billion barrier, in estimated order, are David Rockefeller, of Chase Manhattan Bank, nearly \$1 billion; Walter H. Annenberg, founder of TV Guide, \$800 million; Stephen Bechtel Sr. and his son Stephen Jr., of the California construction company, almost a billion between them; Harry Helmsley, the New York real estate man, \$750 billion; Sam Walton, an Arkansas discount store king, \$690 million; William R. Hewlett, co-founder of Hewlett-Packard, \$650 billion; Edward J. DeBartolo, a Youngstown, Ohio, shopping center builder, \$500 million; Samuel J. Newhouse Jr. and his brother Donald, \$600 million; Hassie Hunt, \$600 million; William Caruth Jr., Dallas real estate, \$600 million;

Cyril Wagner Jr. and Jack Brown, co-owners of a Texas oil company worth \$1.1 billion; Henry L. Hillman, Pittsburgh banker, \$500 million; Paul Mellon; four grandchildren of Houston wildcatter Hugh Roy Cullen, who share \$2 billion; A. Alfred Taubman, a Michigan developer; Barbara Cox Anthony and Anne Cox Chambers of Atlanta, heirs to the Cox newspaper and broadcasting fortune.

Leonard N. Stern, son of the founder of Hartz Mountain pet food, \$500 million; Richard Mellon Scaife, another Mellon heir, who "vigorously denies" Forbes' estimate of a \$500 million fortune; Robert O. Anderson, chairman of Atlantic Richfield, \$500 million; Curtis Carlson of the Minneapolis trading stamps and hotels businesses, worth \$500 million;

Jack Kent Cooke, \$500 million; Trammell Crow, Dallas real estate developer, \$500 million; Kenneth W. Ford, Oregon lumber baron, \$500 million; Samuel J. LeFrak, New York real estate, \$500; and J.R. Simplot, the Idaho potato grower who invented frozen french fries, \$500 million.

For info of file

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(CDRU #01-081)

196-1774-52
FBI/DOJ
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x AIRTEL

10/14/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(OO:NY)

ReHOairtel to New York, dated 8/23/82.

Enclosed for Houston are the original and
one copy of two subpoenas.

For information of Houston, Grand Jury investigation
at New York continuing.

LEAD

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoena.

AT FREEPORT, TEXAS. Will serve enclosed subpoena.

2 - Houston (Encls. 4)
① - New York

602 GJL:dap
(4)

1 - Supervisor M-1
M-1

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3C/RDS
(CDEN #01-081)

196B-1774-53

602

OCT 15 1982

TITLE: MARC RICH
dba Marc Rich and Company;

[REDACTED]
FBW-ENERGY RELATED
(OO:NY)

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File: 196B-1774

Case Agent: [REDACTED]

Supervisor: [REDACTED]

- I. Date Investigation Began: 10/21/81
- II. Type of Investigation: GCI
- III. Date of FBIHQ/Departmental Approval: N/A
- IV. Date Investigative Authority Expires: N/A
- V. Basis of Investigation:

[REDACTED]
[REDACTED] provided information that subjects had [REDACTED]

These [REDACTED] have provided [REDACTED]

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VI. Major Objectives:

- 1) Identify all participants in scheme that are both actively and passively involved.

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DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

196B-1774-54
JF JF

VII. Prosecutive Opinion:

AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted]

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VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, [redacted], 2/25/82. Additional U.S. Income of \$15 million not taxed.

3) Affidavits in support of [redacted]

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4) Motion [redacted]

5) Continued record production by [redacted]

6) Obtain [redacted]

[redacted] to date).

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IX. Milestones Anticipated:

1) [redacted]

2) Obtain cooperation of [redacted] November, 1982. (Negotiations continued).

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3) Obtain [redacted] Subject to results of appeal now in process.

4) Complete [redacted]

5) Complete [redacted]

X. Unusual Investigative Techniques Employed/Anticipated:

[redacted] from a [redacted] to ensure
[redacted]

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XI. Manpower Anticipated:

[redacted]
[redacted]

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XII. Accomplishments:

Motion to [redacted] for production of [redacted]
[redacted] was settled in favor of the U.S. Government.
[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82

[redacted]
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service of a subpoena for [redacted]
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ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP3JC/RDS
(CDRU #01-081)

Investigation on 10/15/82 [redacted] File # NY 196-1774-55by SC [redacted] Date dictated 10/15/82 *45*

602
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency. *W*

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82[redacted]
[redacted] accepted
service of a subpoena for [redacted]
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/eds
(CDRU #01-681)

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b7C
b3Investigation on 10/15/82File # NY 196-1774-55by SC [redacted]Date dictated 10/15/82

U.S. Grand Jury

Probing Shift
Of Oil ProfitsBy Patrick E. Tyler
Washington Post Staff Writer

Marc Rich, half-owner of Twentieth Century-Fox Film Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted \$23 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the Internal Revenue Service to determine whether Rich's intricate worldwide oil trading practices violated tax law.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Troland, who at that time were operating West Texas Marketing Corp. of Abilene.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions.

They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's companies.

Ratliff and Troland said in telephone interviews during the week-end that they told federal investigators their firm engaged in the following transactions described in the investigation:

Marc Rich and his U.S. company, Marc Rich & Co. International Ltd., sold volumes of allegedly price-controlled crude to the West Texas company.

Subsequently, the Texas company allegedly claimed the crude was unregulated and more than doubled the price, thereby generating enormous profits.

Most of the profits allegedly were held for Rich and later transferred.

See PROBE, A14, Col. 1

A14

Monday, October 18, 1982

THE WASHINGTON POST

U.S. Grand Jury Probing Shift of Profits in Crude-Oil Trading

PROBE, From A1

to the account of his Swiss affiliate. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly faked additional transactions that lost an amount of money equal to those profits.

Rich could not be reached for comment on the allegations being investigated and declined an earlier request for an interview.

In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has asked me to advise you that the policy of our firm is not to grant interviews."

Rich's attorney, Edward Bennett Williams, said, "I can't comment, I never comment on a client's problems or activities, especially when it involves a grand jury."

Rich's vast crude-oil trading operation reportedly has controlled tens of thousands, perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis touched off by the Iranian revolution.

During the peak of the 1979 oil crisis, Rich and his affiliates reportedly controlled

up to 160,000 barrels a day of Nigerian crude-oil production alone. One major U.S. oil company that fell drastically short of its crude requirements in early 1979 paid Rich \$5-a-barrel and \$8-a-barrel premiums over the official Nigerian price for access to some of that crude.

Rich, 48, runs his international commodities trading operation from Fifth Avenue offices in New York.

It is a business he built after leaving Philipp Brothers (now Philbro Corp.) in 1974.

At Philipp Brothers, Rich was a senior trader and reportedly quit in a salary dispute in the wake of the 1978 Midwest oil embargo. Rich went out on his own, taking several top Philipp Brothers' traders with him.

Rich's name did not surface publicly when Denver oilman Marvin Davis negotiated the \$722 million purchase of Twentieth Century-Fox that was approved by Fox's directors in June, 1981. Several months later, Fox officials said Rich was a partner in the deal.

In later filings with the Securities and Exchange Commission, Fox reported that Rich's Netherlands Antilles affiliate, Richco,

was a half-owner in TCF Holdings Inc., the Davis company that purchased Fox. Fox reported that Richco contributed at least \$75

Rich's attorney, Edward Bennett Williams, said, "I can't comment, I never comment on a client's problems or activities, especially when it involves a grand jury."

million in purchase money through an irrevocable letter of credit and an undisclosed amount of cash.

Through the purchase, Davis and Rich have turned the publicly held film company into a privately held one.

Davis subsequently added several prom-

inent Americans to its board of directors, including former President Gerald R. Ford, former secretary of state Henry A. Kissinger and Washington attorney Williams, who has handled legal matters for Davis for some time.

There has been no suggestion that Rich's participation in the purchase of Fox was related to the crude-oil transactions under investigation.

According to Ratliff of West Texas Marketing, he and Troland were introduced to the Rich organization by one of Troland's former colleagues who had gone to work for Rich.

Ratliff also told investigators that beginning in September, 1980, Rich's company allegedly sold cheap, price-controlled crude oil to West Texas Marketing, which in turn sold equal volumes of crude at world prices, thus creating a huge profit margin, much of which was retained for the Rich firm.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certifications on volumes of crude oil from price-controlled levels

of about \$12 a barrel to high, world price levels of \$30 a barrel or more.

Instead of paying the allegedly illegal profits directly to Rich's U.S. firm, the Texas firm allegedly transferred the money to Rich's overseas affiliate and disguised the actions by creating paper losses through a series of foreign crude transactions.

The transfer was allegedly arranged this way: Rich's Swiss affiliate sold on paper certain volumes of foreign crude oil at world prices to West Texas Marketing. The Texas firm turned around and resold the fictional crude at less than the world price, thus creating huge losses.

The losses were formulated to equal Rich's profits from the domestic crude-oil sales.

By manufacturing this loss, the West Texas Marketing officials "balanced" their books and allegedly hid the Marc Rich profits that had been transferred offshore to Marc Rich & Co., AG.

A spokesman for the U.S. attorney's office in New York declined to comment on the investigation.

Date: 10/18/82
Edition: WASHINGTON POST
Title: PROBE
Character:
or
Classification: 196-1774
Submitting Office: JB

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(CDRU #01-081)

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(Mount Clipping in Space Below)

Top Oil Trader Focus of Probe On Tax Evasion

By Patrick E. Tyler
The Washington Post

Marc Rich, half-owner of Twentieth Century-Fox Film Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted \$23 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the IRS to determine whether Rich's intricate worldwide oil-trading practices violated tax law.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Troland, who at that time were operating West Texas Marketing Corp. of Abilene. According to Ratliff, he and Troland were introduced to the Rich organization by one of Troland's former colleagues who had gone to work for Rich.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions. They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's companies. Ratliff and Troland said in telephone interviews that they told investigators their firm engaged in the following transactions: Rich and his U.S. company, Marc Rich & Co. International Ltd., sold volumes of allegedly price-controlled crude to the West Texas company. Subsequently, the Texas company allegedly claimed the crude was unregulated and more than doubled the price, thereby generating enormous profits. Most of the profits allegedly were held for Rich and later transferred to the account of his Swiss company. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly faked additional transactions that lost an amount of money equal to those profits.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certifications on volumes of crude oil from price-controlled levels of about \$12 a barrel to world price levels of \$30 a barrel or more.

Rich, 48, could not be reached for comment on the allegations being investigated and declined an earlier request for an interview. In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has asked me to advise you that the policy of our firm is not to grant interviews." Rich's attorney, Edward Bennett Williams, also declined to comment.

Rich's vast crude-oil trading operation reportedly has controlled perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis.

(Indicate page, name of newspaper, city and state.)

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HEREIN IS UNCLASSIFIED
DATE 8/21/01 BY SPIC/025
(CDEU #01-081)

Date: 10/18/82

Edition: NEWSDAY

Title:

Character:

or

Classification:

Submitting Office:

196-1774-57

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 10/29/92

b6 [redacted]
b7C [redacted] telephone number [redacted] was advised of the identity
b3 of the interviewing agent and stated that he knew of the subpoena
being served to him as he had been in contact with the U.S. Attorney's office in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

*Original subpoena
given to AUSA* [redacted] 11/8/82 [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3CJ/EDS
(CDRU #01-081)

Investigation on 10/27/82 at [redacted]File # HO 196B-881NY 196B-1774by SA Helen I. Bachor/pbsDate dictated 10/27/82

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 10/25/82

[redacted]
[redacted] telephone
number [redacted] was advised of the identity of [redacted]
[redacted] and stated that he knew of the
purpose of the interview, as he had spoken with the Assistant
United States Attorney (AUSA) in New York. [redacted] was served with
a Grand Jury Subpoena Duces Tecum to bring [redacted] to
the Southern District of New York.

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*Original subpoena
given to AUSA*

11/8/82

[redacted]

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DATE 2/21/01 BY SP5TC/eds
(CDRU #01-081)

Investigation on 10/20/82

at [redacted]

File # HO 196B-881
NY 196B-1774-58

by AT [redacted] /pbsDate dictated 10/20/82 *ST*

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 10/25/82b6
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[redacted] telephone
number [redacted] was advised of the identity of [redacted]
[redacted] and stated that he knew of the
purpose of the interview, as he had spoken with the Assistant
United States Attorney (AUSA) in New York. [redacted] was served with
a Grand Jury Subpoena Duces Tecum to bring [redacted] to
the Southern District of New York.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/IDS
(CDRU #01-081)

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Investigation on 10/20/82 at [redacted] File # HO 196B-881
[redacted] by AT [redacted] pbs Date dictated 10/20/82
[redacted] NY 196B-1774-38

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 10/29/92

[redacted]
[redacted]
[redacted] telephone number [redacted] was advised of the identity of the interviewing agent and stated that he knew of the subpoena being served to him as he had been in contact with the U.S. Attorney's office in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

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DATE 2/2/01 BY SP3JC/pds
(CDRU #01-081)

Investigation on 10/27/82 at [redacted]

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File # HO 196B-881
NY 196B-1774-59by SA Helen I. Bachor ^{HIP} / pbsDate dictated 10/27/82

FBI

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☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 10/25/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
 dba Marc Rich and Company
 ET AL
 FBW - ENERGY RELATED
 (OO: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on
 [redacted], and the original and one copy of [redacted]
 [redacted] FD-302. Also enclosed are the original subpoena served on
 [redacted] and the original and one copy of an FD-302 on [redacted]

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2 - New York (Enc. 6) JLC
 2 - Houston
 JDB/pbs
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ORIGINAL DOCUMENTS ENCLOSED
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 (CDRU #01-081)

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196B-1774-60
 1982
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Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

x Airtel

10/25/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on

[redacted], and the original and one copy of [redacted]
[redacted] FD-302. Also enclosed are the original subpoena served on
[redacted] and the original and one copy of an FD-302 on [redacted]

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② - New York (Enc. 6)
2 - Houston
JDB/pbs
(4)

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DATE 2/2/01 BY SP3TC/EDS
(CDRU #01-081)

196B-1774-60

Memorandum



To : SAC, Div. II (196-1774)(P) Date 10/26/82

From : SA [redacted]

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Subject : MARC RICH,
ETAL
FBW - ENERGY RELATED
OO: NY

Attached are photocopies of all FD-302's which are part of the referenced case file to date. Another set of these FD-302s were furnished to

AUSA [redacted] on 10/26/82. b6
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AUSA [redacted] requested these documents on 10/25/82.

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/eds
(CDEU #01-081)

196B-1774-61

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82

[redacted]
[redacted] accepted
service of a subpoena for [redacted]
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSJC/PD3
(CDRU #01-081)

Investigation on 10/15/82File # NY 196-1774b6
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b3by SC [redacted]Date dictated 10/15/82

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that [redacted] before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands that [redacted]

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[redacted]

[redacted]

Exhibit 1

[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/PDS
(CDRU #01-081)

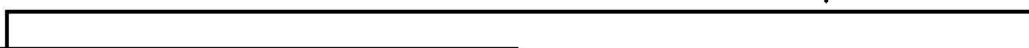
Investigation on 8/19/82 at [redacted] File # NY 196B-174-50
by SA Helen I. Bachor/rbp Date dictated 8/19/82
HO 196B-881

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Exhibit 2



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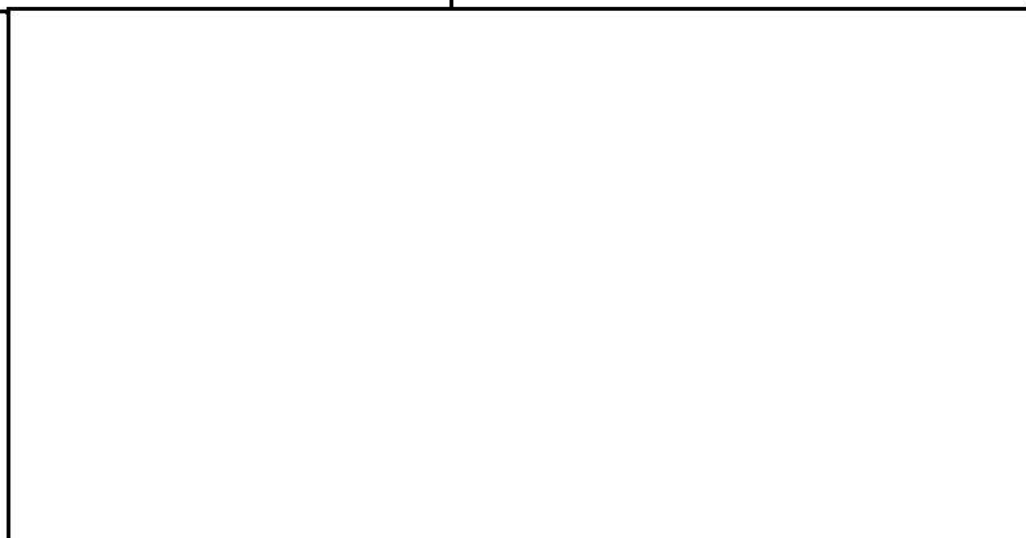
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was
furnished a copy of a subpoena duces tecum commanding that any
[redacted] appear before the
Federal Grand Jury, U.S. District Court, Southern District
of New York, New York City, New York on [redacted] at
[redacted] It commands that [redacted]
[redacted]

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Exhibit 1

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

5. [redacted]

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSJC/eps
(CDRU #01-081)

Investigation on 8/18/82 at [redacted] File # NY 196B-1774-119
HO 196B-88
by SA Helen I. Bachor/rbp ^{NIB} Date dictated 8/19/82

HO 196B-881

2



b3

Exhibit 2

1.

2.

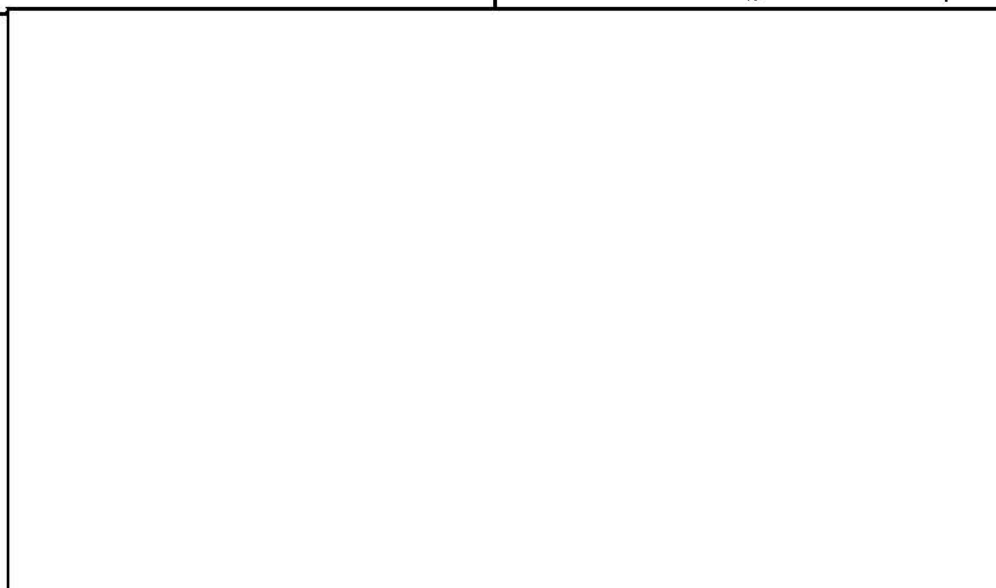
3.

4.

5.

6.

7.



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted] provided the following information:

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

Investigation on 6/29/82 at Telephonic New York, New York File # NY 196B-177-41
by SA [redacted] :geg Date dictated 6/29/82

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted]
[redacted] New York, appeared at the United States Attorney's
Office, One St. Andrews Plaza, New York, New York, accompanied
by his attorney, [redacted], to provide handwriting exemplars.

b6
b7C

[redacted] furnished ten 3"x5" cards with his name in
script. He then furnished three handwritten copies of three
sheets of paper entitled [redacted] September thru December,
1980".

The following descriptive data was obtained by interview
and observation:

Sex: Male
Race: White
Hair: [redacted]
Date of Birth: [redacted]
Business Address: [redacted]

b6
b7C

After supplying the handwriting exemplars, [redacted] and
his attorney proceeded to the New York office where [redacted] was
photographed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY SP5TC/ED
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774-10

by SA [redacted] geg : [redacted] Date dictated 6/7/82

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 3/1/82

[redacted]
[redacted]
[redacted] telephone number [redacted]
was contacted at his place of employment.

b6
b7C
b3

He was informed of the official identities of the interviewing Agents. Agent [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to [redacted]. The subpoena commands an appearance and the production of [redacted] U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

b6
b7C
b3

Investigation on 2/18/82 at [redacted] File # ny 196B-1774-29
by SAs and [redacted] DAS/dls Date dictated 2/22/82
Houston 196B-881

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 3/1/82

[redacted]
[redacted]
[redacted] Texas, telephone number [redacted] was contacted
at his place of employment.

He was informed of the official identities of the
interviewing Agents. SA [redacted] served Mr. [redacted] a Grand
Jury subpoena duces tecum, issued by the Southern District of
New York, directed to [redacted] The subpoena
commands an [redacted]

[redacted] U.S. Courthouse,
Foley Square, in the Borough of Manhattan, City of New York.

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRL #01-081)

b6
b7C
b3

Investigation on 2/18/82 at [redacted]

File #

NY 196B-1771-28
Houston-196-881

by [signature] SAs
and [redacted]

[signature] DAS/dls

Date dictated

2/22/82

NY 196B-1774



b6
b7C
b7D

FEDERAL BUREAU OF INVESTIGATION

Date of transcription
12/11/81

1

[redacted]
[redacted]

provided the below described original documents:

1.

[redacted]
[redacted]

b6
b7C
b7D
b3

2.

[redacted]
[redacted]

3.

[redacted]
[redacted]

4.

[redacted]
[redacted]

b7D
b3

5.

[redacted]
[redacted]

6.

[redacted]
[redacted]

7.

[redacted]
[redacted]

b7D
b3

8.

[redacted]
[redacted]

9.

[redacted]
[redacted]

see 1A #1

Interviewed on 11/8/81 at [redacted]

By SA [redacted]

kvk

b6
b7C
b7D

File # NY 196B-1774 - 1

Date Dictated 11/13/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SP5TC/EDS
(CDRU#01-081)

NY 196B-1774

2

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16.

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19.

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21.

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b3

b6
b7C
b7D
b3

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/21/81

[redacted] advised that his residence is at

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

[redacted]

6/18/81, 7/30/81
and 9/30/81

Investigation on [redacted] at [redacted]

SAs
and [redacted]

MMW/rbp

b6
b7C
b7D

Date dictated 10/21/81

NY 196B-1774-1
HO 196B-881

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 11 1981	
FBI - NEW YORK	

b6
b7C

*Copies
To
AUSA
10/26/81*

DU



b6
b7C
b7D



b6
b7C
b7D



FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/9/82

TO: ADIC, NEW YORK (196B-1774)
 FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
 RE: MARK RICH,
 dba Marc Rich and Company
 Et Al
 FBW - ENERGY RELATED
 OO: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena
 served on [redacted] on 8/31/82.

b3

On 11/9/82, it was determined that the subpoenaed
 [redacted] will be furnished directly to the U.S. Attorney's
 Office, New York City.

b6
 b7C
 b3

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/EDS
 (CDRU #01-081)

*Subpoena given to
 AuSA*

11/29/82

② - New York (Enc. 1) *gyc*
 1 - Los Angeles

TRG/BAR
 (3)

196B-1774-62
 602

b6
 b7C

Approved: *RTB/Ry*

Transmitted

(Number)

(Time)

Per

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/9/82

TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
RE: MARK RICH,
dba Marc Rich and Company
Et Al
FBW - ENERGY RELATED
00: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena
served on [redacted] on 8/31/82.

b3

[redacted] On 11/9/82, it was determined that the subpoenaed
will be furnished directly to the U.S. Attorney's
Office, New York City.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/eds

196B-1774-62
[Handwritten initials and stamps]

② - New York (Enc. 1)
1 - Los Angeles

TRG/BAR
(3)

Approved: [Signature]

Transmitted

(Number)

(Time)

Per

AIRTEL

12/16/82

TO: SAC, CLEVELAND
FROM: ADIC, NEW YORK (1962-1774) (P) (M-1)
SUBJECT: MARC RICH;
LT AL,
FBI - ENERGY RELATED
(OO: NY)

ReAirtel to New York, 11/9/82; and Hqairtel to New York, 10/25/82.

Enclosed for Cleveland is the original and one copy of a subpoena. Enclosed for Houston is the original and one copy of a subpoena. Enclosed for Los Angeles are the original and one copy each of two subpoenas. Enclosed for Louisville is the original and one copy of a subpoena.

For the information of Cleveland and Louisville, investigation at New York has determined that referenced energy related fraud may exceed \$25 million. FISA, SONY has initiated Grand Jury segment of investigation.

LEADS

CLEVELAND DIVISION

AT CLEVELAND, OHIO.

Will serve enclosed subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RS

(CDU # 01-081)

- 602
- 2 - Cleveland (Encls. 2)
 - 2 - Houston (1962-391) (Encls. 2)
 - 2 - Los Angeles (1961-2709) (Encls. 4)
 - 2 - Louisville (Encls. 2)
 - ② - New York

(1 - Supv. M-1)
CJH:ME (10)

196B-1774-63

SEARCHED	INDEXED
SERIALIZED	FILED
DEC 17 1982	
FBI - CLEVELAND	

NY 1968-1774
CJL:mms

HOUSTON DIVISION

AT HOUSTON, TEXAS.

Will serve enclosed subpoena.

LOS ANGELES DIVISION

AT LOS ANGELES, CALIFORNIA.

Will serve enclosed subpoena.

LOUISVILLE DIVISION

AT ASHLAND, KENTUCKY.

Will serve enclosed subpoena.

Memorandum



To : Special Clerks (196-1774) Date 12/20/82

From : SA [redacted] (M-1)

b6
b7C

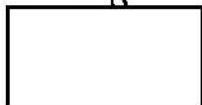
Subject : MARC RICH

Et Al

FBW - Emergency Related

OO: NY

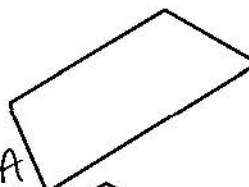
It is requested that the attached subpoena be served on the [redacted]



b6
b7C
b3

12-20-82
OK
3

Original subpoena
returned to AUSA
1/14/83.



ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196-B 1774-63A

SEARCHED	INDEXED
SERIALIZED	FILED

LEAD TO → SC

LIC

x

AIRTEL

12/21/82

TO: SAC, CHICAGO
FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)
SUBJECT: MARC RICH;
ET AL;
FBW - ENERGY RELATED
(OO: NY)

ReNYairtel to Cleveland, dated 12/16/82.

Enclosed for Chicago is the original and one copy of a subpoena. Enclosed for Houston are the original and one copy each of two subpoenas.

For the information of Chicago, investigation at New York has determined that captioned energy-related fraud may exceed \$25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

CHICAGO DIVISION

AT SCHAMBERG, ILLINOIS. Will serve enclosed subpoena.

HOUSTON DIVISION

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

- 2 - Chicago (Encs. 2)
- 2 - Houston (Encs. 4)
- 2 - New York

GJL:ems
(6)

mms

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/eds
(CDR #01-081)

196B-1774-64

SEARCHED	INDEXED
SERIALIZED	FILED
DEC 21 1982	
FBI - CHICAGO	
162	

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 12/27/82

TO: ADIC, New York (196B-1774) (M-1) .
 FROM: *g/f* SAC, Cleveland (196B-956) (SQ. 6) (RUC)
 MARC RICH;
 ET AL.
 FBW - ENERGY RELATED
 OO: New York

Reurairtel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.

*Original executed
 Subpoena returned
 to AUSA
 on 1/5/83*

b6
 b7C
 b3

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP3JC/eds
 (CDRU #01-081)

OK
 2 New York (Enc. 1) (RM)
 1 Cleveland

JLR/hs
 (3)

b6
 b7C

196B-1774-65

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 4 1983	
FBI - NEW YORK	

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

XAirtel

12/27/82

TO: ADIC, New York (196B-1774) (M-1)

FROM: SAC, Cleveland (196B-956) (SQ. 6) (RUC)

MARC RICH;

ET AL.

FBW - ENERGY RELATED

OO: New York

Reurairtel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/eds
(CDPR #01-081)

② - New York (Enc. 1) (RM)
1 - Cleveland

JLR/hs
(3)

196B-1774-65

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 4 1983	
FBI - NEW YORK	

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/3/831On December 28, 1982, [redacted]
[redacted]

telephone number [redacted] was contacted at the [redacted]
[redacted] by Special Agent [redacted] who identified
himself to him as a Special Agent of the Federal Bureau of
Investigation (FBI).

b6
b7C
b3

[redacted] was served with a Federal Grand Jury subpoena
Duces Tecum, to testify and provide [redacted] at the United
States District Court, Southern District of New York, New York,
New York, on [redacted] was advised that any
questions concerning this subpoena should be directed to
Assistant United States Attorney [redacted] U.S. Attorney's
Office, New York, New York, telephone [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDR#01-081)

NY 196-1774-66

Investigation on 12/28/82 at [redacted] File # 196B-881by SA [redacted] /bgp Date dictated 12/28/82b6
b7C
b3

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/3/83

TO: ADIC, NEW YORK (196-1774) (M-1)

FROM: SAC, HOUSTON (196-881) (P)

MARC RICH
 ET AL;
 FBW-ENERGY RELATED
 (OO;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for [redacted]
 [redacted] Also enclosed is the original
 and one copy of an FD-302, reflecting service of the subpoena
 on 12/28/82.

b6
 b7C
 b3

*Subpoena &
 copy of FD-302
 furnished AUSA*

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/RS
 (CDRU #01-081)

2 - New York (Enc. 3)
 2 - Houston
 (1-196B-881)
 (1-66-1872)
 HLL/bgp

(4)

Approved: _____

Transmitted _____

(Number)

Per _____

96-1774-67

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 01 1983	
FBI - NEW YORK	

b6
 b7C

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/3/83

TO: ADIC, NEW YORK (196-1774) (M-1)

FROM: SAC, HOUSTON (196-881) (P)

MARC RICH
 ET AL;
 FBW-ENERGY RELATED
 (OO;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for [REDACTED]

[REDACTED] Also enclosed is the original
 and one copy of an FD-302, reflecting service of the subpoena
 on 12/28/82.

b3

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/2/01 BY SP5JC/eds
 (CDEN #01-081)

- ② - New York (Enc. 3)
 2 - Houston
 (1-196B-881)
 (1-66-1872)

HLL/bgp

(4)

196-1774-67

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 6 1983	
FBI - NEW YORK	

Approved: _____

Transmitted _____
(Number)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription January 4, 1982

On December 27, 1982, [redacted]

[redacted] was contacted at [redacted]
by Special Agent (SA) [redacted], who identified
himself to her as a Special Agent of the Federal Bureau of
Investigation, (FBI).

b6
b7C
b3

[redacted] was served with a Federal Grand Jury,
(FJG) subpoena duces tecum, to testify and provide
[redacted] concerning [redacted] at the
United States District Court, Southern District of New
York, New York, New York on [redacted] was
advised that any questions concerning this subpoena should
be directed to Assistant U.S. Attorney (AUSA) [redacted]
[redacted], U.S. Attorney's Office, New York, New York, [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5VC/pds
(CDRU #01-081)

b6
b7C
b3

Investigation on December 27, 1982 at [redacted]
Special Agent [redacted]: md
by [redacted] Date dictated [redacted]

Houston: 196B-1774
Houston: 66-1872
File # New York: 196B-1774-68
December 27, 1982

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription January 4, 1983

On December 27, 1982, [redacted]

[redacted] was contacted at [redacted] by Special Agent [redacted], who identified himself to her as a Special Agent of the Federal Bureau of Investigation, (FBI).

[redacted] was served with a Federal Grand Jury, (FGJ) subpoena duces tecum to testify and provide [redacted] concerning [redacted] at the United States District Court, Southern District of New York, New York, New York, on [redacted] was advised that any questions concerning this subpoenas should be directed to Assistant U.S. Attorney (AUSA) [redacted] U.S. Attorney's Office, New York, New York, [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/pds
(CDRU #01-081)

b6
b7C
b3

December 27, 1982 [redacted]

Investigation on

at

Special Agent [redacted]

md

Houston: 196B-881

Houston: 66-1872

New York: 196B-1774-69

December 27, 1982

by

Date dictated

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/4/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) ^{RUC} ((X))

MARC RICH;
 ET AL
 FBW - ENERGY RELATED
 (OO: NY)

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP3JC/005
 (CDRU #01-081)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for [redacted]

[redacted] Also,
 enclosed is the original and one copy of two FD-302s reflecting
 service of subpoenas to the [redacted]

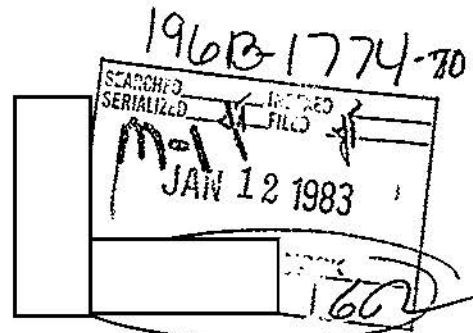
On December 27, 1982, the two subpoenas were
 served to the above [redacted]

Houston Division is placing this matter as RUC,

*Subpoenas
 returned to
 AUSA
 1/13/83*

b6
 b7C
 b3

2-New York (Encs. 6)
 2-Houston (1-196B-881) (1-66-1822)
 HLL/md
 (4)



ORIGINAL DOCUMENT(S) ENCLOSED

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per

AIRTEL

1/4/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) *RUC* ~~(C)~~

MARC RICH;
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for [redacted]

[redacted] Also,
enclosed is the original and one copy of two FD-302s reflecting
service of subpoenas to the [redacted] b3

On December 27, 1982, the two subpoenas were
served to the above [redacted]

Houston Division is placing this matter as RUC.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

2-New York (Encs. 6)
2-Houston (1-196B-881) (1-66-1822)
HLL/md
(4)

196B-1774-20

FF FF

SEARCHED

[Handwritten signature]

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/5/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, LOUISVILLE (196B-744) RUC

MARC RICH;

ET AL;

FBW - ENERGY RELATED

OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82. [REDACTED]

[REDACTED] was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhattan, New York City.

2 - New York
 1 - Louisville
 GJD:kms
 (3)

Where is subpoena?

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5tc/pds
 (CDR #01-081)

1*

196B-1774-71

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 11 1983	
FBI - NEW YORK	

[Signature]

b6
 b7C
 b3

b6
 b7C

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

X Airtel

1/5/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, LOUISVILLE (196B-744) RUC

MARC RICH;
ET AL;
FBW - ENERGY RELATED

OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82, [REDACTED]

[REDACTED] was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhattan, New York City.

b6
b7C
b3

② - New York
1 - Louisville
GJD:kms
(3)

ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP5JC/EDS
(CD RU #01-081)

96 B-1774-71

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SERIALIZED	FILED
JAN 11 1983	

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1*

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/13/83

Ms. [REDACTED]

[REDACTED]
accepted service of a subpoena for any and [REDACTED]
[REDACTED]
[REDACTED]b6
b7C
b3ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/pds
(CDRU #01-081)Investigation on 1/12/83 at [REDACTED] File # NY 196-1774-7a
by SC [REDACTED] rvc Date dictated 1/13/83
b6
b7C
b3

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/13/83

Ms. [REDACTED]

[REDACTED]
accepted service of a subpoena for any and [REDACTED]
[REDACTED]b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 1/12/83

at [REDACTED]

File # NY 196-1774-72by SC [REDACTED]/ rvc

b6

b7C

b3

Date dictated 1/13/83

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PRECEDENCE:

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CLASSIFICATION:

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☐ SECRET
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☐ UNCLAS E F T O
☐ UNCLAS

Date 1/13/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC)

MARC RICH;
 ET AL;
 FBW-ENERGY RELATED
 (OO: NEW YORK)

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 3/21/01 BY SP5 TC/EDS
 (CDRU #01-081)

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to [redacted]

[redacted] advised that she could not accept service of the subpoena because the [redacted]

[redacted] for that purpose [redacted] stated that she would begin to retrieve the [redacted] called for in the subpoena in order to meet the deadline of [redacted]

b6
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On 1/7/83, the enclosed subpoena was served on [redacted]

[redacted] advised that she would contact AUSA [redacted] Southern District of New York at telephone number [redacted] and make arrangements to provide him with the [redacted] called for in this subpoena.

b6
 b7C
 b3

No investigation remains for Chicago, this matter is considered RUC'd.

2 - New York (Enc. 1)
 1 - Chicago
 DJH/cjc
 (3)

Original Subpoena returned to AUSA 2/1/83 G2

196B-1774-72A

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 27 1983	
[redacted]	

1607

b6
 b7C
 b3

Approved: EDH/KUM

Transmitted _____ (Number) (Time)

Per _____

FBI

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PRECEDENCE:

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CLASSIFICATION:

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☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/13/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC)

MARC RICH;
 ET AL;
 FBW-ENERGY RELATED
 (OO: NEW YORK)

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to [redacted] Attorney. [redacted] [redacted] advised that she could not accept service of the subpoena because the [redacted] employed [redacted] for that purpose [redacted] stated that she would begin to retrieve the [redacted] called for in the subpoena in order to meet the deadline of [redacted]

b6
 b7C
 b3

On 1/7/83, the enclosed subpoena was served on [redacted] [redacted] advised that she would contact AUSA [redacted], Southern District of New York at telephone number [redacted] and make arrangements to provide him with the [redacted] called for in this subpoena.

b6
 b7C
 b3

No investigation remains for Chicago, this matter is considered RUC'd.

② New York (Enc. 1)
 1-Chicago
 DJH/cjc
 (3)

ALL INFORMATION CONTAINED
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 DATE 2/01/01 BY SP5JC/EDS
 (CDRU #01-081)

196B-1774-22A

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 27 1983	
FBI - NEW YORK	

115

Approved: _____ Transmitted: _____ Per: _____
 (Number) (Time)

TITLE: MARC RICH
dba Marc Rich and Company;

JAN 14 1983

FBW-ENERGY RELATED
(OO:NY)

b6
b7C

File: 196B-1774

Case Agent:

Supervisor:

- I. Date Investigation Began: 10/21/81
- II. Type of Investigation: GCI
- III. Date of FBIHQ/Departmental Approval: N/A
- IV. Date Investigative Authority Expires: N/A
- V. Basis of Investigation:

provided information that

b6
b7C
b7D

VI. Major Objectives:

- 1) Identify all participants in scheme that are both actively and passively involved.

b7D
b7E

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(CDEU #01-081)

196 B-1774-73
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W

VII. Prosecutive Opinion:

AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted]

b6
b7C
b3
b5

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, [redacted], 2/25/82. Additional U.S. Income of \$15 million not taxed.

3) Affidavits in support of [redacted]

b6
b7C
b3
b7E

4) Motion [redacted]

5) Continued [redacted]

6) Obtain [redacted]

[redacted] to date).

b7E

8) Cooperation of [redacted] could not be obtained.

9) [redacted]

b6
b7C
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b7D
b7E

IX. Milestones anticipated:

1) Obtain cooperation of [redacted]

b6
b7C
b3
b7D
b7E

2) Obtain [redacted]
Subject to results of appeal now in process. Still pending.

3) Complete [redacted]

4) Indictment Possible by May, 1983.

X. Unusual Investigative Techniques Employed/Anticipated:

[redacted] from a [redacted] to ensure

b3

XI. Manpower Anticipated:

[redacted]

b7E

XII. Accomplishments:

Motion to [redacted] for production of [redacted]
[redacted] was settled in favor of the U.S. Government.

b3

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/24/83

Ms. [REDACTED]

accepted service of a subpoena for the following:

b6
b7C
b3

1) [REDACTED]

2) [REDACTED]

3) [REDACTED]

4) [REDACTED]

5) [REDACTED]

6) [REDACTED]

7) [REDACTED]

8) [REDACTED]

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
CDEU #01-081)

Original
Subpoena
relieved to
AUSA

1/25/83
602

[REDACTED]

b6
b7C
b3

196B 1774-74

[REDACTED]

Investigation on 1/18/83 at [REDACTED] File # NY 196-1774by S.C. [REDACTED] mcp Date dictated 1/24/83

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/24/83Ms.
accepted service of a subpoena for the following:b6
b7C
b3

-
- 1)
 - 2)
 - 3)
 - 4)
 - 5)
 - 6)
 - 7)
 - 8)

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDRU #01-081)

Investigation on 1/18/83 at File # NY 196^B-1774-74
by S.C. /mcp Date dictated 1/24/83

b6
b7C
b3

MA

Memorandum



To : Special Clerk (196-1774) (P) Date 1/18/83

From : SA [redacted] (M-1) b6 b7C

Subject : MARC RICH;
ET AL
FBW (B)

Attached is a subpoena re captioned matter.
It is requested that special clerks serve this
subpoena.

[redacted]

b6
b7C
b3

682-4230

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDR #01-081)

LEAD TO
SC'S

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1/18/83

196B 177 4-75
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covered 1-24-83

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CLASSIFICATION:

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☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 1/28/83

TO: SAC, ALEXANDRIA

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH;
 dba MARC RICH AND CO;
 ET AL
 FEW-ENERGY RELATED
 (OO:NY)

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/RDS
 (CDRU #01-081)

ReLAairtel to New York, dated 11/9/82.

Enclosed for Alexandria is one subpoena, Chicago are two subpoenas, Kansas City are two subpoenas, Los Angeles is one subpoena, New Orleans is one subpoena, Oklahoma City are three subpoenas, Philadelphia are two subpoenas, St. Louis is one subpoena, San Antonio is one subpoena and San Francisco is one subpoena.

For information of Receiving Offices, New York Office investigation involves the [redacted]

[redacted] This investigation is in the grand jury stage and depends to a large extent on the results of lengthy [redacted] review.

b3

- 2 - Alexandria (Enc. 1)
- 2 - Chicago (Enc. 2)
- 3 - Kansas City (Enc. 2) (196-902)
- 2 - Los Angeles (Enc. 1) (196-2709)
- 2 - New Orleans (Enc. 1)
- 3 - Oklahoma City (Enc. 3)
- 3 - Philadelphia (Enc. 2)
- 2 - St. Louis (Enc. 1)
- 2 - San Antonio (Enc. 1)
- 2 - San Francisco (Enc. 1)
- ② - New York

196B 1774-76

JF JF

b6
 b7C



78

GJL:dap
 (26)

Dag
M-1

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

LEADS

ALEXANDRIA

AT FAIRFAX, VIRGINIA. Will serve enclosed subpoena.

CHICAGO

AT CHICAGO, ILLINOIS. Will serve enclosed subpoenas.

KANSAS CITY

AT WICHITA, KANSAS. Will serve enclosed subpoena.

AT MC PHERSON, KANSAS. Will serve enclosed subpoena.

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

NEW ORLEANS

AT SHREVEPORT, LOUISIANA. Will serve enclosed subpoena.

OKLAHOMA CITY

AT BARTLESVILLE, OKLAHOMA. Will serve enclosed subpoena.

AT TULSA, OKLAHOMA. Will serve enclosed subpoenas.

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA. Will serve enclosed subpoena.

AT WAYNE, PENNSYLVANIA. Will serve enclosed subpoena.

ST. LOUIS

AT ST. LOUIS, MISSOURI. Will serve enclosed subpoena.

SAN ANTONIO

AT SAN ANTONIO, TEXAS. Will serve enclosed subpoena.

SAN FRANCISCO

AT SAN FRANCISCO, CALIFORNIA. Will serve enclosed subpoena.

(Mount Clipping in Space Below)

26

THE WALL STREET JOURNAL, Monday, January 31, 1983

Chase Is Trapped In Legal Tug of War Between U.S., Britain

* * *

High Court in London Orders
Bank to Ignore Subpoena
For Records by Grand Jury

By a WALL STREET JOURNAL Staff Reporter

NEW YORK—Chase Manhattan Bank is caught in a tug of war between a federal grand jury and a British court over access to some of the bank's records.

Late last week, a judge of the High Court in London ordered the London branch of Chase Manhattan to ignore a subpoena from a federal grand jury in New York to supply records related to Marc Rich & Co., a closely held international oil-trading firm here.

The grand jury is reportedly investigating various transactions of Marc Rich to determine whether the company violated any U.S. laws. The company has banking relations with Chase Manhattan.

Spokesmen for Chase Manhattan, Marc Rich and the U.S. attorney's office in New York declined to comment on the matter.

One of the issues raised by the dispute is to what extent records of U.S. banks held in their overseas offices are subject to access by U.S. law enforcement agencies. Chase Manhattan now faces the possible choice of defying the British court injunction or facing potential contempt-of-court charges in the U.S. for failing to comply with the subpoena.

The High Court judge apparently based his order on the grounds the records were confidential between the bank and its client.

A story in Friday's Financial Times said the grand jury subpoena sought documents of three companies, but none was named.

Besides Marc Rich, the identities of any other companies involved couldn't be ascertained.

(Indicate page, name of newspaper, city and state.)

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(CDRU # 01-081)

Date: 1/31/83

Edition: NY

WALL ST JOURNAL

Title: pg. 26

Character:

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Classification:

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PRECEDENCE:

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☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/3/83

TO: ADIC NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC) (SQUAD 7C)

MARC RICH; dba
 Marc Rich and Co.;
 ET AL;
 FBW-ENERGY RELATED;
 00:NEW YORK

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP3TC/EDS
 (CDRU#01-081)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenas issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on [redacted]

On 2/3/83, the above described subpoenas were served on [redacted] to accept such subpoenas and [redacted] will probably furnish the subpoenaed [redacted] by registered mail to AUSA [redacted] SDNY, New York, New York, or furnish them to the Chicago Office of the FBI.

Chicago is placing this matter in an RUC status and will reopen this matter if [redacted] furnishes the subpoenaed [redacted] to Chicago, forwarding the same to New York.

② - New York (Encl. 2)
 1 - Chicago
 CAK:tm
 (3)

*Original
 subpoenas
 returned to AUSA
 2/10/83*

1*

16B-1774-78
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Approved: EDH/cmm

Transmitted _____ (Number)

(Time)

Per 662

x Aiirtel

2/3/83

TO: ADIC NEW YORK (196B-1774) (M-1)
FROM: SAC, CHICAGO (196B-1865) (RUC) (SQUAD 7C)

MARC RICH; dba
Marc Rich and Co.;
ET AL;
FBW-ENERGY RELATED;
OO:NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5IC/RDS
(CDU#01-081)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenas issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on [REDACTED]

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Chicago is placing this matter in an RUC status and will reopen this matter if [REDACTED] furnishes the subpoenaed documents to Chicago, forwarding the same to New York.

② - New York (Encl. 2)
1 - Chicago
CAK:tm
(3)

1*

196B-1774-78

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b6
b7C
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FBI

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PRECEDENCE:

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☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/2/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: ^{SAP/1}SAC, NEW ORLEANS (196B-999) (RUC)

MARC RICH, dba,
 Marc Rich and Co.;
 ET AL;
 FBW - Energy Related
 (OO:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on [redacted]

[redacted] advised the subpoena would be made available to [redacted]

on
2/3/83.

b6
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 b3

② - New York (Enc. 1) OK
 1 - New Orleans (196B-999)
 TRR:drs
 (3)

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196B-1774-79

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☐ Routine

CLASSIFICATION:

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☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/2/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, NEW ORLEANS (196B-999) (RUC)

MARC RICH, dba,
 Marc Rich and Co.;
 ET AL;
 FBW - Energy Related
 (OO:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on [REDACTED]

[REDACTED]

[REDACTED] advised the subpoena would be made available to [REDACTED]
 [REDACTED] on
 2/3/83.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
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(CDRU #01-081)

② - New York (Enc. 1)
 1 - New Orleans (196B-999)
 TRR:drs
 (3)

196B-1774-79

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b6
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Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
received a Federal Grand Jury Subpoena issued by the
U.S. District Court, Southern District of New York on
January 26, 1983, directing [redacted] to provide [redacted]
etc. specified on said subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted]
Southern District New York, [redacted], as soon as
possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/EDS
(CDRL #01-081)

Investigation on 2/3/83 at [redacted] File # LA 196B-2709
NY 196B-1774-80

by SA [redacted] /BAR [redacted] b6
b7C
b3 Date dictated [redacted]

SEARCHED [initials] INDEXED [initials]
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
received a Federal Grand Jury Subpoena issued by the
U.S. District Court, Southern District of New York on
January 26, 1983, directing [redacted] to provide [redacted]
etc. specified on said subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted]
Southern District New York, [redacted], as soon as
possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5KIC/DS
(CDRU #01-681)

Investigation on 2/3/83 at [redacted] File # LA 196B-2709
[redacted] NY 196B-1774-80
by SA [redacted] /BAR Date dictated 2/3/83
b6
b7C
b3

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
telephone [redacted], received Federal Grand Jury subpoena issued by USDC, Southern District of New York on December 14, 1982, commanding [redacted] to provide [redacted] etc., as specified on the subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted] as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDR #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709
NY 196B-1774-81

by SA [redacted] /BAR b6
b7C
b3 Date dictated

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
[redacted]
telephone [redacted], received Federal Grand Jury
subpoena issued by USDC, Southern District of New York
on December 14, 1982, commanding [redacted] to provide [redacted]
etc., as specified on the subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted]
Southern District New York, [redacted] as soon as
possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/eds
(CDRU #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709
NY 196B-1774-81
by [redacted] SA [redacted] /BAR Date dictated 1/28/83
b6
b7C
b3

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
[redacted] telephone [redacted] received
Federal Grand Jury subpoena issued by U.S.D.C. Southern
District at New York on December 14, 1982, commanding
[redacted] to provide [redacted] etc. as indicated on the
subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted]
[redacted] Southern District New York, [redacted]
as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709
NY 196B-1774 '82

by SA [redacted] (BAR) b6
b7C
b3 Date dictated 1/28/83

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FILED <u>4</u>	
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]
[redacted]
[redacted] telephone [redacted] received
Federal Grand Jury subpoena issued by U.S.D.C. Southern
District at New York on December 14, 1982, commanding
[redacted] to provide [redacted] etc. as indicated on the
subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted]
[redacted], Southern District New York, [redacted]
as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSUC/RDS
CDRU #01-081

Investigation on 1/24/83 at [redacted] File # LA 196B-2709NY 196B-1774-82

b6

b7C

b3

Date dictated 1/28/83

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/8/83

TO: ADIC, NEW YORK (196B-1774)(M-1)(P)
 FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
 RE: MARC RICH;
 dba Marc Rich and Co.,
 Et Al
 FBW; ENERGY RELATED
 OO: New York

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5TE/KPS
 (CDU#01-081)

Re New York airtel to Alexandria, 1/28/83 and
 New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original Federal Grand
 Jury subpoenas for return and one copy of FD-302 interviews
 regarding the following:

[redacted] served on [redacted]
 [redacted] served on [redacted]
 [redacted] served on [redacted]

b6
 b7C
 b3

*Original subpoenas
 given to AUSA
 2/23/83*

ADMINISTRATIVE

New York should note that captioned matter was not
 reopened and assigned at Los Angeles until 1/14/83. AUSA
 [redacted] telephonically advised case agent, Los Angeles, to
 change to FJG appearance dates re [redacted] as indicated
 on enclosed original subpoenas.

DETAILS:

All three of the enclosed FG
 prior to the appearance dates, and the
 instructed to contact AUSA [redacted] S
 as soon as possible.

2 - New York (Enc. 9) *OK*

1 - Los Angeles

JLP/BAR

(3)

196B-1774-83
 enas were served
 na recipients were
 FEB 22 1983
OK

b6
 b7C
 b3

Approved: *RTB/R*

Transmitted _____
 (Number) (Time)

Per _____

LA 196B-2709

As all investigation at Los Angeles is complete,
this matter is RUC.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/8/83

TO: ADIC, NEW YORK (196B-1774)(M-1)(P)
 FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
 RE: MARC RICH;
 dba Marc Rich and Co.,
 Et Al
 FBW; ENERGY RELATED
 OO: New York

Re New York airtel to Alexandria, 1/28/83 and
 New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original Federal Grand
 Jury subpoenas for return and ^{original and} one copy of FD-302 interviews
 regarding the following:

[redacted] served on [redacted]
 [redacted] served on [redacted]
 [redacted] served on [redacted]

b6
 b7C
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ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5IC/OS
 (CDRU #01-081)

ADMINISTRATIVE

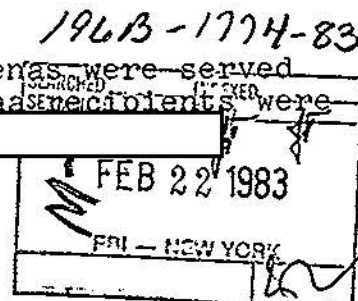
New York should note that captioned matter was not
 reopened and assigned at Los Angeles until 1/14/83. AUSA
 Weinberg, telephonically advised case agent, Los Angeles, to
 change to FJG appearance dates re [redacted] as indicated
 on enclosed original subpoenas.

DETAILS:

All three of the enclosed FGJ subpoenas were served
 prior to the appearance dates, and the subpoena recipients were
 instructed to contact AUSA [redacted] SDNY, [redacted]
 as soon as possible.

2 - New York (Enc. 9)
 1 - Los Angeles
 JLP/BAR

(3)



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 b7C
 b3

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: 2/10 SAC, OKLAHOMA CITY (196B-1149) -RUC-
 SUBJECT: MARC RICH;
 dba Marc Rich and Company;
 ET AL
 FBW-ENERGY RELATED
 (OO: New York)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for the New York Division are the return
 on three Southern District of New York subpoenas which were
 served 2/7/83 at [redacted] and 2/8/83 at [redacted]
 [redacted].

b3

No further investigation remains in the Oklahoma
 City Division, the case is being placed in an RUC status.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5TC/OS
 (CDRU #01-081)

b6
 b7C
 b3

2 - New York (Enc. 3) OK
 1 - Oklahoma City
 GRZ:cl
 (3)

196B-1774-84

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 17 1983	
FBI - NEW YORK	

1662

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: SAC, OKLAHOMA CITY (196B-1149) -RUC-
 SUBJECT: MARC RICH;
 dba Marc Rich and Company;
 ET AL
 FBW-ENERGY RELATED
 (OO: New York)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for the New York Division are the return
 on three Southern District of New York subpoenas which were
 served 2/7/83 at [] and 2/8/83 at []

No further investigation remains in the Oklahoma
 City Division, the case is being placed in an RUC status.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/RDS
 (CDR #01-081)

② - New York (Enc. 3)
 1 - Oklahoma City
 GRZ:cl
 (3)

196^B-1774-84

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 17 1983	
FBI - NEW YORK	

Approved: _____ Transmitted: _____ Per _____
 (Number) (Time)

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 2/10/83

On February 1, 1983, a United States District Court subpoena was served on [redacted]

The subpoena was issued in New York City and concerns the presentation [redacted] to the United States District Court in the Borough of Manhattan, New York City, on [redacted]. [redacted] was advised of the content of the subpoena and was instructed to call [redacted] the Assistant United States Attorney, at [redacted]

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSTC/EDS
(CDEU #01-081)

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Investigation on 2/1/83 at [redacted] File # Alexandria 196B-573
by SA [redacted] gai Date dictated 2/1/83 [initials]

SEARCHED	INDEXED
2/1/83	FILED
FEB 25 1983	
FBI - NEW YORK	
62	

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 2/10/83

On February 1, 1983, a United States District Court subpoena was served on [redacted]

The subpoena was issued in New York City and concerns the presentation [redacted] to the United States District Court in the Borough of Manhattan, New York City, on [redacted]. [redacted] was advised of the content of the subpoena and was instructed to call [redacted] the Assistant United States Attorney, at [redacted].

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSJC/KDS
(CDRU #01-081)

Investigation on 2/1/83 at [redacted] File # Alexandria 196B-573
SA [redacted] gaj b6 b7C b3 New York 196B-1774
by SA [redacted] gaj b6 b7C b3 Date dictated 2/1/83 85

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774)
 FROM: ^{50/}SAC, ALEXANDRIA (196B-573) (RUC)
 MARC RICH
 dba MARC RICH AND CO;
 ET AL
 FBW - ENERGY RELATED
 (OO:NY)

Re ADIC, New York airtel to Alexandria, dated
 1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving
 of United States District Court subpoena on [redacted] and the
 original subpoena which was served them on 2/1/83.

b3

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/RS
 (CDRU #01-081)

OK
 2-New York (Enc. 3)
 1-Alexandria
 DAL:gaj
 (3)

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 b7C

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196B-1774-86

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 18 1983	
FBI - NEW YORK	
Per <i>602</i>	

Approved: _____

Transmitted 90
(Number)

(Time)

Per

x Airtel

2/10/83

TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, ALEXANDRIA (196B-573) (RUC)

MARC RICH
dba MARC RICH AND CO;
ET AL
FBW - ENERGY RELATED
(OO:NY)

Re ADIC, New York airtel to Alexandria, dated
1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving
of United States District Court subpoena on [redacted]
[redacted] and the
original subpoena which was served them on 2/1/83.

b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/205
(CDRU #01-081)

②-New York (Enc. 3)
1-Alexandria
DAL:gaj
(3)

1*

196B-1774-86

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 13 1983	
FBI - NEW YORK	

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 2/10/83

A Federal Grand Jury Subpoena Duces Tecum was
served to [redacted]

[redacted]
by Special Agent [redacted] on February 2, 1983
at 11:10 a.m.

This subpoena was issued from the United States
Attorney's Office for the Southern District of New York and
dated January 26, 1983.

[redacted] agreed to comply with the subpoena
and would contact the San Antonio Field Office of the FBI
for any further information.

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSIC/pds
(CDEN #01-081)

Investigation on 2/2/83 at [redacted] File # ny 196B-1774-87
by SA [redacted] csh WCA b6
b7C
b3 Date dictated 2/4/83

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ ~~Airtel~~

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/11/83

TO: SAC, NEW YORK (196B-1774)
 FROM: SAC, SAN ANTONIO (196B-806) (RUC)

MARC RICH
 DBA Marc Rich and Co.
 et al
 FBW - ENERGY RELATED
 OO: NEW YORK

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/EDS
 (CDRU #01-081)

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

Grand Jury Subpoena Duces Tecum received and
 served to [redacted]

on 2/2/83, at 11:10 a.m. Also enclosed are original and
 one copy of FD-302 re service.

A copy of subpoena was given to [redacted] personally
 and compliance is forthcoming. The original subpoena is
 being returned to New York Office.

b6
 b7C
 b3

*Original subpoena
 & copy of 302 given
 to AUSA*

2/23/83

b6
 b7C
 b3

2-New York (Enc. 3) *OK*
 1-San Antonio
 WCH/csh
 (3)

96B-1774-88

SEARCHED	INDEXED
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FEB 23 1983	
FBI - NEW YORK	

66

Approved: *JND/glc*Transmitted *as*

Per _____

(Number) (Time)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ ~~Airtel~~

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/11/83

TO: SAC, NEW YORK (196B-1774)
 FROM: SAC, SAN ANTONIO (196B-806) (RUC)

MARC RICH
 DBA Marc Rich and Co.
 et al
 FBW - ENERGY RELATED
 OO: NEW YORK

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

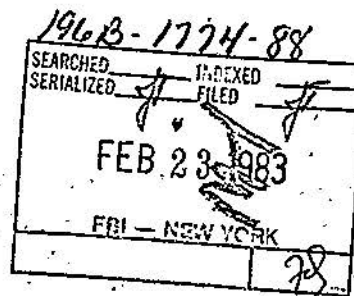
Grand Jury Subpoena Duces Tecum received and
 served to [redacted]

on 2/2/83, at 11:10 a.m. Also enclosed are original and
 one copy of FD-302 re service.

A copy of subpoena was given to [redacted] personally
 and compliance is forthcoming. The original subpoena is
 being returned to New York Office.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5TC/eds
 (CDRU #01-081)

①
 2-New York (Enc. 3)
 1-San Antonio
 WCH/csh
 (3)



Approved: _____ Transmitted _____ Per _____

(Number) (Time)

b6
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FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 2/11/83

[redacted]
[redacted]
[redacted] telephone number [redacted] was
served with a Federal Grand Jury subpoena which ordered that
certain [redacted]
[redacted] be brought before the Grand Jury. This subpoena
commanded appearance on [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 2/3/83

at [redacted]

file #

ny 196B-1774-89
SF 196B-1536

by SA [redacted]

sih

b6

b7C

b3

Date dictated 2/7/83

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 25 1983	
FBI - NEW YORK	
GR	

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FBI/DOJ

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 2/11/83

[redacted]
[redacted]
[redacted] telephone number [redacted] was
served with a Federal Grand Jury subpoena which ordered that
certain [redacted]
[redacted] be brought before the Grand Jury. This subpoena
commanded appearance on [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDEu #01-081)

Investigation on 2/3/83

at

[redacted]

File #

NY 196B-1774-89
SF 196B-1536

by

SA

[redacted]

/sih

b6

b7C

b3

Date dictated 2/7/83

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/14/83

TO: SAC, NEW YORK (196B-1774) (P) (M-1)
 FROM: SAC, SAN FRANCISCO (196B-1536) (RUC) (Squad 8)
 SUBJECT: MARC RICH
 dba Marc Rich and Co.;
 ET AL
 FBW - ENERGY RELATED
 OO: New York

Re New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York is the following:

- 1) Original and one copy of an FD-302 reflecting service of subpoena;
- 2) Original executed subpoena.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY SP5JC/EDS
 (CDRU #01-081)

② - New York (Enc. 3)
 1 - San Francisco
 FW/sih
 (3)

b6
 b7C

96B-1774-90

INDEXED	FILED
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FEB 15 1983	
FBI - NEW YORK	
Per	602

Approved: RGHTransmitted 0

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Per

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☒ AIRTEL

PRECEDENCE:

☐ Immediate☐ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☐ UNCLASDate 2/14/83

TO: SAC, NEW YORK (196B-1774) (P) (M-1)

FROM: SAC, SAN FRANCISCO (196B-1536) (RUC) (Squad 8)

SUBJECT: MARC RICH
dba Marc Rich and Co.;
ET AL
FBW - ENERGY RELATED
OO: New York

Re New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York is the following:

- 1) Original and one copy of an FD-302 reflecting service of subpoena;
- 2) Original executed subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5IC/025
(CDRU #01-081)

② - New York (Enc. 3)
1 - San Francisco
FW/sih
(3)

196B-1774-90

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

(Mount Clipping in Space Below)

Washington Post

TUESDAY, FEBRUARY 15, 1983

Higher in Areas Approximately 75 Miles
From District of Columbia (See Box on A2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/PDS

(CDR #01-081)

Oil Trader Got Millions From ARCO

By Patrick E. Tyler
Washington Post Staff Writer

A New York oil trader's international companies received possibly as much as \$119 million in revenue in an 18-month period by acting as middlemen in the sale of Nigerian crude oil to Atlantic Richfield Co. (ARCO) during the oil crisis of 1979-80.

The oil trader, Marc Rich, now a co-owner of Twentieth Century-Fox Film Corp. with Denver oilman Marvin Davis, had gained access to a large quantity of Nigerian oil pro-

duction before 1979 and was able to command premium prices by reselling it. ARCO lost substantial oil supplies in Iran in early 1979 and subsequently acquired much of Rich's Nigerian oil by agreeing to pay his companies large premiums.

The crude oil was pumped from Nigerian wells and loaded into oil tankers bound for ARCO refineries. Officials of the oil company said they were willing to pay the premiums because the only alternative was to pay higher prices elsewhere.

ARCO first paid the premiums

under two contracts signed with Marc Rich & Co. in mid-1979. The contracts guaranteed Rich \$5-a-barrel and \$8-a-barrel markups over the official price of the Nigerian state oil company on 40,000 barrels of oil a day.

New contracts were negotiated in the summer of 1980 for the Nigerian oil. One carried a \$3-a-barrel markup over Nigeria's official price. The other, signed directly between ARCO and Nigeria, provided a \$2.50-a-barrel consultant fee to Marc Rich & Co.

In some cases, the markup paid by ARCO to Rich's companies under the contracts represented as much as one-third of the price for a 42-gallon barrel of oil. ARCO officials said they did not know what Rich's costs were and what premiums he paid, if any, to obtain the Nigerian oil. His net profits cannot be determined.

The Energy Department began looking into Rich's crude oil trading profits in late 1978, according to L. Kenneth Jones, then a senior en-

See ARCO, A8, Col. 1

2/15/83
Title: *pg 1 - Washington Post*

Character:
or

Classification: *196-1774-90A*
Submitting Office:

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SERIALIZED	FILED
FEB 22 1983	
FBI-NEW YORK	

FBI/DOJ

pg 1/7

*Rec'd by ASAC
told 4/6/83*

A8

Tuesday, February 15, 1983

THE WASHINGTON POST

Trader Made Millions

From ARCO on Nigerian Oil

SEPT. 1979 CONTRACT
RICH SELLS AT \$5
PER BARREL PREMIUM OVER
NIGERIAN OFFICIAL PRICE*
\$47,125,000

**MARC RICH & CO: \$119,280,000 IN
PREMIUMS AND FEES FOR NIGERIAN OIL**

REVENUE
(MILLIONS)
-50

OCT. 1979 CONTRACT
\$8 PER BARREL PREMIUM*
\$38,200,000

-40

AUG. 1980 CONTRACT
\$3 PER BARREL PREMIUM*
\$17,400,000

-30

AUG. 1980 CONTRACT
\$2.50 PER BARREL FEE TO RICH*
\$16,555,000

-20

-10

BARRELS
SHIPPED
FOR ARCO
(MILLIONS)

*RICH'S ACTUAL PURCHASE PRICE FROM NIGERIA IS NOT KNOWN

By Gail McCrocy-The V

Character:

or

Classification:

Submitting Office:

pg 2/7

ARCO, From A1

enforcement official. Jones and other enforcement officials said price control regulations in effect at the time generally restricted—to their historical levels—the amount of net profit that traders could charge, usually less than \$1 a barrel.

On Feb. 5, 1981, the Energy Department issued a subpoena for the records of Rich's oil trading activities from October, 1978, through December, 1980, and some records have been produced, according to Jones and Energy Department status reports. Last fall, the department projected that the investigation would be completed later this year.

Neither Rich nor his Washington attorney, Edward Bennett Williams, would comment.

Since Rich and Davis purchased Twentieth Century-Fox Film in April, 1981, the company has repurchased its publicly traded stock and returned to private status.

As such, the new owners have attracted prominent figures to sit on Fox's board of directors, including former president Gerald R. Ford, former secretary of state Henry A. Kissinger and Williams, who is also Davis' attorney.

In an unrelated matter, The Washington Post reported last October that Rich was under investigation by a federal grand jury in New York for allegedly diverting \$23 million in profits from west Texas crude oil transactions to his Swiss firm in an alleged tax avoidance plan.

There were widespread reports at the time of the 1979-80 oil crisis about huge premiums being paid to oil traders who, by good fortune or business connection, positioned themselves to profit from the chaos of the international petroleum market.

But the story of ARCO's relationship with Marc Rich and Nigeria represents the first documented account of one company's arrangement with a major trader and gives a glimpse of a heady international market normally hidden from public view by competitive secrecy and extremely high stakes.

Rich was able to command the premium prices from ARCO in part because ARCO had been more heavily dependent on Iranian crude oil for its foreign supplies than most other U.S. companies.

When Iran, at the height of its revolution, abruptly canceled contracts to supply ARCO with 125,000 barrels of oil a day, ARCO officials said they were forced to scramble to find new supplies.

According to ARCO attorney Richard C. Morse, the company turned to Marc Rich because the prices he was offering, though high, were still less than the prices on the international spot market, where crude oil is traded at auction.

During most of the 12-month term of the initial contracts, spot market prices for Nigerian oil remained above the price levels ARCO was paying to Rich. But they later fell below the level fixed in the ARCO contracts.

At the time we signed them [the contracts], they were very attractive deals," Morse said. "Had Atlantic Richfield not entered into its Nigerian oil supply contracts, but instead stayed with the volatile spot market, it probably would have ended up paying more than it did pay."

ARCO decisions, Morse added, "in hindsight, were appropriate...."

History has confirmed the correctness of Atlantic Richfield's decisions to help keep its refineries full and its customers supplied.... Adequate supplies dampened a potentially explosive panic situation....

The spot market is where various volumes of crude oil are traded on a one-time basis, as opposed to being purchased under long-term contracts.

"Spot" transactions are arranged by professional traders, governments and oil companies over telephone and telex lines for uncommitted tanker loads or pipeline "batches" around the world. The market is monitored from trading centers such as Rotterdam, New York and Houston.

How Rich had the foresight to lock up substantial supplies of Nigerian oil in late 1978 before the world crisis struck is not known. But a trader in such a position gambles against economic devastation if prices do not advance.

The figures relating to ARCO's contracts with Marc Rich & Co. were provided by ARCO officials during four months of inquiry by The Washington Post. Under the contracts, ARCO received 27 million barrels of Nigerian crude oil from late 1979 to early 1981.

Depending on what, if any, pre-

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 Submitting Office:

(Mount-Clipp)

miums Marc Rich & Co. paid to obtain the Nigerian oil that was resold to ARCO, the contract figures indicate that his maximum revenues were as much as \$85 million on the two 1979 contracts and \$34 million on the 1980 contracts.

The fact that large premiums added significantly to the overall price of a barrel of oil also supports the claim of some government officials that the skyrocketing prices felt by consumers in the United States and the world were not exclusively the result of price increases by the Organization of Petroleum Exporting Countries (OPEC).

Energy Department price control regulations also allowed U.S. oil companies to increase their profit-taking in the tight market fostered by the Iranian crisis.

From January, 1979, through early 1981, the world price of crude oil raced from about \$15 a barrel to more than \$40 a barrel. Today, the Saudi Arabian benchmark price is \$34 a barrel, but average world prices are closer to \$29.

During the period of the contracts, ARCO often paid more for Nigerian crude oil than did any other American company, according to an official familiar with the Energy Department's confidential "Crude Watch" computer survey.

The official, Paul L. Bloom, then special counsel for Energy Department price control enforcement, said in an interview, "The department's monitoring of costs of crude oil lifted for import to the United States through many months in 1979 and 1980 frequently and repeatedly demonstrated that ARCO was paying prices for Nigerian crudes significantly higher than prices paid by any other importers of the same crudes."

ARCO officials said they could not get better prices in Nigeria and that the alternatives elsewhere were more costly.

Marc Rich operates through Marc Rich + Co. International Ltd. in New York, Marc Rich + Co. A.G. in Zug, Switzerland, and other affiliates in London and the Netherlands Antilles. Though not well known publicly, Rich is something of a legend in the oil industry as one of the largest international crude oil traders who broke away from Philipp Brothers in 1974.

Before the Iranian crisis, in November, 1976, and again in September, 1978, Rich's companies in Switzerland and New York obtained crude oil supply contracts from the Nigerian National Petroleum Co. (NNPC), according to Nigerian government documents. Industry newsletters reported that Rich controlled as much as 50,000 barrels a day of Nigerian production. The world price of oil then was about \$15 a barrel.

In the fall of 1978, the momentum of the Iranian revolution was spreading to Iran's oil fields. By the end of the year, all production had ceased. "The cutoff was immediate, with ships in transit," said ARCO's Morse.

At the same time, ARCO was notified that other U.S. oil companies were curtailing supplies of Saudi Arabian crude that they had been selling to ARCO. By the end of 1979, ARCO's total loss of supply grew to 200,000 barrels a day out of an 800,000 barrel-a-day requirement, company officials said.

"What we had to do was to go out and buy that crude from somebody, somewhere," said James S. Morrison, president of ARCO Petroleum Products Co., which supervises crude oil purchases. "We had a lot of purchases on the spot market, and we made the decision that if we were to try to buy all of it on the spot market... we could... cause the spot market to go even higher than it was."

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The energy secretary at the time, James R. Schlesinger, was calling on American oil companies to refrain from making purchases in the spot market out of fear that higher prices paid there would put pressure on OPEC countries to accelerate their price increases.

Morrison said ARCO officials decided in mid-1979 to try to obtain contracts for some of the crude oil it was purchasing on a "spot" basis up until that time.

In August, 1979, ARCO turned to Rich, from whom it had been buying Nigerian crude on a "spot" basis for some time. "There were lots of phone-booth operators who claimed to have all kinds of crudes," Morse said. "We knew him well and knew that he was one of the most reliable traders in the sense that if he had some crude, he really had it."

"Two contracts were executed on Aug. 28, 1979, one for 25,000 barrels per day effective Sept. 1, 1979, and the other effective Oct. 1, 1979, for 15,000 barrels per day," Morse said.

According to Morse and Morrison, ARCO paid Rich the official posted price of the Nigerian state oil company plus \$5 a barrel on the 25,000 barrel-a-day contract. On the second contract, for 15,000 barrels a day, ARCO paid Rich the official government price plus \$8 a barrel.

With the official Nigerian price for its various grades of crude oil then ranging from \$22 to \$24 a barrel, the \$8-a-barrel premium represented one-third of a barrel's official price.

"It's kind of like buying a car," Morse said. "You never know if you've gotten the best deal the guy was willing to give, but you had a sense that . . . if you aren't willing to pay it, you won't get a contract."

ARCO officials said they received a total 9.4 million barrels during the term of the first contract for 25,000 barrels a day, which yielded up to

\$47.1 million in premium payments to Rich. On the second contract for 15,000 barrels a day, the company said it received 4.8 million barrels of crude, which increased the gross revenues to Rich for the first 12 months to as much as \$85 million.

Rich's revenues may have been reduced by premiums he may have been forced to pay the Nigerian state oil company. Nigeria was one of several OPEC countries that attempted to extract from its customers a premium over and above Nigeria's official price during 1979.

Industry newsletters were reporting that some companies were paying a premium of \$1.50 a barrel over the official price. Since Rich had signed some supply contracts in Nigeria before the crisis when oil was plentiful, it is not known whether Rich paid any additional premiums to Nigeria.

Petroleum Intelligence Weekly reported in September, 1979, that Nigerian oil officials had "settled for price surcharges of roughly 50 cents a barrel over official prices (trivial in the context of current world prices for 'extra' oil supplies) and in some cases even zero premiums." If Rich were paying a \$1.50 a barrel premium, his revenues in the first 12 months would have been reduced from \$85 million to about \$64 million.

"He [Rich] probably made a fortune," Morrison said. "It's a big margin for a trader, but at the same time . . . he wanted the same kind of arrangement we wanted. We wanted some assurance that we'd have the product in the future and . . . he wants to be sure he has some kind of term sale for it."

By the end of the 12 months, the international petroleum market had cooled significantly. ARCO officials said they then decided to try to establish a long-term supply relationship directly with the Nigerian state

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oil company to meet ARCO's projections that demand for petroleum products would continue rising and prices would remain high.

"Executives responsible for crude oil supply . . . made numerous, unsuccessful visits to Lagos [the Nigerian capital] attempting to arrange for the supply of crude," Morse said.

In July, 1980, ARCO again turned to Rich. By this time, ARCO's Morse said, spot market prices had dipped below the official Nigerian price of about \$37 a barrel. ARCO signed one new contract with Marc Rich & Co. agreeing to pay the official Nigerian selling price plus a \$3-a-barrel markup beginning Aug. 1, 1980. This contract generated gross revenues to Rich of up to \$17.4 million over its term.

A second contract was signed directly with the Nigerian state oil company, but Marc Rich & Co. received a consulting fee for having helped arrange this contract and for "contract administration services."

Spot market prices recovered briefly that fall and then continued at a steady downward pace through the rest of 1980 and into 1981. On a number of purchases in 1980 and 1981, ARCO paid more for Nigerian crude purchased through Rich than it could have paid for similar crude on the international spot market.

ARCO officials said they had not foreseen the drop in spot market prices. Morse said ARCO was aware of projections in the industry that demand for oil would fall in late 1980. But he said ARCO continued to concentrate on purchases of Nigerian oil because it still wanted to build a long-term supply relationship with Nigeria and because Nigeria's high-grade crudes were well suited to the technical requirements of ARCO's Philadelphia refinery.

"In hindsight, we should have known we were right on the brink of that precipice" of falling prices, said Morrison, noting that after "signing

this deal . . . demand started going to the toilet, but that's the benefit of hindsight, and that's what makes horse races, I guess."

On the second of the two contracts—the one made directly with the Nigerian oil company, with assistance from Rich—ARCO drew 8.6 million barrels over nine months. ARCO paid the Nigerians the official selling price for this crude and in the side agreement ARCO agreed to pay Marc Rich & Co. a consulting fee of \$2.50 a barrel for Rich's assistance.

This consulting fee amounted to \$16.5 million, and ARCO included the fee as part of its crude oil costs that were reported to the Energy Department. According to Morrison, ARCO paid the consulting fee to Rich for using "his good offices to help us be able to make a deal straight with Nigeria."

"When it was discovered that we had been incorporating that fee into the . . . [cost] of crude, it was my judgment that that was not something that the [federal] regulations contemplated," Morse added.

He said that, although the fee was inadvertently included in ARCO's costs that could be passed through to consumers, the oil company did not pass it through "because of the market."

By the time Morse and others discovered that the fee had been included in ARCO's crude cost base, President Reagan had abolished price controls on oil. In a May, 1981, letter attached to a monthly filing to the Energy Department, ARCO stated that the fees to Rich had been "backed out," for accounting purposes, of ARCO's crude cost records for the period, Morse said.

Bloom, the Energy Department's special counsel at the time, called ARCO's treatment of the Rich consultant's fee an act of "dubious legality . . . as a legitimate cost under the regulations in my opinion."

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Bloom also said that in 1980 he began a separate investigation of ARCO's contract arrangements in Nigeria. This investigation remained incomplete when he left office in early 1981.

Bloom said he called Morse and other ARCO officials to meetings in Washington and questioned them about the details of the contracts. In answering questions, ARCO officials "never disclosed," Bloom said, the consulting fees to Rich or the fact that the payments were being included in the cost of crude oil as calculated under the price control regulations.

"That would have been a tremendous red flag if they [ARCO officials] were paying a broker or fees on what was being reported as direct contract purchases from the Nigerian government," Bloom said.

"My best recollection is that we did disclose that we had entered a services and consulting agreement whereby we paid fees to Marc Rich," replied Morse, "but that they were not included in the cost of crude because . . . I was operating on the assumption that they were not included."

Morse said he only discovered months later that he had been wrong on how ARCO treated the Rich fees. He said the Energy Department was notified about this in the May, 1981, letter, after Bloom had left.

Morrison, when asked why it was in Rich's interest to help ARCO deal directly with the Nigerian government, thus eliminating the need for a middleman, replied, "Well, we paid him for helping and it was either that or he wasn't going to get anything . . . [and] he probably was tumbling to the realization that the Nigerians are going to cut him out anyway."

Another issue faced by ARCO was that Rich was doing business in a country widely known for its persistent corruption problems.

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"We explained our understanding of the Foreign Corrupt Practices Act to Mr. Rich and he understood and knows that law and we have a provision in our contract with him that none of the fees were to be used for any unlawful payments," Morse said, adding that Rich had legitimate arrangements for dealing with the Nigerian government.

ARCO maintained the contract relationship until May, 1981. "While it [ARCO] had the option of breaching the 1980 contracts when, in late 1980-early 1981 it appeared they were not advantageous, ARCO elected to terminate as contractually permitted rather than prematurely breach its covenants," Morse said.

ARCO's Morrison said the company's original justification for pursuing a Nigerian connection had fallen by the wayside for two reasons: first, spot market prices were destined to stay below the prices ARCO had contracted for in Nigeria and, second, the worldwide demand for crude had subsided causing ARCO to cut back its refinery operations.

"So you say, 'Now the world looks different to me than it did nine months ago,' and making another call I say, 'Have I made a bad investment and should I cut my losses?'" Morrison said the answer was yes: "The whole rationale underlying the need for that Nigerian contract and a permanent on-going relationship is much diminished."

"You have to keep in mind that the overall framework at the time, political sensitivities and so forth, that the paramount thing in our mind is keep the refineries full and don't be accused of creating a shortage," Morrison added. "And, for Christ's sake, try to buy the crude at the lowest price you can, but get the crude. That was the orders for the crude people."

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Submitting Office:

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FEDERAL BUREAU OF INVESTIGATION

2/16/83

Date of transcription

A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to [redacted] was served on [redacted] at that address by SA [redacted]

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ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP5JC/RPS
(CDRU #01-081)

2/4/83

NY 196B-1774-91

PHILADELPHIA
196B-1214 (NSRA)

Investigation on

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2/10/83

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FEDERAL BUREAU OF INVESTIGATION

2/16/83

Date of transcription

A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to [redacted]

[redacted] was served on

[redacted] at that address by SA [redacted]

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ALL INFORMATION CONTAINED
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DATE 2/2/01 BY SP5TC/EDS
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Investigation on 2/4/83 at [redacted] File # 104-196B-1974-91
SA [redacted] nmh b6
by [redacted] b7C
b3 Date dictated 2/10/83

PHILADELPHIA
196B-7214 (NSRA)

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

On February 8, 1983, [redacted]
telephonically contacted [redacted]
[redacted] telephone number [redacted] regarding service of a
subpoena for [redacted]

Ms. [redacted] advised that she would accept service of the subpoena
by mail at [redacted]

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DATE 2/21/01 BY SP5JC/PDS
(CDRU #01-081)

Investigation on 2/8/83 at [redacted] New York 196B-1774-92
Philadelphia 196B-1214(SQ9)
by AT [redacted] dd b6
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b3 Date dictated 2/8/83

FBI

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☐ UNCLAS

Date 2/16/83

TO: SAC, NEW YORK (196B-1774) (M-1)
 FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (RNC)
 SUBJECT: MARC RICH, dba
 Marc Rich & Company;
 ET AL
FBW-ENERGY RELATED
 (OO: NEW YORK)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are returned copies of Federal Grand Jury subpoenas served by Philadelphia. Also enclosed for New York are original and one copy each of FD-302s setting forth the details of those subpoena services.

*Original subpoenas
 returned to AUSA
 on 2/22/83*

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 DATE 2/21/01 BY SP5TC/ROS
 (CDR#01-081)

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2- New York (196B-1774) (M-1) (Encs. 6)
 1- Philadelphia (196B-1214) (NSRA)

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196B-1774-93

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2/16/83

TO: SAC, NEW YORK (196B-1774) (M-1)
FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (P/C)
SUBJECT: MARC RICH, dba
Marc Rich & Company;
ET AL
FBW-ENERGY RELATED
(OO: NEW YORK)

Re New York airtel to Alexandria, 1/28/83.

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ALL INFORMATION CONTAINED
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(CDRU #01-081)

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1- Philadelphia (196B-1214) (NSRA)

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196B-1774-93

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☐ UNCLAS

Date 2/23/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: SAC, KANSAS CITY (196B-902) (WRA-SRA) (RUC)
 MARC RICH;
 dba Marc Rich and Company;
 ET AL
 FBW;
 ENERGY RELATED
 OO: NY

Re New York airtel to Alexandria dated 1/28/83.

The subpoena for [redacted] enclosed in the referenced airtel was served on 2/8/83. [redacted]

[redacted], advised that the items requested in the subpoena had previously been forwarded to New York City based on a subpoena served at [redacted] on a previous date.

On 2/11/83, the subpoena for [redacted] enclosed in the referenced airtel was served on [redacted] provided [redacted] reflecting [redacted] advised that [redacted] had become

associated with [redacted] with [redacted]

2-New York
 1-Kansas City
 DSB:jlh
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KC 196B-902



The [redacted] provided were sent via registered mail to the U.S. Attorney's Office, Attn: [redacted] (AUSA), [redacted] One Street Andrew's Plaza, New York, New York, 10007. The [redacted] were provided in this manner due to a request from AUSA [redacted] per a telephone conversation on 2/11/83.

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☐ UNCLAS

Date 2/23/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
 FROM: ~~DSB~~, KANSAS CITY (196B-902) (WRA-SRA) (RUC)
 MARC RICH,
 dba Marc Rich and Company;
 ET AL
 FBW;
 ENERGY RELATED
 OO: NY

Re New York airtel to Alexandria dated 1/28/83.

The subpoena for [redacted] enclosed in the referenced airtel was served on 2/8/83, [redacted]

[redacted] advised that the items requested in the subpoena had previously been forwarded to New York City based on a subpoena served at [redacted] on a previous date.

On 2/11/83, the subpoena for [redacted] enclosed in the referenced airtel was served on [redacted]

[redacted] provided [redacted] reflecting [redacted] [redacted] advised that [redacted] had become associated with [redacted] with [redacted]

②-New York
 1-Kansas City
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☐ UNCLAS

Date 3/2/83

TO : ADIC, NEW YORK (196B-1774)
 FROM : SAC, ST. LOUIS (196B-718) (RUC)
 SUBJECT: MARC RICH,
 dba Marc Rich & Company;
 et al;
 FBW - ENERGY RELATED (B)
 OO: NEW YORK

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/22/01 BY SP5JC/RDS
 (CDRU #01-081)

Re: New York airtel to Alexandria, 1/28/83.

Enclosed for New York is original subpoena which was
 enclosed in referenced airtel. A copy of this subpoena was
 served on 2/4/83 on [redacted]

[redacted] telephone number [redacted]

On 2/22/83 [redacted] advised that [redacted] had required
 [redacted] to retrieve the subpoenaed [redacted] and
 due to the unavailability and subsequent uncooperativeness of
 the AUSA in New York City, [redacted] had to go to court to get an
 [redacted] also had to hire an attorney in New York, and
 [redacted] advised that when all [redacted] are retrieved they will
 probably be forwarded to this attorney for presentation to the
 U. S. Attorney's Office, Southern District of New York.

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AUSA
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Date 3/2/83

TO : ADIC, NEW YORK (196B-1774)
 FROM : SAC, ST. LOUIS (196B-718) (RUC)
 SUBJECT: MARC RICH,
 dba Marc Rich & Company;
 et al;
 FBW - ENERGY RELATED (B)
 OO: NEW YORK

Re: New York airtel to Alexandria, 1/28/83.

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 DATE 2/22/01 BY SP5JC/eds
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2-New York (Enc. 1)
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DATE 2/28/01 BY SP5C/EDS
(CDRU 01-081)

(Title)

(File No.)

196B-1774-1A's

Item	Date Filed	To be returned:		Disposition
		Yes	No	
20	9/23/83	X		Copies of arrest warrants for Marc Rich & Pincus Green
21	10/13/83	X		Subpoena served on [redacted]
22	10/2/83	X		Photo of M. Rich & Pincus Green plus negative
23	1/10/84	X		Copy of fingerprint card of Pincus Green
24	1/19/84	X		Cassette tape of "The Oil Game" aired by ABC News 4/8/83
25	2/85	X		1 microfilmed copy of Passport records DO 71028, 23045402, A 2663963, & 3617833, all in name of Marc Rich bni. 12/18/84, @
26	2/85	X		Microfilmed copies of Passport records: [redacted] All in name of Pincus Green bni. [redacted] @
27	3/87	X		Nature Magazine article containing recent photos of Rich
1A28	1/88			Photo of bldg. in Zug, Switzerland Rich & Green reportedly have their company office
1A29	5-10-91	X		Copy of subpoena served at [redacted]
1A30	5-10-91	X		FD-192: 182 destroyed PW AOSA [redacted] Rel SA

10-17-87 ODT memo BTD 8-31-87 sec 1A6
any more 1A's sec vol 3

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196B-1774-1A's

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FBI - NEW YORK	
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Field File No. _____

OO and File No. NY 196-1774-1A9Date Received 11/3/82

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By _____

(NAME OF SPECIAL AGENT)

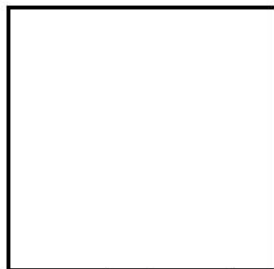
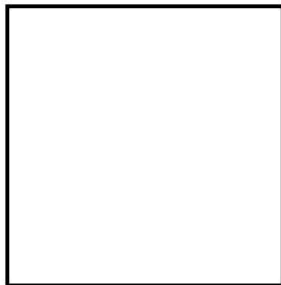
To Be Returned ☐ Yes☒ No☐ Yes☒ NoReceipt Given ☐ Yes☒ NoGrand Jury Material-
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Pursuant to Rules
6(e), Federal Rules
of Criminal Procedureb6
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Description:

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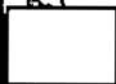
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DATE 2/23/01 BY SP5/JG/AR
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Field File No. _____

OO and File No. NY 196-1774-1ADate Received 11/3/82

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(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By _____

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes☒ No☐ Yes☒ NoReceipt Given ☐ Yes☒ No

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Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

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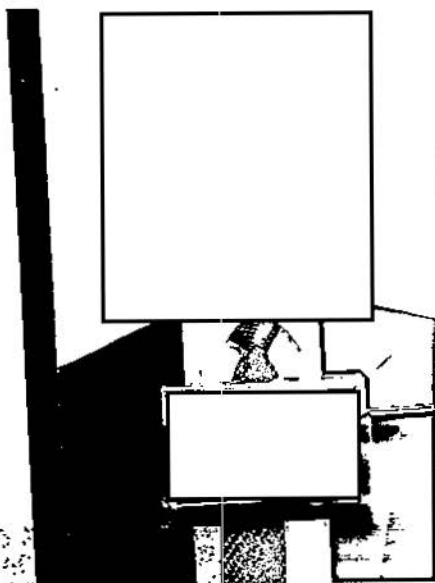
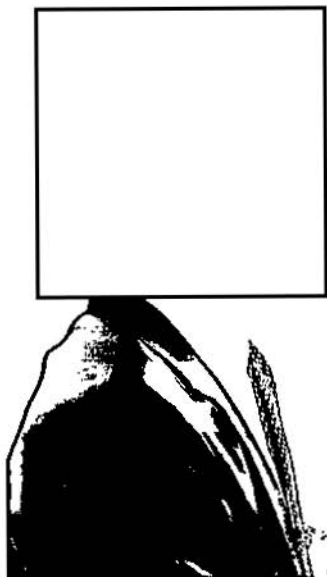
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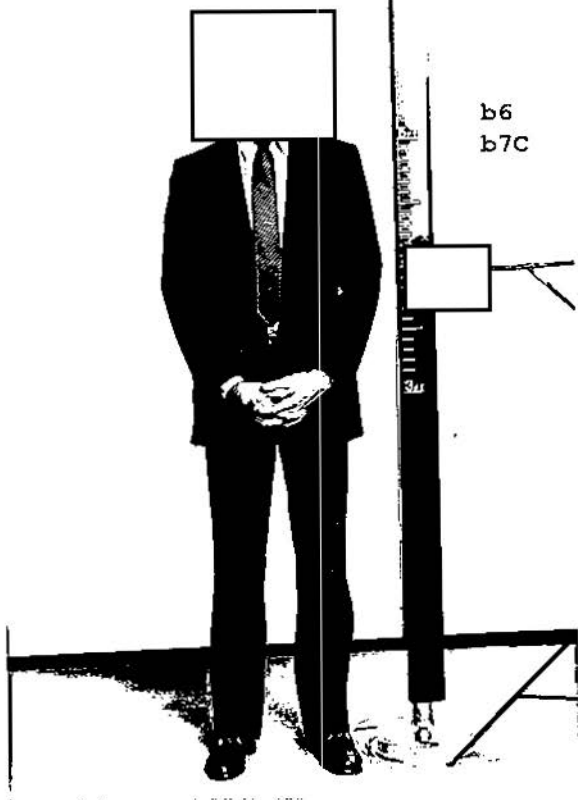
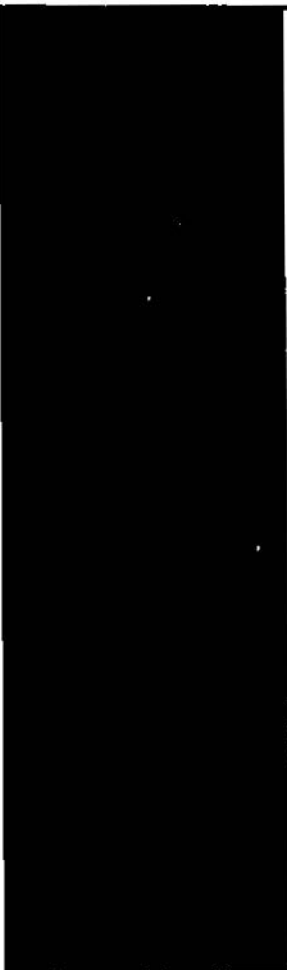
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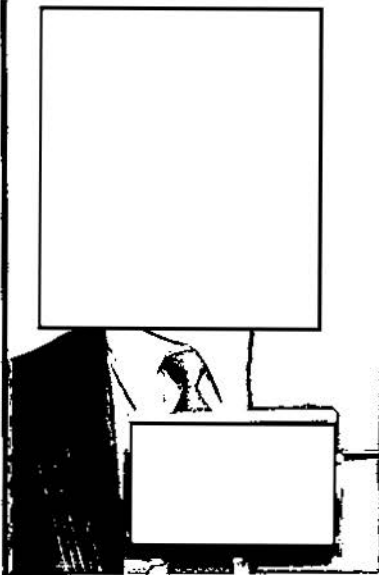
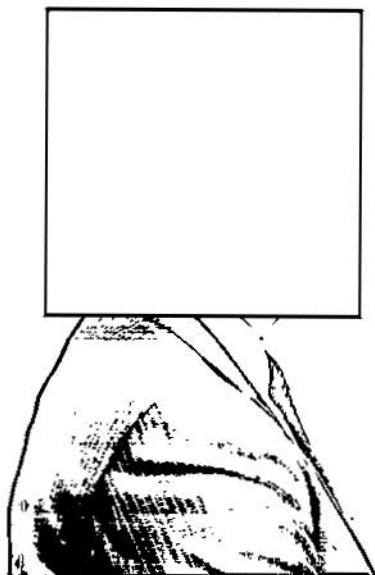
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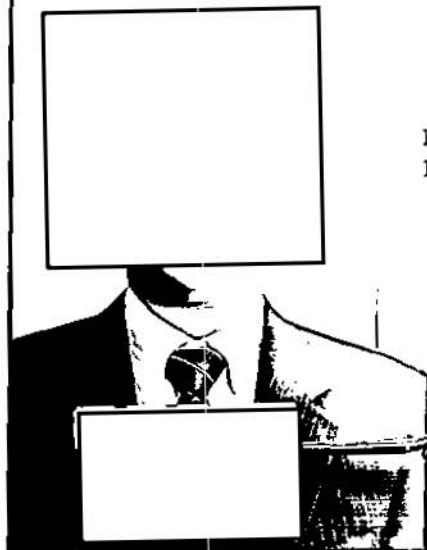
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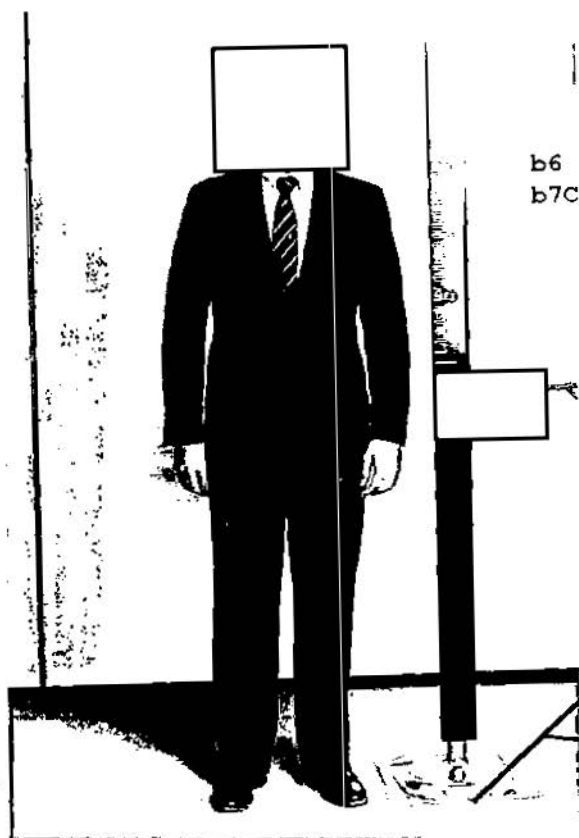
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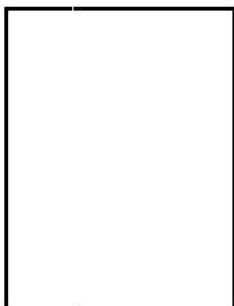
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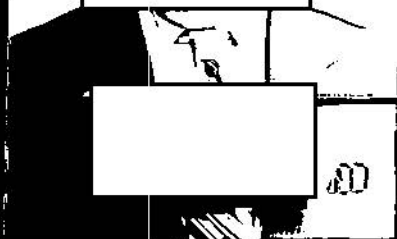
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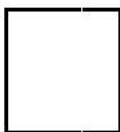
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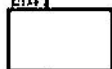
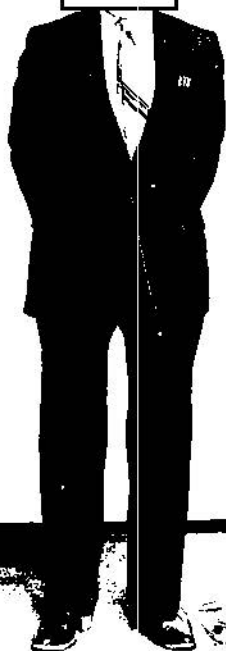
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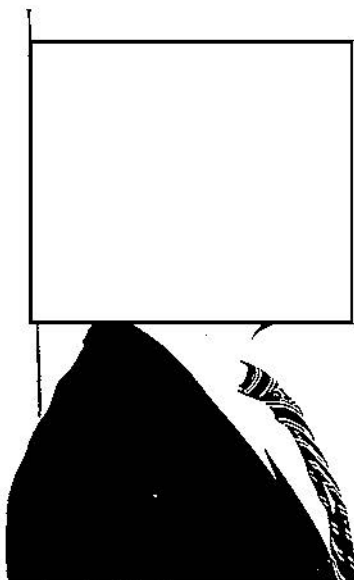
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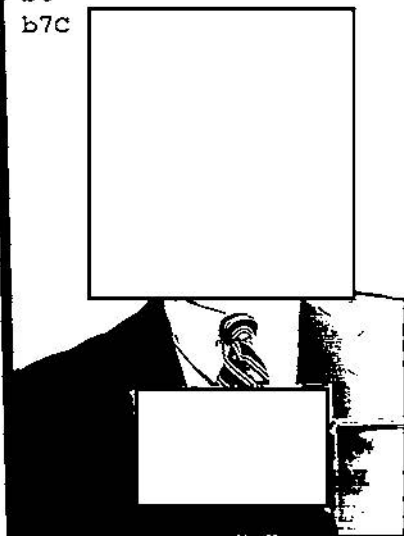
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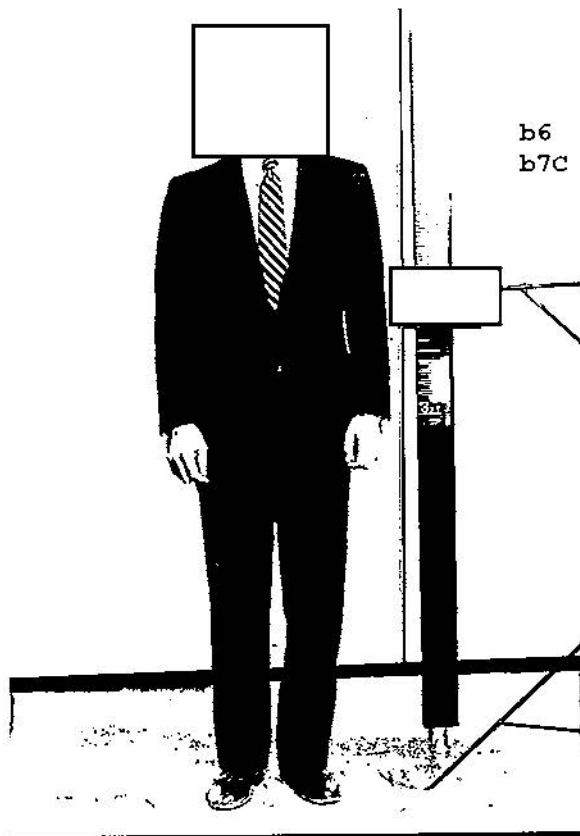
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Description:

- copies of Arrest
Warrant for MARC
RICH and

b6
b7C

b6
b7C

WARRANT FOR ARREST

DoB 12/18/34

United States District Court		DISTRICT SOUTHERN DISTRICT OF NEW YORK	
UNITED STATES OF AMERICA v. MARC RICH		DOCKET NO. 83 Cr.	MAGISTRATE CASE NO.
WARRANT ISSUED ON THE BASIS OF: <input checked="" type="checkbox"/> Indictment <input type="checkbox"/> Information <input type="checkbox"/> Complaint		NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED	
TO: ANY United States Marshal or any other authorized officer		DISTRICT OF ARREST	
		CITY	
YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.			
DESCRIPTION OF CHARGES			
Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud; Income Tax Evasion; Trading with the Enemy.			
Section: 7201, 535.206 (a) (4); 535.208, 535.701.			
IN VIOLATION OF	UNITED STATES CODE TITLE 18, 26.31	SECTION 1962 (c)(d), 1341, 1343 & 2,	
BAIL	OTHER CONDITIONS OF RELEASE		
ORDERED BY [Redacted] U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE) b6 b7C	DATE ORDERED 09/19/83	
CLERK OF COURT [Redacted]	(BY) DEPUTY CLERK [Redacted]	DATE ISSUED 09/19/83	
This warrant was received and executed with the arrest of the above-named person.			
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER	
DATE EXECUTED			

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

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DATE 2/28/01 BY SP5JC/RS
(CDRU #01-081)

WARRANT FOR ARREST

D-8

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b7C

United States District Court		DISTRICT SOUTHERN DISTRICT OF NEW YORK	
UNITED STATES OF AMERICA v. PINCUS GREEN		DOCKET NO. 83 Cr.	MAGISTRATE CASE NO.
WARRANT ISSUED ON THE BASIS OF: <input checked="" type="checkbox"/> Indictment <input type="checkbox"/> Information <input type="checkbox"/> Complaint		NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED	
TO: ANY United States Marshal or any other authorized officer		DISTRICT OF ARREST	
		CITY	
YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.			
DESCRIPTION OF CHARGES			
Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud Income Tax Evasion; Trading with the Enemy.			
		Section: 7201, 535.206 (a) (4); 535.208, 535.701.	
IN VIOLATION OF	UNITED STATES CODE TITLE 18, 26, 31	SECTION 1962 (c)(d), 1341, 1343 & 2	
BAIL		OTHER CONDITIONS OF RELEASE	
ORDERED BY [] U.S.D.J.		SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)	DATE ORDERED 09/19/83
CLERK OF COURT []		(BY) DE []	DATE ISSUED 09/19/83
This warrant was received and executed with the arrest of the above-named person.			
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER		SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED			

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

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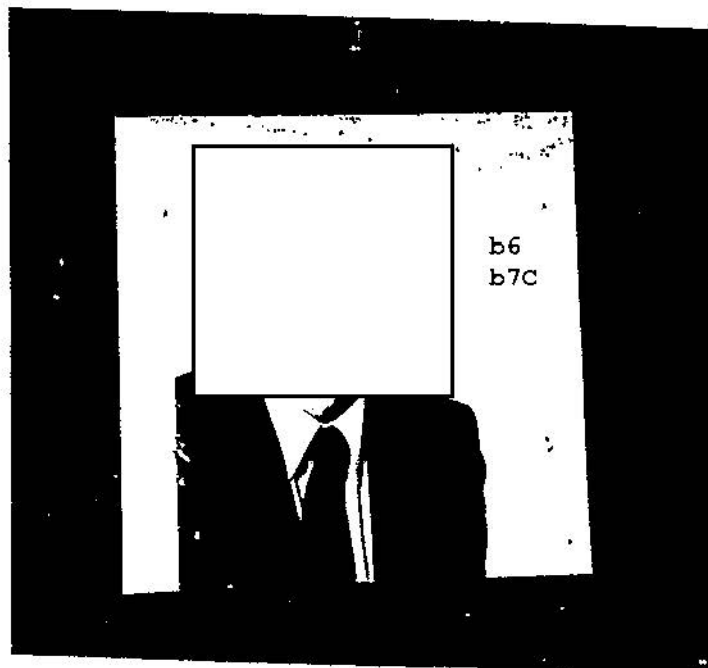
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Description:

- Photographs of Marc Rich &
Pincus Green

- Negative of Photographs

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Chief Executive Fred Joseph of Drexel Burnham Lambert, the firm at the center of the unfolding Boesky affair, posed in his Broad Street office for photographer Joyce Ravid. See page 26.

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THE EDITOR'S DESK



Standing: writers Sherman, Williams, and Worthy.
 Seated: editors Faltermayer and Ehrbar.

WHEN THE BOESKY scandal broke, FORTUNE readers already had the issue (November 24) with our cover story analyzing why Wall Street's young stars, who profit so extravagantly from the takeover game, were headed for a fall. The day the Boesky news hit, we went to press with the issue (December 8) examining what the scandal said about the decline of business ethics. Now, in the cover package that begins on page 26, we examine the long-term consequences. Says Edmund Faltermayer,

Marc Rich (left) with writer Shawn Tully



who edited the two main stories: "We look beyond the heat of the battle to answer the big questions." Among them: What will the scandal do to takeover fever? What will happen to junk bonds and the investment bankers who float them? What laws might Congress pass?

For the lead article, writer Ford Worthy interviewed dozens of investment bankers and the investors and arbitrageurs with whom they deal. His conclusion: "The public is going to be more skeptical about companies that specialize in takeovers and load up with debt. And things are ripe for regulation."

The story on Drexel Burnham Lambert was written by the author of our previous article on the investment bankers' clouded future, Stratford P. Sherman. He reports that Drexel's chief executive, Frederick H. Joseph, seemed unusually grim.

Just what is illegal insider trading? Remarkably, no statutes or regulations define it, so writer Monci Williams pored through mounds of case law for her definitive story on the subject, which was edited by Al Ehrbar.

As a bonus, Paris Bureau Chief Shawn Tully tracked down the central figure in an earlier scandal, commodities trader Marc Rich, who fled from the biggest tax evasion case in U.S. history. You may or may not be pleased, but you surely will be interested to know that he is alive, well, and prospering as never before in beautiful Switzerland.

Marshall Loeb
 MANAGING EDITOR

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The SEC has been fretting most in recent years about the informational advantage that stock market professionals sometimes have in takeover fights. In 1980 it adopted Rule 14e-3, which makes it illegal for *anyone* with inside information about a takeover to trade the target company's stock. An investor is in violation of the rule even if he doesn't get the information directly from an insider. He simply has to "know or have reason to know" that the information came from an insider.

Rule 14e-3 and Ivan Boesky were made for each other. Dennis Levine gave him advance word of takeovers and Boesky took big positions in the stocks of target companies, reaping enormous profits when the prices of the stocks subsequently shot up. Boesky sealed his guilt by agreeing to share his winnings with Levine, making it undeniable that he believed Levine was furnishing him with bona fide inside information and not just Wall Street scuttlebutt.

BY MOST ANY LOGIC, Boesky's big sell-off in advance of the SEC's announcement of the charges against him should be illegal too. In both cases Boesky came out ahead by virtue of inside information that was material to the prices of the securities he traded. But the SEC's logic is unlike any other, and it has different rules when the inside information does not involve a takeover. Part of its reasoning seems to be that the charges against Ivan may have been material to him and his investment partnership, but not to the companies whose stocks he sold.

What's more, it apparently is legal in many cases for an outsider to trade on material non-public information, provided he did not get it from an inside tipster and the information is about anything other than a tender offer. The SEC would prefer otherwise. It would like to prosecute anyone trading on undisclosed news. But the U.S. Supreme Court tied its hands in a case involving Raymond Dirks, the security analyst who uncovered the Equity Funding scandal in 1973.

Dirks discovered that the insurance company was cooking its books to a fare-thee-well and tipped off big clients of his brokerage firm before he told the SEC what he had found. The commission, furious that Dirks's customers got the information first, charged him with insider trading. Dirks took the case to the Supreme Court, which looked for evidence of fraud, the thing that the original statute prohibits. The justices ruled that Dirks had to be a fiduciary of Equity Funding in order to manipulate or deceive investors,

and threw out the charges. Some legal experts say the Dirks ruling raises questions about whether Rule 14e-3—the one that helped the SEC nail Boesky—is valid.

The SEC, meanwhile, is trying to free its hands to go after outsiders. It has expanded the scope of insider trading with something called the misappropriation theory, which holds that it is illegal to trade on stolen information. That's the argument the SEC used in its charges against Foster Winans, the *Wall Street Journal* reporter who passed on the names of stocks about to be mentioned in the newspaper's *Heard on the Street* column. Winans was not an insider and didn't even have material information about the companies involved. The SEC got a conviction against Winans by arguing that what he did was illegal because he stole the information from his employer. Winans is appealing and

careous for arbitrageurs. Though many, like Boesky, are lawyers who know the regulations cold, they spend their lives working in the gray areas of the law. They trade information as furiously as they trade stocks, forever calling raiders, investment bankers, and takeover lawyers to get the slightest informational edge on their competitors. They walk up to the legal line the SEC has drawn and then lean as far over it as they can. Problem is, the SEC keeps moving the line.

That's a problem for ordinary corporate folks as well. Many people think the term insider applies just to officers and directors, the ones who have to file disclosure forms whenever they buy or sell their company's stock. In fact, anyone who is privy to important information about his or her employer can be an insider. If you find out someone has made a secret bid for your company and

SEC Chairman John Shad says fuzzy laws enable him to do a better job against insider trading.



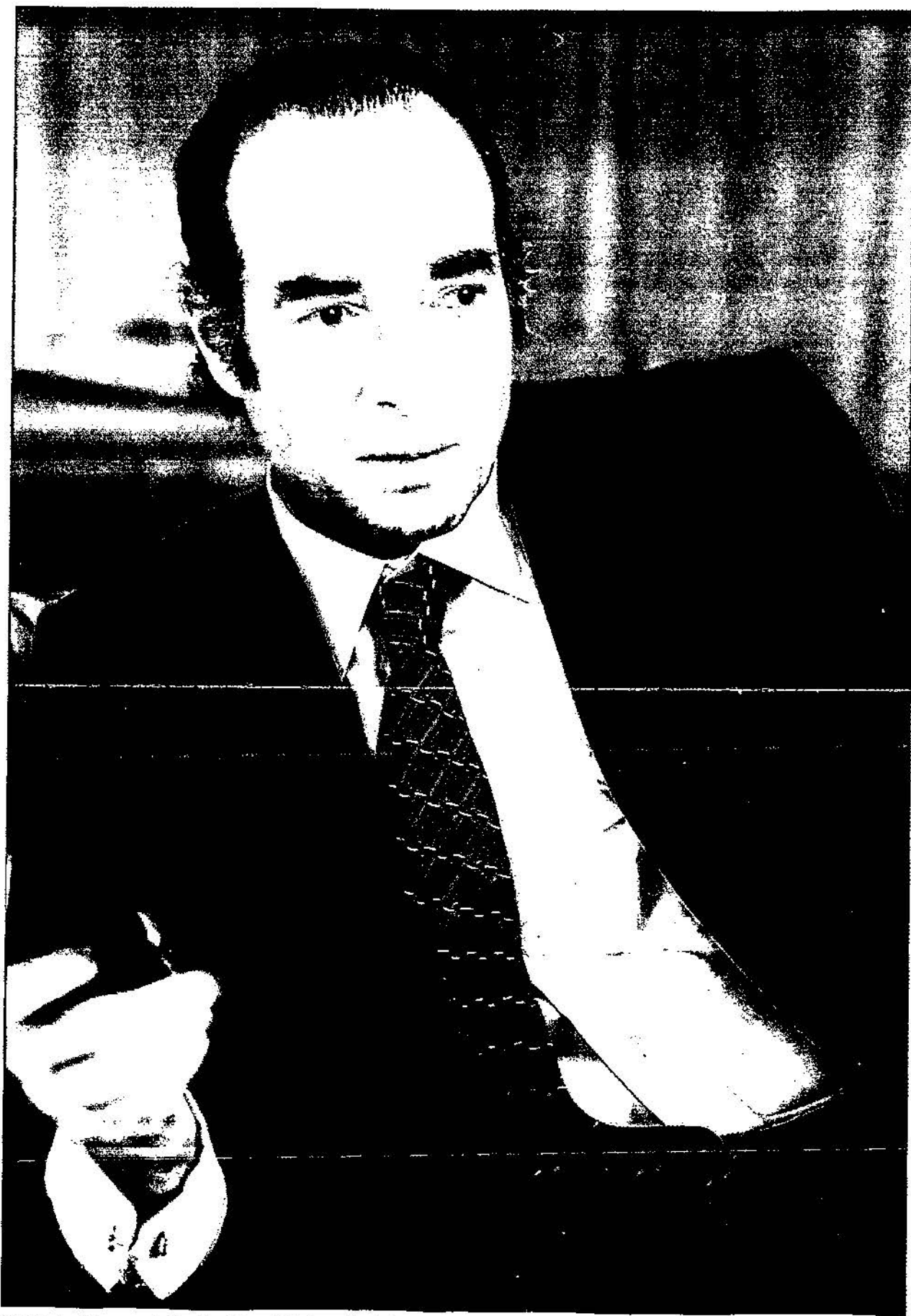
some legal experts think he could win.

What Winans did was plainly unfair to other investors—and unethical—even if it ultimately proves to have been legal. If the SEC loses the Winans appeal, it will figure out another way to go after similar actions in the future. Indeed, it seems safe to assume the commission will find some rationale to prosecute people for any kind of trading that it regards as unfair to investors in general.

The SEC's refusal to come up with a clear definition of insider trading makes life pre-

buy some shares, you have violated Rule 14e-3. The rules are more vague for other kinds of news. But all executives should keep a couple of thoughts in mind. Since they know more about their company than outsiders, they always are in possession of some inside information. And the Texas Gulf decision still stands. Whether information is "material" is a judgment call, and the SEC is the judge. So if you have any doubts about where you stand, don't trade before you consult your company's lawyer. ■

"I'm a
modest, quiet
person who
has never
done anything
illegal."



On top of the world in Zug: *Marc Rich has a five-bedroom house, a six-story office building, and an 11-digit company.*

THE LIFESTYLE OF RICH, THE INFAMOUS

Marc Rich, biggest tax fugitive in U.S. history, is in Switzerland happily running a commodities trading firm worth nearly \$1 billion. ■ *by Shawn Tully*

BY STRIKING A DEAL with the SEC and federal prosecutors, Ivan Boesky avoided the hoary tactic of financiers in legal trouble—the quick flight to a country that will not extradite U.S. fugitives. Commodities trader Marc Rich, 52, fled first and tried to deal later. Facing a 65-count criminal indictment that could result in a 325-year prison term—the biggest tax evasion case in U.S. history—he may be abroad for a long time.

His life on the lam is luxurious—and lucrative. Unlike fugitive Robert Vesco, who is holed up less than splendidly in Cuba after years of spending his booty bribing various officials around the Caribbean, Rich is living grandly in Switzerland. Though he has long avoided the U.S. press, Rich spent a day talking to FORTUNE in November, his first interview with a non-European publication since he skipped out of New York.

Today his slim face is framed by slicked-back hair and bushy sideburns, and he has an air of dour refinement. In his office he chain-smokes imported cigars and downs Diet Coke. Rich's Swiss-based international commodities trading company, Marc Rich & Co. AG, has become one of the biggest in the world. He says that the company earned more than \$100 million before taxes on trading volume of \$12 billion in 1985 and that its capital stands at \$950 million.

Rich has a five-bedroom house filled

REPORTER ASSOCIATE Nancy J. Perry

with valuable art in the picturesque village of Zug, 15 miles south of Zurich, and a ski chalet in St. Moritz. He is a regular at concerts in Zurich and Lucerne. His American wife has become a rather famous European pop-record star. Thanks to an expensive P.R. campaign and expansive charitable giving, Rich has achieved something resembling respect in Swiss society.

Living abroad is not a new experience for him. Born in Antwerp, Rich came to the U.S. with his parents at age 8. As a rising young commodities trader for New York-based Philipp Brothers, now a subsidiary of Salomon Inc., he resided in Spain for 14 years. Still, returning to America has become an obsession. "I want very badly to be able to go back," he says, speaking in a faintly European accent. "I think about the U.S. every day. My mother is there and my in-laws. It's a generous country that accepted my parents and me." (When his father died in New York last September, Rich was pained not to attend the funeral. Federal agents would have arrested him.)

To find some way out Rich has assembled an influential legal team headed by Washington superlawyer Edward Bennett Williams and including Leonard Garment, former special counsel on the Nixon White House staff. Robert Gray, the Washington public relations consultant who was secretary to the Cabinet in the Eisenhower Administration and co-chairman of Ronald Reagan's first inaugural, is on retainer.

"I've made mistakes," Rich says, in what starts out sounding like contrition. "I guess my reputation will never fully recover." Then it becomes clear he is talking about legal strategy. He argues that what he really has is an image problem. "I've been portrayed in a horrible way," he says, "as a workaholic, a loner, a money machine. It's not a true picture. I'm a modest, quiet person who has never done anything illegal." Sometimes he portrays himself as victim: "What happened to me was an unfortunate chain of events that hasn't shaken my faith in the U.S."

The Justice Department isn't buying any of that. In the prosecutors' view, Rich and partner Pincus "Pinky" Green, 52, are simply fugitives. Assistant U.S. Attorney Martin Auerbach says his office is ready to go to trial if it can get its hands on the defendants. For U.S. authorities, Rich and Green are Vesco-size targets. Marshals have designed tantalizing schemes to nab them, especially Rich, who is the more active of the two. Rich has neared the bait several times, only to slip away at the last moment.

The case is hideously complicated, and some of it hinges on violations in 1980 and 1981 of oil price laws long since repealed and never particularly popular. If Rich and Green were fudging price controls, they had a lot of company. A number of major oil producers have long since settled similar cases. The fugitives are also accused of trading with the enemy, for buying

MONEY & MARKETS

oil from Iran during the hostage crisis in 1980.

If those issues were all the case involved, Rich and Green might have come home long ago, or might never have left. The big one is tax evasion. The government charges that the pair smuggled \$105 million of profits from those illegal oil transactions to Switzerland to avoid paying \$48 million in U.S. taxes. Shortly after fleeing to Switzerland, they reportedly offered to pay \$100 million if the government would settle the charges—and were turned down flat. Says Auerbach: "They have broached this issue for a

P.R. campaign in the U.S. In 1984 Robert Gray traveled to Zug with his associate Frank Mankiewicz, former head of National Public Radio, and Meryl Comer, a consultant to Gray who also co-anchors a business news show on ESPN, the cable-TV network. Gray advised Rich to go public in the U.S. by granting interviews to U.S. newspaper and TV reporters. Comer even taped a practice interview with Rich to see how he came across.

Rich did buff up his image in Switzerland with a successful campaign led by Peter Hargitay, a Zurich P.R. man. Hargitay says he was paid a monthly

most seem happy to let him try, as long as he is willing to spend so much cash on the project. This year, among a host of charitable activities, Marc Rich AG set up a \$3-million foundation to make grants to artists, scientists, and worthy organizations in Switzerland. In November the foundation sprinkled \$150,000 among a Zurich chamber orchestra, a group that teaches the disabled to work, and the Catastrophe Dogs, an organization that uses dogs to find people in the rubble of earthquakes. The foundation's board is headed by a retired three-star general who runs Switzerland's Red Cross.

Lavish parties have helped Rich make friends. The splashiest was his 50th birthday bash two years ago in Lucerne's National Hotel. His wife sang two of her compositions: "Don't Look Back" and "The Years Go By So Quickly." Marc's partners gave him a ten-foot-long sailboat made of chocolate, plus the title to a motorboat to be delivered later. The highlight of the evening was a mock boxing match pitting a clown wearing the Marc Rich logo against one dressed as a New York cop. Another clown in judge's robes acted as referee.



The Miró behind the fugitive and his pop-singer wife shares space in their home with works of Braque, Léger, Giacometti, and, of course, Picasso.

long time through a variety of channels in the Justice Department and anywhere else in Washington they can get a hearing. They are no closer to coming back to the U.S. now than when they left. They can't buy their way out of jail."

Faced with such an uncompromising position, Rich apparently has quietly switched legal strategies. His lawyers plan to attack the evidence in the tax case in hopes of persuading the government to drop the indictment. Says one: "We're going back and reviewing everything. What we're learning gives us some encouragement, but we're not Pollyannas."

Until his lawyers cooled on the idea, Rich was thinking of launching a major

retainer that added up to "the middle six figures" annually. In 1985 and 1986 Hargitay arranged about 30 interviews with Swiss newspapers, magazines, and TV stations. Sometimes he looked over the interviewers' questions in advance, then helped edit the interview. Most of the coverage was favorable, in part because the Swiss business press is notoriously flattering. Interviewers asked Rich about the future of OPEC, the commodities business, and how he liked Switzerland, but rarely broached the legal case. He told one that he missed New York "not at all" and wished he had "come to live in Switzerland many years ago."

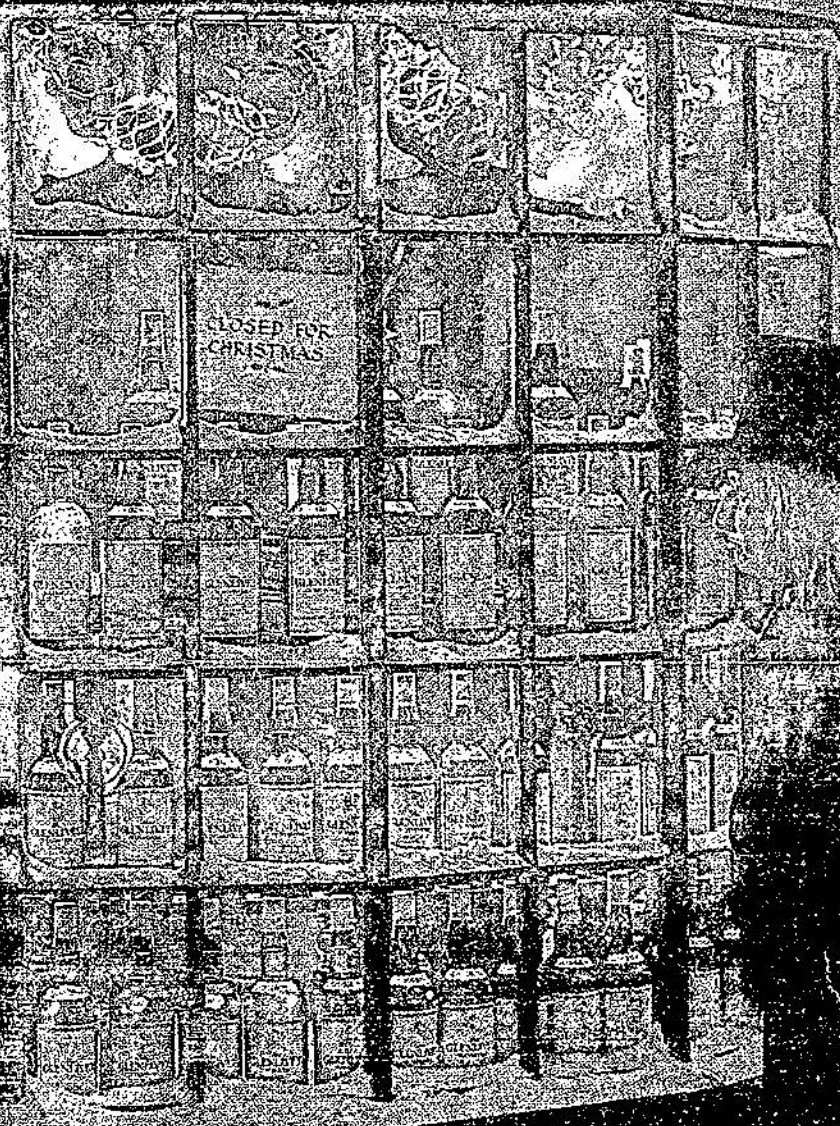
Though a few Swiss grumble that Rich is trying to buy a good name,

THOUGH HE walked away from a ten-room Park Avenue apartment in New York, Rich has hardly taken a step down. His hilltop house has a breathtaking view of the misty lake of Zug. Cream-colored carpeting and sleek modern furniture designed by a California decorator set off superb works of art. His collection includes two Picassos, as well as paintings by Georges Braque, Fernand Léger, and Joan Miró, and a sculpture by Alberto Giacometti. A giant satellite dish captures programs from France, England, and Germany, as well as Cable News Network from the U.S. A Spanish couple serve as butler and maid.

Rich and his wife have three daughters: Gabriella, 17, and Daniella, 11, go to school in Switzerland and Ilona, 19, is an art student in France. Fluent in German, French, and Spanish, Rich speaks Spanish to his terrier, Macho, and to his daughters. The two oldest girls learned the language as very young children in Spain, and he doesn't want them to forget it.

On ski weekends in St. Moritz, Rich

WINE & SPIRITS



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MONEY & MARKETS

often helicopters with other expert skiers to remote spots high above the lifts. Each weekday morning, he takes a dip in his indoor swimming pool, then is chauffeured in a gray Mercedes to the office ten minutes away.

His headquarters, a six-story cube of blue reflecting glass, is plushly appointed. Soft jazz and popular music fill the halls and elevators. The lobby floor is burgundy marble, and the carpeting is salmon pink. On the walls are a collage by Swiss artist and architect Le Corbusier and a painting by 20th-century Spanish painter Antonio Quirós. Rich's office is equipped with an electrically operated door so that he can buzz visitors in without leaving his desk or getting off the phone. At lunchtime he strolls across a parking lot to his private dining room at the Glashof, a restaurant owned by his company that offers both Swiss and kosher food.

HE CAN wolf down a three-course lunch in 30 minutes to rush back to the office. Windy discussions irk him. Occasionally he simply excuses himself, even from his own office, sending an underling back to finish the conversation. "He's always stressed and in a hurry," says one former associate. "And he never says thank you." But friends assert he is flawlessly considerate to them. He sends long, handwritten letters to friends and employees on the death of a parent or the birth of a child, and fetches coffee for business visitors. He is extremely soft-spoken. "Sometimes when I fire someone," he says, "they don't notice right away."

For Rich, once an inveterate globe-trotter, the world has shrunk drastically. Tax evasion as defined by U.S. law is not included in Switzerland's extradition treaty with the U.S. Rich also can safely visit Spain. Several years ago he became a Spanish citizen, though neither he nor his lawyers will say how or why he arranged it. But many countries in Western Europe will extradite U.S. fugitives indicted for tax fraud.

In contrast to her cosmopolitan husband, Denise Rich is overwhelmingly American, a self-described "junk-food addict, pizza lover, and fan of deli

corned-beef sandwiches." Daughter of a wealthy New England shoe manufacturer, she is a sunny optimist who gushes, "I'm surrounded by positive energy." Raven-black hair and almond eyes give her an exotic look. She and Marc met on a blind date in New York around Christmas 1965.

After years of trying, Denise hit the big time last year with her song "Frankie," sung by the American female rock group Sister Sledge and released on Atlantic Records. "Frankie" was the No. 1 hit in Britain for six weeks and sold more than 750,000 copies, winning a gold record. Meanwhile, Denise has sung on TV in Switzerland and Germany, and recently made a music video in London. Her new album for MCA Records, *Sweet Pain of Love*, is now on sale in Switzerland and will be distributed in the rest of Europe in February. She says that some of the songs are about Marc.

If Marc and Denise are an odd couple, Rich and Pinky Green are an equally unlikely twosome. Green is as playful as Rich is intense. "Pinky reminds me of Groucho Marx," says a former Rich associate. Tall and crew-cut, Green is a confirmed quipster. Asked about the oil business, he shoots back, "Oil? Isn't that the stuff you pack sardines in?" Devoutly religious, Green has a home in Zug and another in the Engle Jewish quarter of Zurich, within walking distance of a synagogue. He rushes out of the office on Friday afternoon so he can start celebrating the Sabbath by sundown, in accordance with Orthodox Jewish tradition. He eats kosher food and keeps it simple—lox and tomatoes are a favorite lunch.

Rich and Green were able to flee the U.S. without skipping a business beat. Though they operated out of New York, their company had always been headquartered in Switzerland. In the early 1970s both had become star Philipp Brothers traders, Rich in Spain and Green in the company's office in Zug. Angered because they considered their bonuses for 1973 inadequate, the two bolted and started Marc Rich AG. Zug, a center of European commodities trading, seemed as good a place as any to set up shop.

Shortly after the two fled New York in 1983, Marc Rich AG sold its U.S. af-

filiate to Alec Hackel, 58, a wiry, loquacious German who is a partner in the Zug operation. Authorities in the U.S. said it wasn't a real sale and froze the assets of the company, which had been renamed Clarendon Ltd. Unable to do business in the U.S., Marc Rich AG's trading volume dropped. In 1984 Clarendon paid the U.S. government \$150 million to settle tax charges against the company—a separate issue from the criminal tax case against Rich. After that, Rich's business surged.

MARC RICH AG is run by a triumvirate of Rich, Green, and Hackel, who hold the majority of the company's stock. About 100 employees also own shares. Rich looks after oil, Hackel runs the metals and minerals division, and Green, nicknamed "the Admiral," handles shipping, along with finance and administration. Rich says he and the other top partners each earn \$1 million or more a year.

Whatever U.S. prosecutors think about Rich, competitors and clients have respect for his abilities as a trader. They say he combines excellent judgment with a vast network of contacts around the world. "He has survived because he has the most talent," says Slimane Bouguerra, a competitor in Geneva. Adds Richard Perkins, head oil trader at Chevron International: "We do deals with him. Marc Rich has always performed on his contracts and has good standing with the majors." Rich estimates that Marc Rich AG trades 900,000 barrels a day in crude oil, and another 400,000 barrels of naphtha and other oil products.

According to Rich, the company has weathered the commodities recession better than other traders by carefully minimizing risks. It seldom buys a cargo, he says, without first lining up a customer—at a price that includes a slim trading margin. "We see the trading as a service business," he says. "We put producers and buyers together in exchange for a service charge. We hope not to be too dependent on price cycles. We're not sexy or speculative. It's insane to try for a killing in today's market." Some caution a few years ago might have saved Rich and Green a lot of lawyers' fees—not to mention one-way tickets to Zug. **E**

Each morning, Rich takes a dip in his indoor pool, then is chauffeured in a gray Mercedes to the office ten minutes away.

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Photograph of building in Zug, Switzerland
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Building where Rich & Green reportedly
have their office.

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Date

7/28/81

Title and Character of Case

MARC RICH, DBA MARC RICH CO.;

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Date Property Acquired

7/13/81

Location of Property or Bulky Exhibit

8th FLOOR VAULT

Reason for Retention of Property and Efforts Made to Dispose of Same

EVIDENCE

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Agent Assigned Case

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FD-192 (Rev. 5-29-80)

Date 6/29/81

Title and Character of Case

MARK RICH, DBA MARK RICH Company;

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Date Property Acquired

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Agent Assigned Case

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1A31	5-10-91	X		Copy of subpoena
1A32	6/17/91	X		Photos of Pincus Green's graduation (170)
1A33	6/92	X		Photos of Marc Rich from television program Dateline aired 5/6/92 SR
1A34	9/13/92	✓		Photocopies of telex messages received by [redacted]
1A35	"	✓		Orig notes re interview of [redacted]
1A36	10/3/92	✓		Orig notes re interview of [redacted] Photocopy of [redacted] and [redacted]
1A37	5/15/95	✓		Photo of [redacted] Pincus Greene (11)
1A38	1/9/97	✓		Two photos (one [redacted] LNU claims to be Marc Rich) (12)
1A39	6/25/97	✓		Photos of Pincus Green (taken about 1981) (13)
1A40	4/20/98	✓		Arrest warrant for Pincus Green issued 9/19/83 (14)
1A41	4/20/98	✓		Arrest warrant for Marc Rich issued 9/19/83 (15)
1A42	5/10/11	✓		Copies of Finger Print cards of Pincus Green (16)
1A43	12/16/12	✓		NYT article "My Reasons for the Pardons" by former President Clinton
1A44	12/16/13	✓		Misc photos of Marc Rich
1A45	12/16/13	✓		Misc photos of Pincus Green

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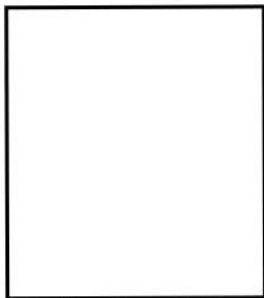
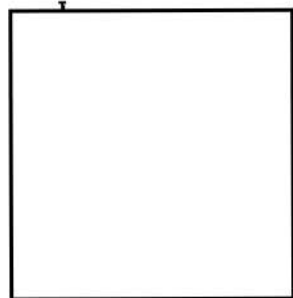
Description:

PHOTOGRAPHS AND NEGATIVE

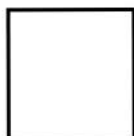
Of _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-7-2001 BY SP5/TJ/AB

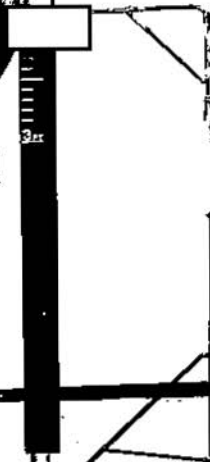
01-081



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b6
b7C



2/22/01 SPS/CLAB

2/22/01 SPS/CLAB

Field File No. _____

OO and File No. NY 196-1774-1A¹²Date Received 11/3/82From _____
(NAME OF CONTRIBUTOR)_____
(ADDRESS OF CONTRIBUTOR)_____
(CITY AND STATE)By _____
(NAME OF SPECIAL AGENT)To Be Returned ☐ Yes☒ No☐ Yes☒ NoReceipt Given ☐ Yes☒ NoGrand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedureb6
b7c

Description:

PHOTOGRAPHS AND NEGATIVE
OF _____

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 2/22/01 BY SP5/IC/AB
01-081

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b7C

2/22/01
SPS/TC/AB

2/22/01 SPS/TC/AB

Field File No. 196-1774-1A¹⁷

OO and File No. _____

Date Received 3/8/83

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes☐ No☐ Yes☐ NoReceipt Given ☐ Yes☐ NoGrand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

FD 192 Evidence
copy for material
turn-over to AUSA
(see 181)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5 BT/AN
01-081

b6
b7C

Date

3/4/83

Title and Character of Case

MARC RICH
ET AL
FBW-ER

Date Property Acquired

3/4/83

Source From Which Property Acquired

FBI - HOUSTON OFFICE

Location of Property or Bulky Exhibit

VAULT SHELF

Reason for Retention of Property and Efforts Made to Dispose of Same

EVIDENCE PENDING CONCLUSION OF CASE

To Be Returned

☒ Yes ☐ No

See Serial

*

Agent Submitting Property or Exhibit

SA

Agent Assigned Case

SA

M-1

b6

b7C

☐ Yes ☐ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

X

Description of Property or Exhibit

ONE CELLOPHANE ENVELOPE CONTAINING:

MISCELLANEOUS RECORDS (see airtel for complete description)

*airtel dated 3/2/83 Reg number 063 171 447

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal #

Signature of Two
Special Agents
Verifying and Sealing
Bag Contents

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

Field File #

OO: NY 196B-1774-1B1 M-1

EVIDENCE (PACKAGE COPY)

BLOCK STAMP

SEARCHED
SERIALIZED

INDEXED
FILED

FBI-NEW YORK

CHAIN OF CUSTODY

[illegible]

Item No.	Remarks
----------	---------

100

Date 6/29/81

Title and Character of Case

MARK RICH, DBA MARK RICH Company;

b6
b7C

FBI

Date Property Acquired

6/18/81/6/19/81

S

Location of Property or Bulky Exhibit

8th Floor Vault

Reason for Retention of Property and Efforts Made to Dispose of Same

Evidence

b6
b7C
b7D

To Be Returned

See Serial

Agent Submitting Property or Exhibit

Agent Assigned Case

☒ Yes ☐ No

☐ Yes ☒ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

Records of

b6
b7C
b7D

with Name

2.

3. Packet of

4.

5.

6.

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

196-881-124

Field File #

196B-881

OO:

HO.

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 30 1981	
FBI - MEMPHIS	

CHAIN OF POSSESSION

[illegible]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY 2272 BMD
180-10

Field File No. 1918OO and File No. NY 196-1774-Date Received 6/83From [REDACTED]

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By [REDACTED]To Be Returned ☐ Yes☒ No☐ Yes☒ NoReceipt Given ☐ Yes☒ No

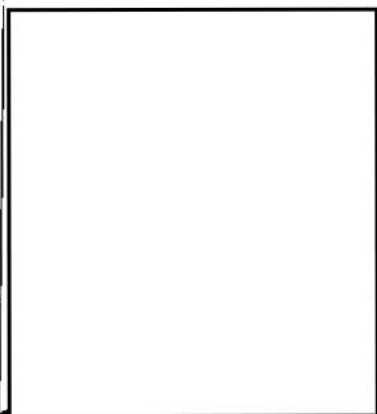
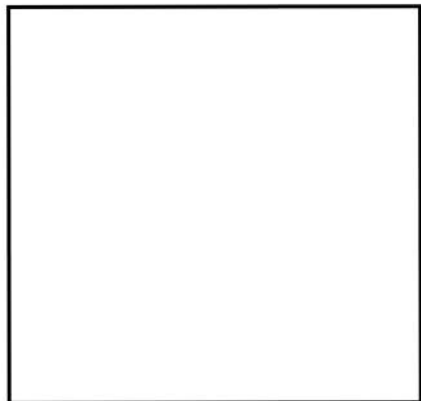
Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

PHOTOS OF [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5TCL/B
01-081

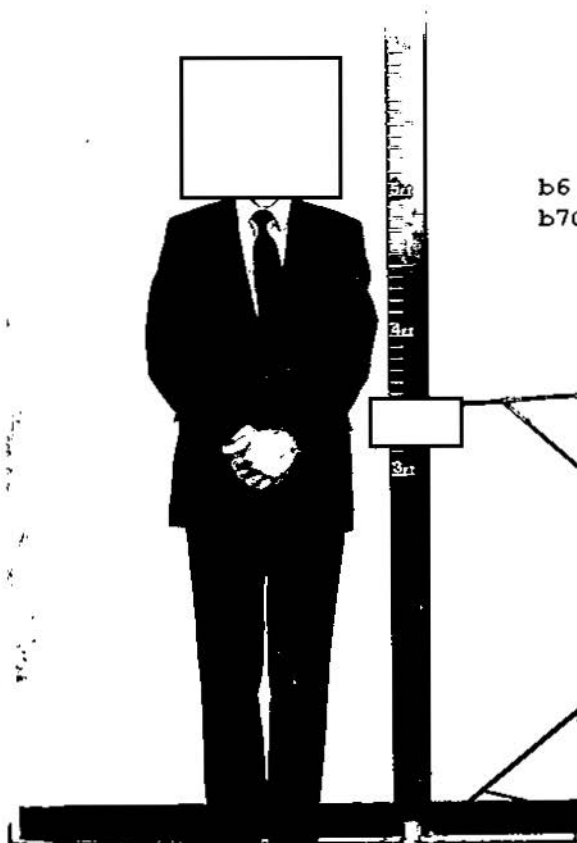
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b6
b7C



b6
b7C



100-1000-100

100-1000-100

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5/JC/100

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5/JC/100

Field File No. _____

00 and File No. ^{NY} 196-1774-1A19

Date Received 6/83

From _____

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By _____

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes

☒ No

☐ Yes

☒ No

Receipt Given ☐ Yes

☒ No

Grand Jury Material-
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

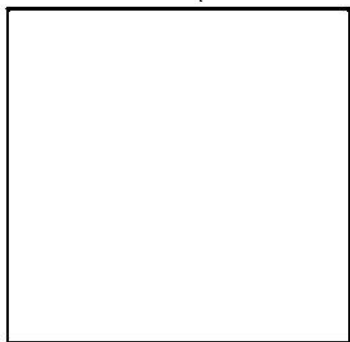
PHOTOS OF

[Redacted]

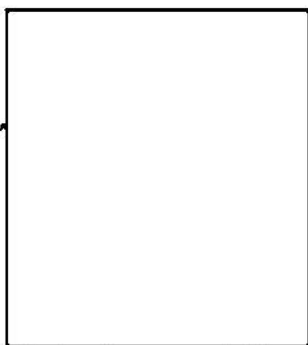
[Redacted]

b6
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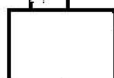
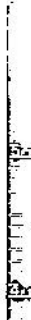
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5 JCL/AB
01-081



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3ft

NY 100-1774-1A 9

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-22-01 BY SP5/BJA/B

NY 100-1774-1A 9

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5/BJA/B

1A31

Field File No. 196B-881 1A5OO and File No. NY 196A-1774Date Received 8-30-83From NY

(Name of Contributor)

(Address of Contributor)

(City and State)

By [REDACTED]

(Name of Special Agent)

b6
b7CTo Be Returned ☒ Yes☐ No☒ Yes☐ NoReceipt Given ☐ Yes☐ NoGrand Jury Material - Disseminate
Only Pursuant to Rules 6(e)
Federal Rules of Criminal
Procedure

Description:

Copy of SubpoenaALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5IC/PDS
(CDRU #01-081)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDR #01-081)

Field File No. 196A-NY-1774-1A32

Serial # of Originating Document _____

OO and File No. _____

Date Received 5/29/91

From _____
(Name of Contributor)

(Address of Contributor)

By

To Be Returned ☐ Yes ☐ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

Title: MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO

Reference: OO: NY
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

PHOTOS OF PINCUS GREEN'S
AT graduation.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SPS/CL/EDS
(CDRU #01-081)

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b7c



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b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/EDS
(CDR 701-081)

196A-NY-1774-1A32



6

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDRU #01-081)

196A-NY-1774-1A32

MRS [REDACTED]

[REDACTED] Dr. [REDACTED]
[REDACTED]

PINCUS GREEN'S [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDRU #01-081)

196A-NY-1774-1A32

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b7C



1) Dr. [redacted]
PINCUS GREEN'S [redacted]
[redacted]

2) [redacted]
PINCUS GREEN'S [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/RDS
(CDRU #01-081)

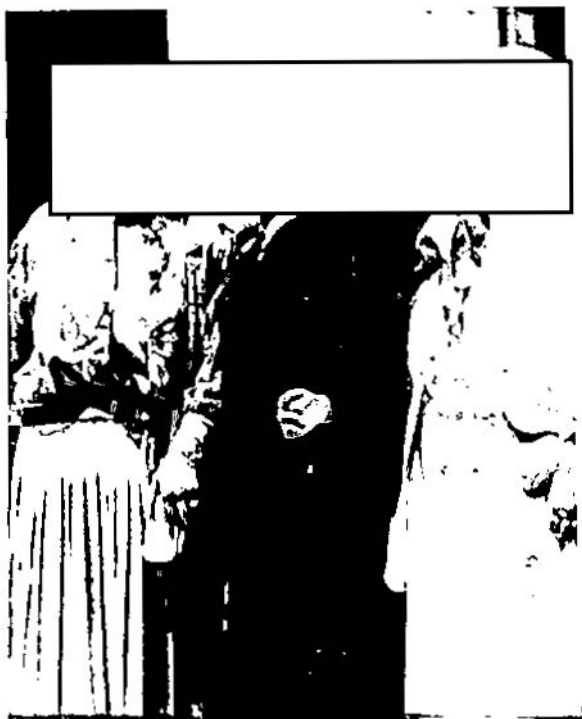
196A-NY-1774-1A32

[redacted]
(PINCUS GREEN'S
[redacted])

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/RDS
(CDRU #01-081)

196A-NY-1774-1A32



b6
b7C

[REDACTED]
(Far Left)

[REDACTED]
(2nd From Left)

b6
b7C

MRS [REDACTED]

(3rd From Left)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SPSTC/PDS
(CDP)

DN [REDACTED]

PINCUS GREEN'S [REDACTED]
[REDACTED]

(behind)

196A-NY-1774-1A32

Universal File Case Number 196A-NY-1774-A33

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 5/6/92From _____
(Name of Contributor)

(Address of Contributor)

By _____

To Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) (CDEU #01-081)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

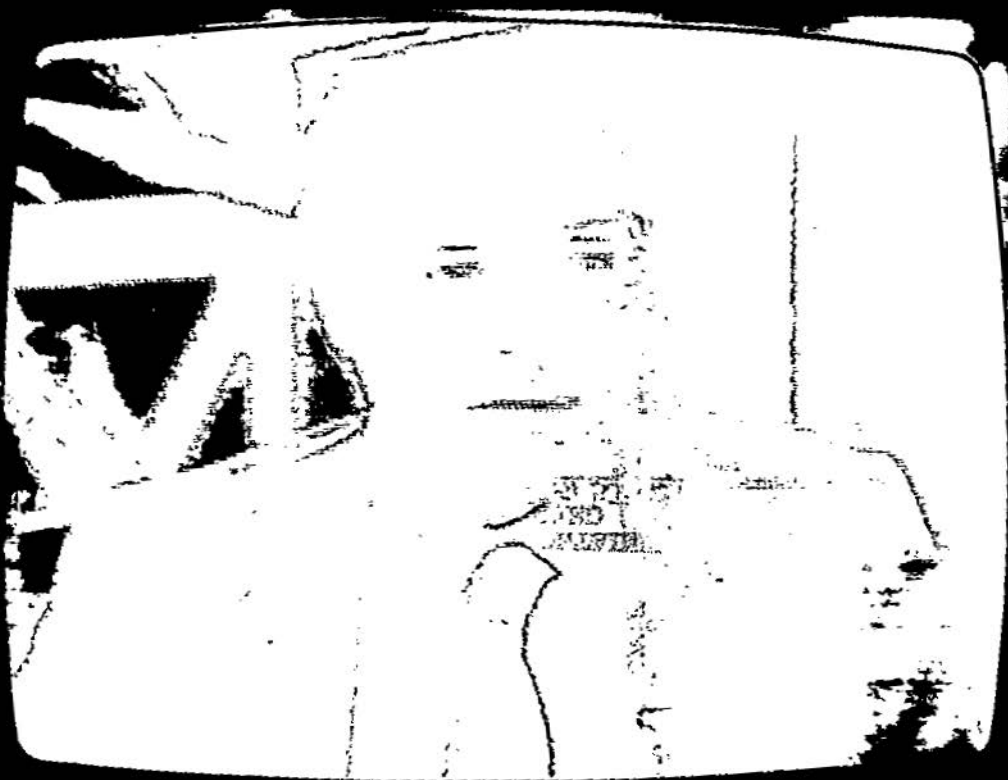
Title:

MARC RICH - Fug (B);
PINCHUS GREEN - Fug (B);
FBI

Reference: 00, 1, 14
(Communication Enclosing Material)Description: ☐ Original notes re interview of

PHOTOS OF MARC RICH
FROM TELEVISION PROGRAM
"DATELINE" aired 5/6/92.

b6
b7c



1965-1774 1A33

2/28/01 SP5JC/EDS
(CDRU #01-081)



196B-1774 /A33

2/28/01 - SPSTC/RDS
(CDRU #01-081)



1966-1774 1A33

2/28/01 . SPSTC/EDS
(CDRU #01-081)



196B-1774

1A3Z

2/28/01 - SPSTC/EDS
(CDRU # 01-081)



196B 1774 1A33

2/28/01 spstjc/pds
(CDRU #01-081)



196B-1774 1433

2/28/01 SPSTC/RDS
(CDRU #01-081)

Field File No. 196A-NY-1774-1A34

Serial # of Originating Document _____

OO and File No. _____

Date Received 8/29/92

From

(Address of Contributor)

By State) _____
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title: Marc Rich - Fugitive

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

Photocopies of telex messages received

by

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/RDS
(CDR #01-081)

b6
b7C

AMYLUM N.V.
BURCHTSTRAAT 10
B 9300 AALST - BELGIUM

AUG 27 1992

TELEPHONE NUMBER : 32 - (0) 53 - 73.32.95
TELEFAX NUMBER : 32 - (0) 53 - 79.01.67

TELEFAX MESSAGE

To (company) : ADM, Decatur, IL 62525

Attention of :

Mr

TELEFAX NUMBER : 00 - 1 - 217/424.5581

NUMBER OF PAGES
(including this page) :

2

b6
b7C

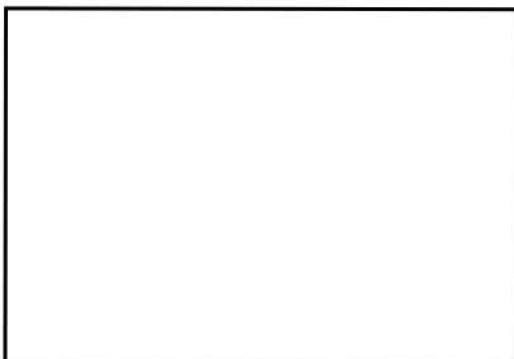
DATE OF TRANSMISSION : August 26, 1992

FROM
OUR REF. :

YOUR REF.:

Herewith an information that I heard on the market place - without guarantees.

Cordially,



b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5JC/eds

(CDRU #01-081)

Printed By
From
To
CC
BCC:

Page: 1

b6
b7c

Priority: Normal

Date sent: 26-08-1992

Amylum**Subject:**

Time: 14:02

Richco - Info

Date: 26-08-92

Mark Rich operations do not look as secure as before.

The last months he lost most of his senior collaborators and the market is full of rumors of high risk operations in the East combined with lavish bribery budgets .

Cargill and other companies put Rich on the list of "endangered species" and cut credit lines to a bare trickle.

Universal File Case Number 196A-NY-1774-1A35

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 5/5/92

From _____

(Name of Contributor)

(Address of Contributor)

By

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

MARC RICH - Fug (CB);
PINCUS GREEN - Fug (CB);
FBW

b6
b7c

Reference: _____

(Communication Enclosing Material)

Description: ☒ Original notes re interview of -

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5JC/RS
(CDRU #01-081)

Tues.
5/5/92

Zug

b6
b7C

house in

- building it

with
altman

is neighbor

Used to be in

she doesn't
know

b6
b7C

Green has house in

Doesn't think Rych travels
outside of Switzerland with
exception of Spain

See Ser # 619

FD-340 (Rev. 4-2-85)

Field File No. 196A-NY-1774-1A36

Serial # of Originating Document _____

OO and File No. _____

Date Received 8/11/92

From _____
(Name of Contributor)

(Address of Contributor)

By _____ (Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title: Marc Rich - Fugitive

b6
b7C

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of _____
photocopy of
business card

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/DS
(CDRU #01-081)

8/11

b6
b7C

[redacted] said he had seen Rich about 3 yrs - Rich asked for [redacted] a barley w/ Russians big barley, ~~gas~~ corn, trader - ~~was~~ makes many deals that ADM make because he doesn't abide by laws ~~not~~ trades in Germany & Russia also in South America saw Rich's office in Zug trades aluminum

- has an office in Rotterdam that ADM dealt w/ him at ADM, at recommendation of Germany government, ADM ~~go~~ should divide the 4,000,000 tons of grain & paid Rich 10% Rich was

everyone that deals w/ him knows he's wanted only has met w/ him in Zug & doesn't know of any other location where he could be reached

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/pds
(CDEU #01-081)

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b7C



RICHCO ROTTERDAM B.V.

COOLSE POORT
COOLSELINGEL 139
P.O. BOX 1120
3000 BC ROTTERDAM

TELEX 26600 RICH NL
FAX 010 - 41 29 623
PHONE 010 - 40 44 400
U.K. OFFICE 0844 21 4646

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SPSUC/EDS
(CDRU #01-081)

196A-M-1774

Universal File Case Number 437

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

B _____

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant
to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title: MARC RICH - FUG (B)
PINCUS GREEN

Reference: _____
(Communication Enclosing Material)

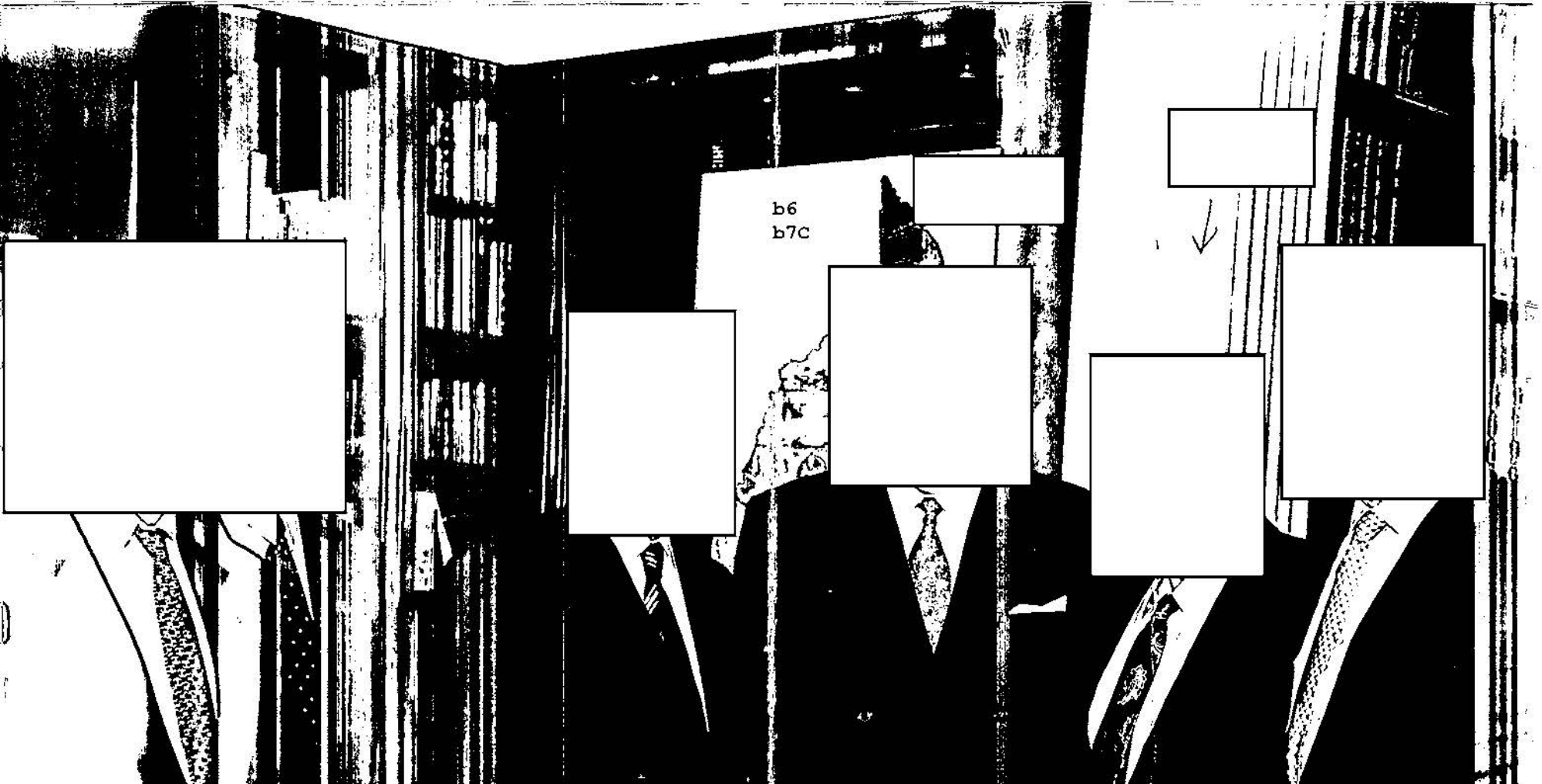
Description: ☐ Original notes re interview of

Photo of _____

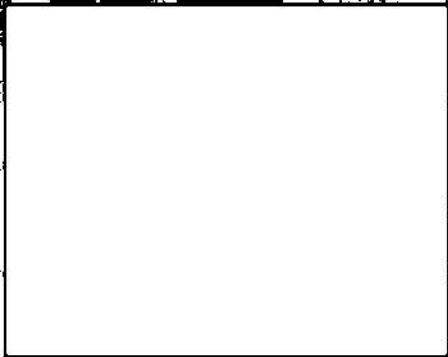
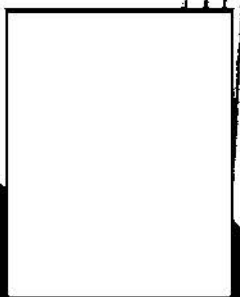
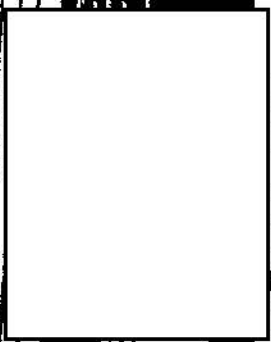
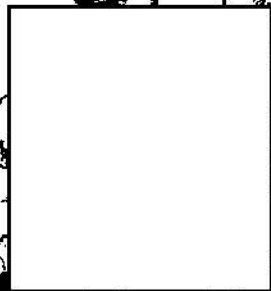
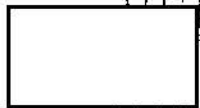
PINCUS GREEN)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5OC/ROS
(CDR #01-081)

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196A-1774-1A37

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP3TC/EDS
(CDEN#01-081)

1A38

196D-NY-1774

Universal Case File Number _____

Field Office Acquiring Evidence NYO

Serial # of Originating Document _____

Date Received 6/28/96From LNU
(Name of Contributor)

(Address of Contributor)

To Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

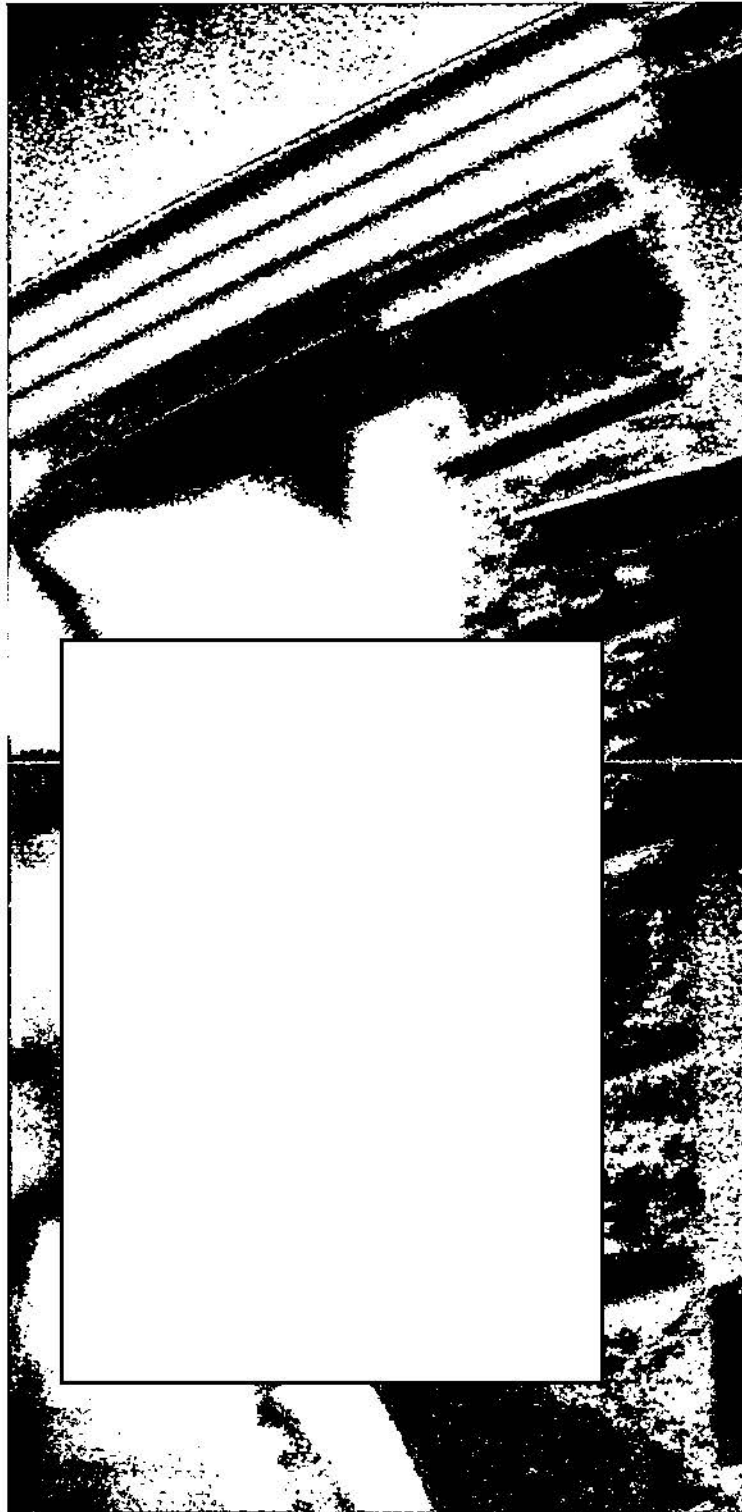
MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBW, MF, RICO

Reference: _____
(Communication Enclosing Material)Description: ☐ Original notes re interview of

TWO PHOTOS (ONE LNU claims
TO be MARC RICH)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/EDS
(CDRU # 01-081)

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SPSIC/005
(CDRU #01-081)



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SPSJC/PS
(CDRU #61-081)

1A39

Universal Case File Number

196D-NY-1774

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

From

(Name of Contributor)

(Address of Contributor)

By

To Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

MARC RICH - Fug (B);

PINCUS GREEN - Fug (B);

FBW, MF, RECO, INCOME TAX

Reference:

(Communication Enclosing Material)

Evasion, Trading with The Enemy

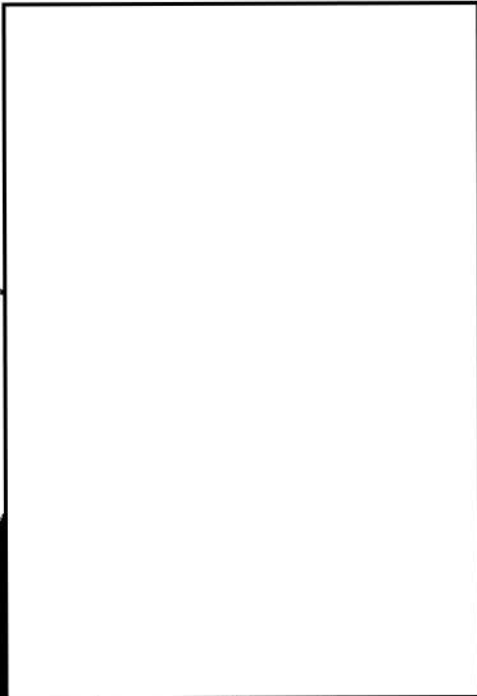
Description: ☐ Original notes re interview of

PHOTOS OF PINCUS GREEN

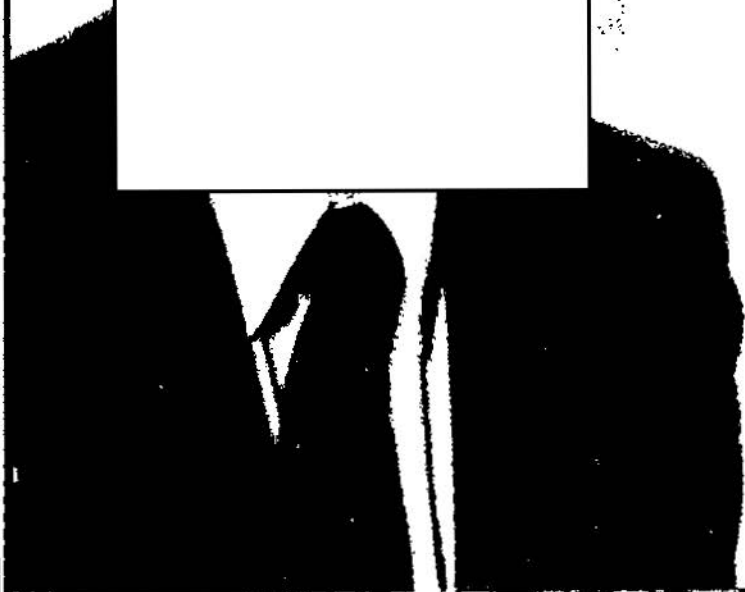
(Taken about 1981)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP3JC/ROS
(CDRL #01-081)

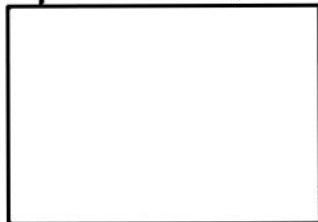
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b7C



PINCUS GREEN



NY

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1600017 0711 3 8

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/RDS
(CDR #01-081)

196D-NY-1774

1981 photo

1A 40

Universal Case File Number 196B-NY-1774

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____

(Name of Contributor)

(Address of Contributor)

By _____

b6
b7CTo Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ NoTitle: MARC Rich - Fug.(B);
PINCUS Green - Fug.(B);
FBW, MF, RICO, Income
TAX EVASION, TRADING WITH THE ENEMYReference: _____
(Communication Enclosing Material)Description: ☐ Original notes re interview ofArrest warrant for Pincus Green
issued 9/19/83.ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/eds
(CDEN #01-081)

WARRANT FOR ARREST

United States District Court		DISTRICT SOUTHERN DISTRICT OF NEW YORK	
UNITED STATES OF AMERICA v. PINCUS GREEN		DOCKET NO. 83 Cr.	MAGISTRATE CASE NO.
WARRANT ISSUED ON THE BASIS OF: <input checked="" type="checkbox"/> Indictment <input type="checkbox"/> Information <input type="checkbox"/> Complaint		NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED	
TO: ANY United States Marshal or any other authorized officer		DISTRICT OF ARREST	
		CITY	
YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.			
DESCRIPTION OF CHARGES			
Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud Income Tax Evasion; Trading with the Enemy.			
		b6 b7c	
		Section: 7201, 535.206 (a) (4); 535.208, 535.701.	
IN VIOLATION OF	UNITED STATES CODE TITLE 18, 26, 31	SECTION 1962 (c)(d), 1341, 1343 & 2	
BAIL	OTHER CONDITIONS OF RELEASE		
ORDERED BY U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)		DATE ORDERED 09/19/83
CLERK OF COURT	(BY) DE		DATE ISSUED 09/19/83
This warrant was received and executed with the arrest of the above-named person.			
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER	
DATE EXECUTED			

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/RDS
(CDRU #01-081)

Universal Case File Number

196^AB-NY-1774

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

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To Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

MARC RICH - FUG. (LB);
PINCUS GREEN - FUG. (LB);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH THE ENEMY

Reference:

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Arrest Warrant for Marc Rich

issued 9/19/83.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDRU #01-081)

b6
b7C

WARRANT FOR ARREST

001 12-15-83

United States District Court		DISTRICT SOUTHERN DISTRICT OF NEW YORK	
UNITED STATES OF AMERICA v. MARC RICH		DOCKET NO. 83 Cr.	MAGISTRATE CASE NO.
WARRANT ISSUED ON THE BASIS OF: <input checked="" type="checkbox"/> Indictment <input type="checkbox"/> Information <input type="checkbox"/> Complaint		NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED	
TO: ANY United States Marshal or any other authorized officer		DISTRICT OF ARREST CITY	
YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.			
DESCRIPTION OF CHARGES			
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b6 b7C		Section: 7201, 535.206 (a) (4); 535.208, 535.701.	
IN VIOLATION OF		UNITED STATES CODE TITLE 18, 26, 31	SECTION 1962 (c)(d), 1341, 1343 & 2,
BAIL		OTHER CONDITIONS OF RELEASE	
ORDERED BY U.S.D.J.		SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)	DATE ORDERED 09/19/83
CLERK OF COURT		(BY) 	DATE ISSUED 09/19/83
This warrant was received and executed with the arrest of the above-named person.			
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER		SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED			

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

ALL FBI INFORMATION CONTAINED
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DATE 3/1/01 BY SP5JC/RDS
(CDRU #01-081)

Universal Case File Number 196A-NY-1774-1A42

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Serial # of Originating Document _____

Date Received 11/00

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To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title: MARC RICH - FUG. (LB);
PINCUS GREEN - FUG. (LB);
FBW

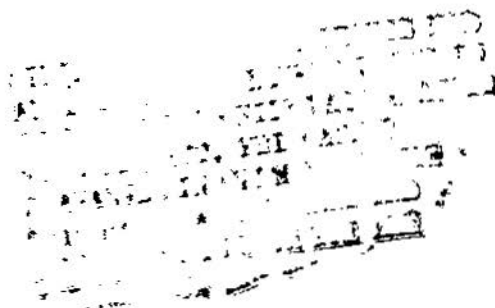
Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

COPIES OF FINGERPRINT CARDS OF
PINCUS GREEN.

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NY 196A-1774-1A42



NY 196A-1774-1A 42

Universal Case File Number 196A-NY-1774-A43Field Office Acquiring Evidence NYO

Serial # of Originating Document _____

Date Received 2/18/01From "NY Times" - 2/18/01
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By _____
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Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

Marc Rich;
PINCUS GREEN;
FBW, MF, RICO, INCOME TAX

Reference: EVASION, Trading WITH THE ENEMY
(Communication Enclosing Material)Description: ☐ Original notes re interview of

My Reasons For the Pardons
by Former President Clinton

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b7C

"...and he shall have Power to grant Reprieves and Pardons for Offenses against the United States...."

Wolfgang Reinbeck

My Reasons for the Pardons

By William Jefferson Clinton

BECAUSE of the intense scrutiny and criticism of the pardons of Marc Rich and his partner Pincus Green, and because legitimate concerns have been raised, I want to explain what I did and why.

First, I want to make some general comments about pardons and commutations of sentences. Article II of the Constitution gives the president broad and unreviewable power to grant "Reprieves and Pardons" for all offenses against the United States. The Supreme Court has ruled that the pardon power is granted "[t]o the [president] and it is granted without limit" (*United States v. Klein*). Justice Oliver Wendell Holmes declared that "[a] pardon... is... the determination of the ultimate authority that the public welfare will be better served by [the pardon]." (*Biddle v. Perovich*). A president may conclude a pardon or commutation is warranted for several reasons: the desire to restore full citizenship rights, including voting, to people who have served their sentences and lived within the law since; a belief that a sentence was excessive or unjust; personal circumstances that warrant compassion; or other unique circumstances.

The exercise of executive clemency is inherently controversial. The reason the framers of our Constitution vested this broad power in the Executive Branch was to assure that the president would have the freedom to do what he deemed to be the right thing, regardless of how unpopular a decision might be. Some of the uses of the power have been extremely controversial, such as President Washington's pardons of leaders of the Whiskey Rebellion, President Harding's commutation of the sentence of Eugene Debs, President Nixon's commutation of the sentence of James Hoffa, President Ford's pardon of for-

sons: (1) I understood that the other oil companies that had structured transactions like those on which Mr. Rich and Mr. Green were indicted were instead sued civilly by the government; (2) I was informed that, in 1985, in a related case against a trading partner of Mr. Rich and Mr. Green, the Energy Department, which was responsible for enforcing the governing law, found that the manner in which the Rich/Green companies had accounted for these transactions was proper; (3) two highly regarded tax experts, Bernard Wolfman of Harvard Law School and Martin Ginsburg of Georgetown University Law Center, reviewed the transactions in question and concluded that the companies

time to review the case. However, I believed the essential facts were before me, and I felt the foreign policy considerations and the legal arguments justified moving forward.

The suggestion that I granted the pardons because Mr. Rich's former wife, Denise, made political contributions and contributed to the Clinton library foundation is utterly false. There was absolutely no quid pro quo. Indeed, other friends and financial supporters sought pardons in cases which, after careful consideration based on the information available to me, I determined I could not grant.

In the last few months of my term, many, many people called, wrote or came up to me asking that I grant or at least consider granting clemency in various cases. These people included friends, family members, former spouses of applicants, supporters, acquaintances, Republican and Democratic members of Congress, journalists and total strangers. I believe that the president can and should listen to such requests, although they cannot determine his decision on the merits. There is only one prohibition: there can be no quid pro quo. And there certainly was not in this or any of the other pardons and commutations I granted.

I am accustomed to the rough and tumble of politics, but the accusations made against me in this case have been particularly painful because for eight years I worked hard to make good decisions for the American people. I want every American to know that, while you may disagree with this decision, I made it on the merits as I saw them, and I take full responsibility for it.

There was absolutely no quid pro quo.

were correct in their U.S. income tax treatment of all the items in question; and [that] there was no unreported federal income or additional tax liability attributable to any of the [challenged] transactions"; (4) in order to settle the government's case against them, the two men's companies had paid approximately \$200 million in fines, penalties and taxes, most of which might not even have been warranted under the Wolfman/Ginsburg analysis that the companies had followed the law and correctly reported their income; (5) the Justice Department in 1989 rejected the use of racketeering statutes in tax cases like this one, a position that The Wall Street Journal editorial page, among others, agreed with at the time; (6) it was my understanding that Deputy Attorney General Eric Holder's position on the pardon application was "neutral, leaning for"; (7) the applications were reviewed and recommended for

ington's pardons of leaders of the Whiskey Rebellion, President Harding's commutation of the sentence of Eugene Debs, President Nixon's commutation of the sentence of James Hoffa, President Ford's pardon of former President Nixon, President Carter's pardon of Vietnam War draft resisters, and President Bush's 1992 pardon of six Iran-contra defendants, including former Defense Secretary Weinberger, which assured the end of that investigation.

On Jan. 20, 2001, I granted 140 pardons and issued 36 commutations. During my presidency, I issued a total of approximately 450 pardons and commutations, compared to 496 issued by President Reagan during his two terms. During his four years, President Carter issued 566 pardons and commutations, while in the same length of time President Bush granted 77. President Ford issued 409 during the slightly more than two years he was president.

The vast majority of my Jan. 20 pardons and reprieves went to people who are not well known. Some had been sentenced pursuant to mandatory sentencing drug laws, and I felt that they had served long enough, given the particular circumstances of the individual cases. Many of these were first-time nonviolent offenders with no previous criminal records; in some cases, codefendants had received significantly shorter sentences. At the attorney general's request, I commuted one death sentence because the defendant's principal accuser later changed his testimony, casting doubt on the defendant's guilt. In some cases, I granted pardons because I felt the individuals had been unfairly treated and punished pursuant to the Independent Counsel statute then in existence. The remainder of the pardons and commutations were granted for a wide variety of fact-based reasons, but the common denominator was that the cases, like that of Patricia Hearst, seemed to me deserving of executive clemency. Overwhelmingly, the pardons went to people who had been convicted and served their time, so the impact of the pardon was principally to restore the person's civil rights. Many of these, including some of the more controversial, had vigorous bipartisan support.

The pardons that have attracted the most criticism have been the pardons of Marc Rich and Pincus Green, who were indicted in 1983 on charges of racketeering and mail and wire fraud, arising out of their oil business.

Ordinarily, I would have denied pardons in this case simply because these men did not return to the United States to face the charges against them. However, I decided to grant the pardons in this unusual case for the following legal and foreign policy rea-

agreed with at the time; (6) it was my understanding that Deputy Attorney General Eric Holder's position on the pardon application was "neutral, leaning for"; (7) the applications were reviewed and advocated not only by my former White House counsel Jack Quinn but also by three distinguished Republican attorneys: Leonard Garment, a former Nixon White House official; William Bradford Reynolds, a former high-ranking official in the Reagan Justice Department; and Lewis Libby, now Vice President Cheney's chief of staff; (8) finally, and importantly, many present and former high-ranking Israeli officials of both major political parties and leaders of Jewish communities in America and Europe urged the pardon of Mr. Rich because of his contributions and services to Israeli charitable causes, to the Mossad's efforts to rescue and evacuate Jews from hostile countries, and to the peace process through sponsorship of education and health programs in Gaza and the West Bank.

While I was troubled by the criminalization of the charges against Mr. Rich and Mr. Green, I also wanted to assure the government's ability to pursue any Energy Department, civil tax or other charges that might be available and warranted. I knew the men's companies had settled their disputes with the government, but I did not know what personal liability the individuals might still have for Energy Department or other violations.

Therefore, I required them to waive any and all defenses, including their statute of limitations defenses, to any civil charge the government might bring against them. Before I granted the pardons, I received from their lawyer a letter confirming that they "waive any and all defenses which could be raised to the lawful imposition of civil fines or penalties in connection with the actions and transactions alleged in the indictment against them pending in the Southern District of New York."

I believe my pardon decision was in the best interests of justice. If the two men were wrongly indicted in the first place, justice has been done. On the other hand, if they do personally owe money for Energy Department penalties, unpaid taxes or civil fines, they can now be sued civilly, as others in their position apparently were, a result that might not have been possible without the waiver, because civil statutes of limitations may have run while they were out of the United States.

While I was aware of and took into account the fact that the United States attorney for the Southern District of New York did not support these pardons, in retrospect, the process would have been better served had I sought her views directly. Further, I regret that Mr. Holder did not have more

William Jefferson Clinton was the 42nd president of the United States.

Universal Case File Number 196A-NY-1774-1A44

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b7cTo Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

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Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title:

MARC RICH - FUG. (CB);PINCUS GREEN - FUG. (CB);FBW, MF, RICO, INCOME TAXReference: EVASION, TRADING WITH THE ENEMY
(Communication Enclosing Material)Description: ☐ Original notes re interview ofMiscellaneous Photos of Marc Rich



Marc Rich
12/18/34

Marc Rich
12/18/34



Marc Rich

12/18/34

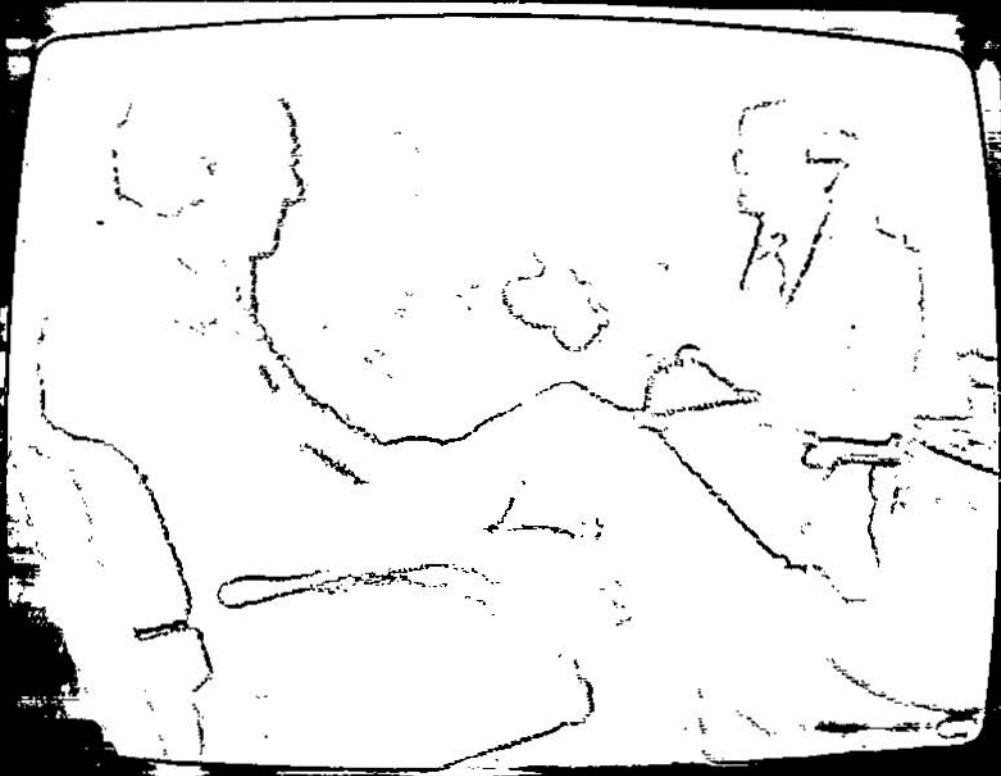


Marc Rich

12/18/34

Marc Rich

12/18/34



Marc Rich

12/18/34



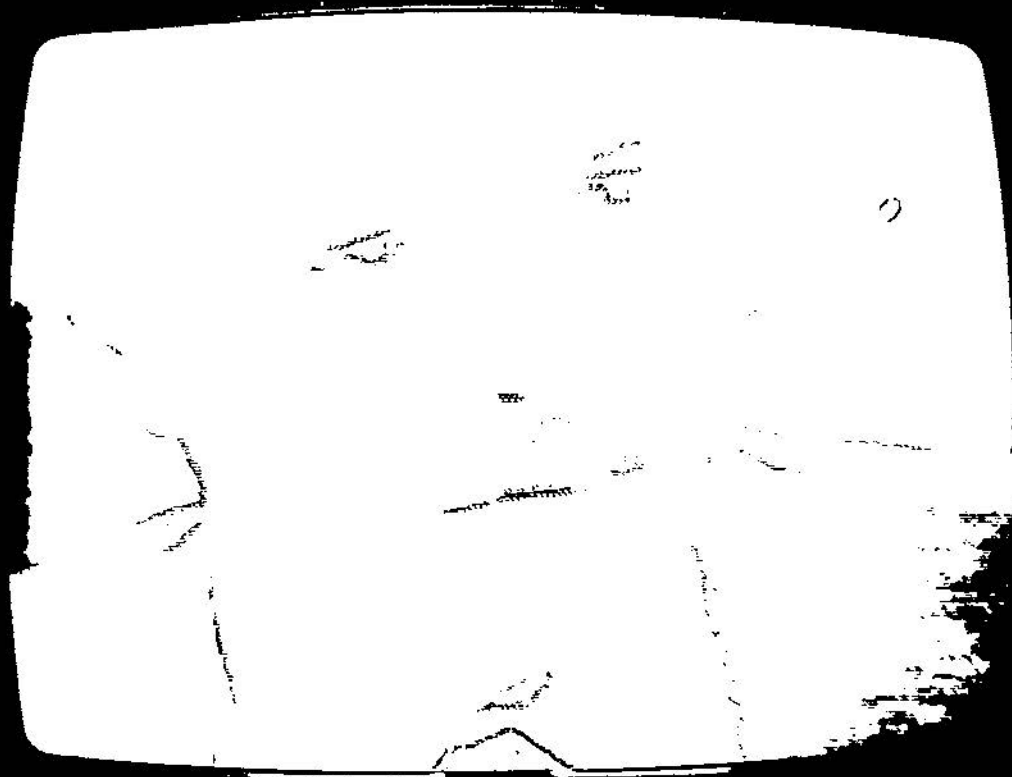
Marc Rich

12/18/34



Marc Rich

12/18/34



Marc Rich

12/18/34

Universal Case File Number 196A-NY-1774-1A45

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b7CTo Be Returned ☐ Yes ☐ NoReceipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes ☐ NoTitle: MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW

Reference: _____

(Communication Enclosing Material)

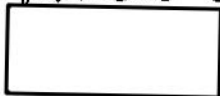
Description: ☐ Original notes re interview ofMISCELLANEOUS PHOTOS OF PINCUS GREEN



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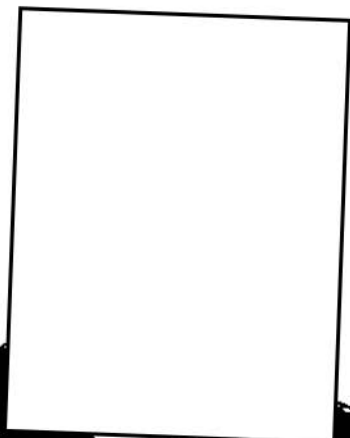
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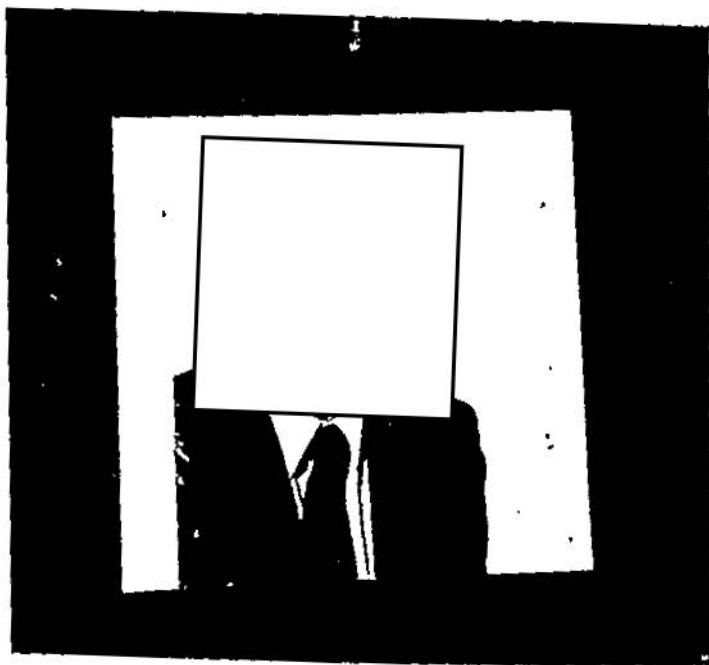
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Taken 1983

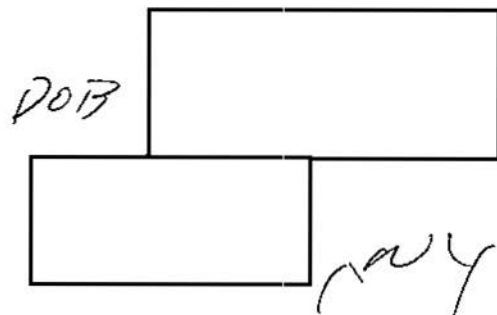
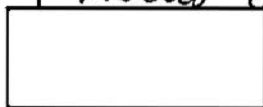


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Pincus Green

Pincus Green



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Taken 1983

Taken 1983